

GRIP Meeting 2024 Project BED26 TWO 977-04:

Effect of Spacing on Axial Resistance of Auger Cast Pile Foundations Start Date: Jan. 2023 End Date: Dec. 2024

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- Review of benefits and implementation
- Review of project objectives
- Tasks, deliverables and progress to date
	- Task 1: Technical background
	- Task 2: Physical model testing
	- Task 3: Numerical modeling
	- Task 4: Correlations and recommendations
- Summary of tasks/deliverables: schedule and progress

REVIEW OF PROJECT BENEFITS AND IMPLEMENTATION

QUALITATIVE

- •Better estimation of the effect of spacing and load-deformation behaviors in ACP.
- •Understanding the geotechnical mechanisms involved in the performance of ACP which can improve design practices in the State.
- •Analysis of the influence of proximity of pile foundations on overall superstructure performance.

QUANTITATIVE

- •Quantification of the effects of the foundation layout and rock strength on the axial resistance of ACPs.
- •Site-specific analyses and calibration of bearing capacities using advanced rock models for Florida limestone to study the failure mechanisms and influence of pile spacing ratio, relative stiffness factor, and rock strength parameters on foundation deformation characteristics.

IMPLEMENTATION

- •Development of reduction factors for applicable cases. Reduction factors could be implemented in Structures Design Guidelines.
- •If the effort indicates the use of 2.5D spacing is not an issue, it could be adopted by designers more often making construction faster, using less concrete and steel, and generating time and cost savings due to reduced pile caps. 3

MAIN OBJECTIVE

Evaluate effect of spacing on load-settlement behavior of ACP through smallscale physical model testing and finite element analysis, and develop reduction factors for applicable cases.

SPECIFIC OBJECTIVES

- Provide guidance on effect of spacing of ACP on load-deformation response.
- Quantify the effect of overlapping stress bulbs among foundation elements.
- Investigate the effect of soil layering on load-settlement behavior of ACP.
- Investigate the effect of rock strength and design unit skin friction.
- Investigate effect of pile diameter on computed load deformation response.
- Develop demand/capacity ratio of unit skin friction vs. rock strength as a function of the proposed reduction factors.
- Investigate relationships among geotechnical variables that influence the effect of spacing on the capacity of ACP.
- Develop correlations or charts.

TECHNICAL BACKGROUND

Deliverable 1: Report on technical background 02/2023 (Delivered)

Non-displacement pile spacing specified by design codes and FDOT guidelines

- FDOT developmental specifications:
	- − Dev346ACP (Structural Portland Cement Grout)
	- − Dev455ACP (requirements for materials, installation and load testing of ACP)
- FDOT soils and foundations handbook:
	- − 3D and 2.5D spacing for drilled shafts in sand and rock socketed for 1.0 efficiency
	- Side shear resistance (f_{su}) drilled shafts socketed in Florida Limestone (McVay's method)
- AASHTO, FHWA, major DOT, Guidelines Building codes and standards:
	- − Drilled shafts group reduction factors at different spacings, configurations and cap contact.

Case histories on laboratory based physical model tests

• 32 ACP and case histories on drilled shafts with instrumentation, loading system and installation.

Case histories on numerical modeling of drilled piles

• 17 cases describing modeling stages, constitutive models and soil-pile interface elements used.

TECHNICAL BACKGROUND (Design Codes and Major DOTs) Reduction factors from AASHTO LRFD

Reduction factors from other DOTs (Caltrans for example).

- Spacing < 3D reduces the effective stresses against both the side and base of the existing shaft.
- It does not reduce the shaft group capacity if favorable construction activities.
- Based on limited load test results for small drilled shaft groups for sands above the groundwater table.
- **Does not provide guidelines for design or spacing of ACP nor for IGMs.**

Other DOTs and Agencies: spacings to avoid group effects for single row drilled shafts

- WSDOT $= 3.0D$ AS 2159-2009 $= 2.5D$ (side)
- \cdot WisDOT $= 3.0D$ AS 2159-2009 $= 2.0D$ (end)
- ASCE(1997) = 2.5D JTG 3363-2019 = 2.5D
- IBC $= 3.0D$ JTG 3363-2019 = 2.0D (end)

