

Federal Aid Technical Bulletin

Bulletin No. 12-01

Date: January 20, 2012 **Revised May 16, 2012 (see email at end of the bulletin)**
2nd Revision October 2, 2019

Subjects:

- Identification of responsible local agency for LAP projects
- ~~Closing documents required for all LAP projects~~ Refer to the LAP Manual Chapter 23, Section 23.10.1 for Closing Documents required for LAP projects (Link provided)
- ~~Bid-Build pushbutton contracts for safety funds~~ (guidance on this topic has been withdrawn and will be re-issued at a later date)
- ARRA indirect accumulated from non-ARRA funds
- OOC initiating closing authorization requests once the audit letter is finalized and to add indirect.
- Modifying federal authorizations on projects using NHAC, IMAC and BRAC fund codes

Identification of responsible local agency for LAP projects

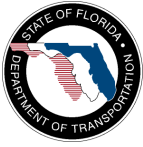
FHWA is requiring the Department to identify in the STIP the local agency responsible for all LAP Projects.

Effective immediately, Districts should begin inputting the "External Agency" field in Project Suite Enterprise Edition for all Local Agency Program (LAP) projects. Attached to this Bulletin are detailed instructions on how to enter this information in Project Suite.

The district LAP coordinators have the designated responsibility to ensure all LAP projects have the responsible external agency identified in Project Suite. Once entered in Project Suite, this data field will also be displayed in WPA on the WP23 screen with the option "Display External Agencies" is set to "Y".

This responsible agency identification ***must be completed*** for all LAP projects in **all years** of the new Tentative Work Program ***prior to its adoption on July 1, 2012.***

The State (Central Office) LAP Administrator can provide a detailed listing by district of all the LAP Projects in the current Tentative Work Program.



Closing documents required for all LAP projects

Effective October 2, 2019, for a complete list of required closing documents for LAP projects, please refer to the LAP Manual Chapter 23, Section 23.10.1.

[LAP Manual Chapter 23, Section 23.10.1](#)

ARRA LAP projects and LAP projects subject to full FHWA oversight will be subject to the full project close out package as required by Chapter 14 of the Review and Administration Manual. Other LAP projects for which the LAP Agreement was already executed as of the date of this bulletin (1/20/12) will be grandfathered in and be allowed to close with just a material certification, final invoice and final inspection and acceptance.

All ARRA and full FHWA Oversight LAP projects will still need to provide the full closing package required by Chapter 14 of the Review and Administration Manual and the FHWA Partnership Agreement.

For all other LAP projects where the LAP agreement was executed subsequent to 1/20/12 an abbreviated process has been devised when finalizing and closing out a LAP project.

The attached "LAP Project Close out Package Transmittal Memorandum" will be used to list the items in the close out package. A PDF version of this memorandum template is attached to this bulletin, and a Word version is attached to the email transmitting this bulletin.

The package will include:

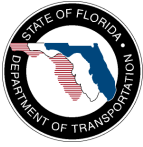
- **LAP Record of Final Plans and Documents.**

This newly designed form No. 525-010-47 is available on the Forms and Procedures website (including instructions for its use). A PDF version of this document is also attached to this bulletin, and a Word version is attached to the email transmitting this bulletin.

- **Final Invoice from Vendor**

The final invoice must include the final construction project cost and final plan quantities.

- **Local Agency Program Final Inspection and Acceptance of Federal Aid Project**



Federal Aid Technical Bulletin

Page 3 of 5

This is form No. 700-010-42 issued by the Production Support Office and is available on the Forms and Procedures website.

This form may also be generated automatically at the following Federal Aid Management Office Infonet web address by entering the appropriate LAP contract number:

<https://owpb.fdot.gov/federalaid/finalacceptance.aspx>

- Materials Certification

If the project is off the state highway system the materials certification will come from the local government. The certification will come from the State Materials Office if the project is on the state highway system or an off system critical project as defined in LAP Manual Chapter 23.1.3.

- Schedule of Values

The schedule of values is only required for Design/Build projects.