TECHNICAL BACKGROUND (Laboratory-based Physical Model Tests)

Database of Testing Programs Example: Sharafkhah and

ACP: Auger Cast Piles, SDP: Screw displacement piles, UR = Under-Reamed DP: Displacement pile, CHD: Continuous Helical Displacement, WIP: Wished-In-Place- Jeffrey et al. (2016) Pre-installation: "Positioning of the pile before the soil sample is fully prepared, it is used to simulate the installation of ideal non-displacement piles" Martines et al. (2017)

Shooshpasha (2018)

LDT **LDT** Hydraulic jack Loading platform Proving ring Foamed plastic $R = 300$ Foamed plastic Fixed Raf pulley H LDT ൫ st. T ্ -53 -53 33 -31 c. Load cell Granular layer $2.0B_r = 600$ $D = 20$ 60 Counter weight Strain gauge S₀₀ Fine sand $25D$ 8 $5B_r = 1500$ Unit: mm

Example: Zhu et al. (2018)

TECHNICAL BACKGROUND (Numerical Modeling)

Axial capacity of pile groups Han et al. (2019):

- 3D modeling in ABAQUS
- Soil model: two-surface constitutive model.
- Rigid soil-pile interface.
- Euler stress integration method to deal with large deformations.

Numerical simulation of cast-in-place piles, Schmudderich et al. (2020):

- 2D modeling in PLAXIS.
- HS-Small used to model the soil.
- Linear elastic used for the pile.
- Interface elements around the pile to model soil-pile interaction).

Simulation of Unfavorable CFA Pile Drilling Conditions. Arab et al. (2020):

- 3D modeling in ABAQUS.
- Mohr-Coulomb used to represent loose sand behavior.
- Includes the effect of slower rate of penetration vs. rotation.
- Consider the extent of the disturbed zone and number of over-rotations.

Bearing capacity of pile groups in sand. Lee et al. (2015):

- 3D modeling in PLAXIS to study load-sharing ratios of piled rafts
- Spacings of 3D, 5D and 7D
- Linear elastic materials used to represent piles.

9

PHYSICAL MODEL TESTING

Deliverable 2a: Report on details of lab testing program 07/2023 (Delivered)

Deliverable 2b: Report with results of lab testing program 12/2023 (Delivered)

EXPERIMENTAL PROGRAM

- **Pile configuration:** Single, 2 x 2, 3 x 3.
- **Ground condition**
	- − Sand: 50% and 75% of relative density (*D^r*).
	- − Limestone: Synthetic limestone (SL) created using cement, crushed limestone, and water.
- **Pile spacing for group piles:** 2, 2.5, 3, 4D (D = diameter of ACP).
- **Main experimental condition:** $T1 T9$ (50% of $D_r + SL$)
	- − T10 T15 conditions subject to change based on main experiment results.

EXPERIMENTAL PROCEDURE

1) Placement of a 2 ft-high SL layer inside the soil container.

2) Deposition of a 3.5 ft-high sand layer on top of the SL layer.

3) Installation of the lower cap plate on the soil surface, followed by setting up the drilling guide.

- 4) Drilling operation using the auger and motor.
- 5) Extraction of the auger while applying enough grouting pressure with pump.
- 6) Insertion of the instrumented rebar into the grout.
- 7) Installation of the upper cap plate and setup of the strain gauge lines.
- 8) Placement of wood spacers and LVDT on the cap plate, followed by the connection of the loading plate.
- 9) Installation of the reaction frame to be used for the servo-hydraulic system to apply load on pile or group of piles.

- Design of SL to have similar properties to typical Florida limestone
	- Target compressive strength = 500 psi \pm 300 psi
	- Target unit weight $= 120$ pcf
- Effect of cement, crushed limestone, and water ratio on compressive strength of SL

• Quantities of each component for physical model test

Calculate the weight of each component using ratio 1 of 0.1 and ratio 2 of 0.15. Volume of SL $(V) = 5$ yd³ = 135 ft³ Target unit weight (γ_t) = 120 pcf Total weight of SL (W_t) = $V \times \gamma_t$ = 16200 lb W_a = 14457.45 lb W_c = 1408.70 lb W_w = 2241.24 lb

- Material properties of SL layer used for physical model test
	- − Average unit weight after 28 days curing = 117 pcf (close to target unit weight)
	- Compressive strength after 14 days = $490 674$ psi (within the range of target strength)

14

• Sand layer installation method: moist compaction method considering volume and unit weight of sand layer

-Engine 43cc $-Max$ rpm = 250 $-T({\text{ft-lb}}) = 1.6$

Quick hardening epoxy steel stik: -Strength 4000 psi -Set time 5 min -Cure time 1 hour

Drill bit

• Preliminary tests for drilling method

• Drilling procedure of auger cast piles

Drilling guide for vertical installation

Installation of cap

nstallation of cap
plate and auger lnstallation of the motor head Drilling to design ACP
depth depth

Top Hollow Auger Grout Pump Auger Head Bottom Hollow Auger Auger opening for vinyl tube

• Grout injection procedure

Removal of motorhead and drilling guide

Connection of crane to the auger

Injection of grout while extracting auger

Insertion of plastic cylinder and injection

Remove approximately 2 inches of sand below the cap plate after grout curing

Borehole Sand Vacuum Inspection of borehole SL diameter Synthetic limestone repair

- Strain gauges manufactured by Kyowa Americas Inc (120 ohms).
- 6 gauges per ACP, spaced at designed intervals.
- Installed at ACP center using #3 rebar and spacers.
- Slots in upper cap plate for strain gauge line extension from ACP head.

Schematic diagram and photographical record of the strain gauge attached to the rebar

21 Installation of the strain gauges, cap and loading plates

- Linear Variable Differential Transformers (LVDTs)
	- − LVDTs manufactured by Sensata-BEI Sensors (Max displacement: 1.5 in)
	- Installed at ACP head for accurate load-displacement evaluation
	- − Installation method of LVDT:
		- 1) An adjustable-length bar was fixed to the soil container to serve as fixed reference bar.
		- 2) The LVDTs were fixed to an L-shaped steel plate clamped to the reference bar.
		- \rightarrow Allows easy position adjustment regardless of ACP location.

Installation method of the LVDTs with adjustable length bar

- Load cell manufactured by MTS (Max capacity: 110 kips).
- − Installed between the actuator and the loading plate to measure total applied load.
- − Connected to National Instruments data logger along with LVDT and strain gauges (0.1 second measurement intervals)

Load cell installed between the actuator and the loading plate National instruments data logger (DAQ modules

and chassis)

- Pressure cell
	- Pressure cell manufactured by Geokon.
	- 1nstalled at bottom of soil container to investigate mostly boundary effects. 23

- Load increment at 0.5 kips or displacement-controlled tests
- Maintaining each load increment for 5 min or a constant rate of displacement
- Summary of experimental setup for physical model test

Construction of loading plate and **Construction Installation of loading plate Installation of loading plate**

T1 (Single ACP)

- **Load-displacement relationship**
	- The stiffness of pile was obtained using initial 5 points of load-displacement curves.
	- − Considering the scale of the physical model test, it was necessary to modify performancebased failure criteria (Soils and Foundations manual)
	- Interpreted failure load by modified criteria $= 4.8$ kips

25

T1 (Single ACP)

- **Load transfer curve**
	- Two strain gauges installed at the bottom of the ACP failed.
		- The line was installed too tightly, causing damage during the insertion of the strain gauges.
		- ∙ In T2, it was possible to measure strains at all depths by adding extra length to the strain gauge.
	- The load transfer curve was evaluated using the tangent stiffness method (Fellenius, 2023).
		- When the shaft resistance above a gauge location is mobilized, the incremental load at the gauge location is the same as the increment of applied load.

The tangent modulus at the gauge location can be calculated by $\Delta\sigma_{apply}/\Delta\varepsilon$.

T2 (2 x 2 group piles with 4D spacing)

27 * During pile loading test, actuator vibrations occurred, so multiple experiments were conducted with various loading methods.