The complete close out package should be uploaded into the LAPIT application. At the same time an email notification should be sent to:

- District Federal Aid Coordinator,
- Federal Aid Management Office, Mr. Richard Luten
- Other District personnel who may be involved in the close out process.

The email notification should contain the following project specific information:

- Federal-Aid Project Number
- Financial Project Number
- LAP Agreement Number
- Local Contract Number
- Local Agency

If you have any questions regarding this guidance, please contact the State Local Agency Program Administrator, **Ms. Lorraine Moyle**, at 850-414-4383 or by email at Lorraine.moyle@dot.state.fl.us. ~~Mr. Roosevelt Petithomme at 850-414-4383 or by email at Roosevelt.Petithomme@dot.state.fl.us or the Alternative Contracting & Contract Administration Specialist, Mr. Alan Autry at 850-414-4195 or by email at Alan.Autry@dot.state.fl.us.~~

ARRA Indirect accumulated from non-ARRA funds

Issued by Federal Aid Management Office



The EBOH that has accumulated on ARRA projects as a result of costs generated from non-ARRA federal funds on the project should be associated to the federal aid project number.

If there are excess ARRA funds that don't have commitments an authorization request modification moving the authorization to the x9 from the direct phase can be submitted to FHWA for approval in order to minimize the amount of ARRA funds that are released from the project.

If the ARRA funds have already been maximized on the project, the EBOH should be cost transferred to EB with an OH distribution area due to the prohibition of no advanced construction allowed on an ARRA project.

Unless the ARRA funds are being maximized, a \$10K threshold should apply, at the federal project level, before the x9(s) are associated to the project.

Office of Comptroller (OOC) will be initiating closing Authorization Requests once the audit letter is finalized and to add indirect.

Due to a recent process change and to expedite the closing of federal projects, OOC will be submitting closing AR's to modify projects that are ready to close if the total amount of change to the project is less than \$10K.

OOC will also be authorizing indirect after transferring cost from EBOH to EB with an OH distribution area.

OCC will send an e-mail communicating their action to the District any time that a project is modified and the action will be subject to review and approval by CO-Federal Aid Management Office prior to certification and transmittal to FHWA for final approval and project closure.

Modifying federal authorizations on projects using NHAC, IMAC and BRAC fund codes

Federal Aid Technical Bulletin No. 10-05 issued April 10, 2010, addressed a change to the way in which AC funds are managed in the statewide program categories. More specifically, the following guidance was issued:

Beginning in state fiscal year 2010/2011 the fund codes NHAC, IMAC and BRAC will no longer be used on new projects.



Federal Aid Technical Bulletin

Page 5 of 5

Beginning in state fiscal year 2010/2011 the new fund codes will be ACNH, ACIM and ACRB for the state funds used in the AC program, and NH, IM and BRT for the federal funds.

Additionally, the bulletin stated that projects which already were authorized with NHAC, IMAC or BRAC would not be changed to the new fund codes ACNH, ACIM or ACRB, and that

Any future authorization modifications that increased the federal funding would also continue to use the old fund codes NHAC, IMAC or BRAC.

This bulletin supersedes the guidance shown above regarding which fund codes to use for authorization modifications that increase the federal funding on these projects.

Effective immediately, any authorization modifications that increase the federal funding on projects that were initially authorized using the old fund codes NHAC, IMAC or BRAC will no longer use these fund codes for future authorization modifications. Instead, please use the new fund codes ACNH, ACIM or ACRB.

This change in guidance will facilitate our statewide management of the AC program.

If you have any questions regarding the subjects contained in this bulletin, please do not hesitate to contact us.

James B. Jobe, CPA, CGFM
Manager, Federal Aid
Management Office
(850) 414-4448
james.jobe@dot.state.fl.us

Sean McAuliffe, CISA, CIA
Supervisor, Federal Aid Finance
Federal Aid Management Office
(850) 414-4564
sean.mcauliffe@dot.state.fl.us

Sonya Dudley
Supervisor, Federal Aid Production
Federal Aid Management Office
(850) 414-4454
sonya.dudley@dot.state.fl.us