NUMERICAL MODELING

Deliverable 3: Report summarizing numerical modeling results 05/2024 (Delivered)

GENERAL DESCRIPTION OF FE MODEL

Proposed FE analysis matrix

Abbreviations: "S" = soil "LS"= Limestone "qu"= unconf. compression "Spa"= spacing. Est. total cases: 40

FEM general description

- Geometry: Selected based on records from Bridge No. 101 on SR 836 in Miami, Florida.
- Tested cases: 2x2, 3x3, and 4x4 (additional) pile groups.
- Pile diameter: 2 ft and 3ft.
- Distance to edge: >12D.
- **Loading: Prescribed displacement of 5" on top of each pile.**
- No cap considered.
- Two sand densities considered of 30% and 75%.
- Two limestone cases considered: intact and weathered cases with GSI of 100 and 50.
- Selected limestone q_u of 500 psi as an average of proposed testing matrix.

Geometry of the 3D FE model

CONSTITUTIVE SOIL MODEL PARAMETER SELECTION

• An intact rock with a GSI of 100 was considered to achieve an exact q_u of 500 psi.

Unconfined compression strength of intact and weathered limestones (IR and WR, respectively)

elasticity.

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FE MODELING STRATEGY

Modeling strategy

Shear strain, y_s (%)

 $0.00010.0010.0101$

10 15 $\widehat{\Xi}$ 20 $\frac{1}{6}$ 25
 $\frac{25}{30}$

Two proposed modeling strategies

Shear strain levels close to ACP excavation

 $K=0.9K$ o K=0.8Ko

• Drilling disturbs the soil in the field, reducing confinement.

• Degree of K _o reduction of 10% selected based on

• Relative shear strain contours showed considerable

Two strategies: Intact and reduced- K ^o conditions. • Boundary pressures applied at excavation stage.

expected shear strains of 0.001%.

disturbance vs intact conditions

Relative shear stress contours for intact and reduced-K^o , respectively

31

NUMERICAL MODEL VALIDATION

32

 0.50 0.40

 0.30 0.20

 0.10

NUMERICAL MODEL VALIDATION

2 nd Numerical model validation (Full-scale test in Miami)

- Field measurements: Bi-directional load test at Bridge No. 101 on SR 836 in Miami, Florida.
- Test pile: 85 ft-long, 30 in-diameter.
- Soil profile: Defined based on SPTs. A 17 ft-thick weathered limestone over a more competent strata.
- FE model: Modeled with a top sand over a limestone in two scenarios (IR or WR).
- Results: Load vs deformation within measured range. Load transfer mechanism similar to measured as well.

RESULTS OF NUMERICAL MODEL

Spacing/Diameter

Range of efficiencies

Spacing/Diameter D=2 ft, FDOT Criteria - D=3ft, FDOT Criteria Θ - D=2 ft, Plunging

Example: LS/IR, 3x3 group efficiencies comparisons

Range of computed efficiencies by FDOT criteria, plunging, and settlement increase, respectively

Spacing/Diameter

Range of efficiencies

Spacing/Diameter

SRange of efficiencies

PROGRESS ON FEM ANALYSIS MATRIX

FEM analysis matrix

Abbreviations: "S" = soil "LS"= Limestone "q_u"= unconf. compression D= Diameter. Est. total cases: 40

Up to date FEM analysis matrix

- The updated FEM matrix considers 2x2, 3x3, and 4x4 group configurations. 4x4 group added to the initial testing matrix.
- An average q_u of 500 psi was used. Value chosen based on FDOT records and McVay, et al. (2019).
- Research team is now in a "production" stage, thus remaining cases are expected to be finished soon.

SUMMARY OF TASKS/DELIVERABLES: SCHEDULE AND PROGRESS

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SUPPLEMENTAL SLIDES

T2 (2 x 2 group piles with 4D spacing)

- − Plate displacement increases with load.
- Pile head displacement shows instability under small loads.
- Likely caused by unstable behavior of the cap plate and loading plate at the ACP head.

T2 (2 x 2 group piles with 4D spacing)

