

Use of 3D Seismic Waveform Tomography with SPT-Source for Geotechnical Site Characterization

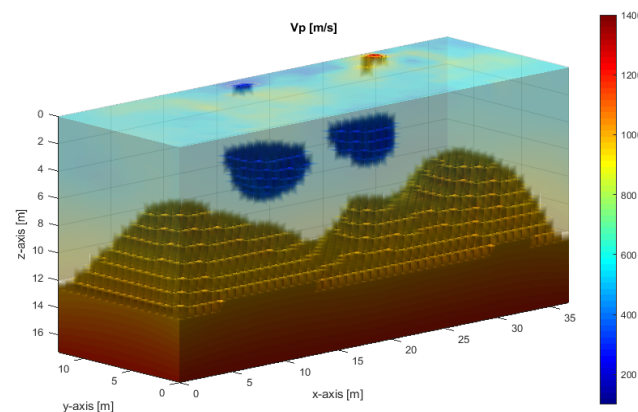
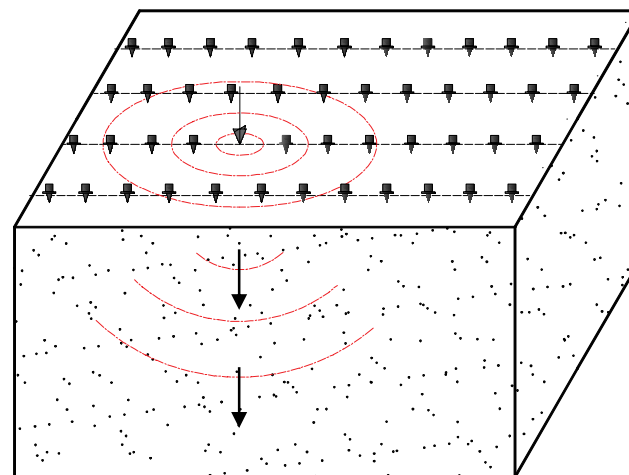
GRIP Meeting
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Presentation outline

- Introduction and background
- Benefits of SPT-seismic
- Project objectives
- 3D Seismic-SPT FWI algorithm
- Synthetic results
- Preliminary field results
- Conclusion

Introduction and background

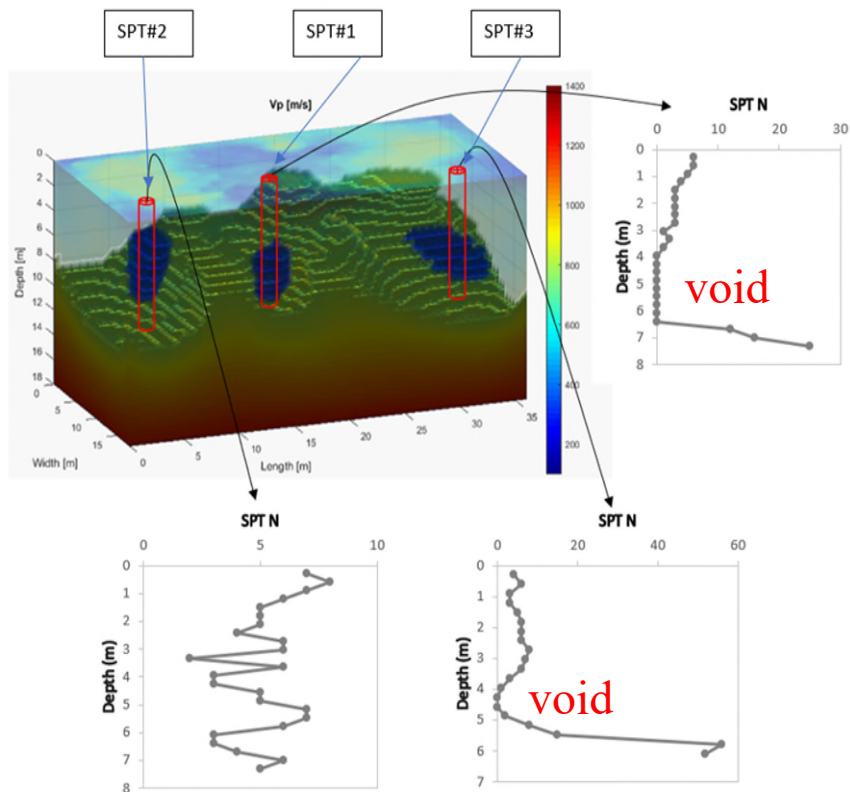
- Problematic soils or buried voids could cause structural collapses, lead to property damage/even fatalities
- Typical invasive testing SPT, CPT – tests $< 0.1\%$ of material
- Seismic methods can test over larger volumes of materials, characterize soil/rock property/stratigraphy and image voids/anomalies



Sinkhole collapse

Introduction and background

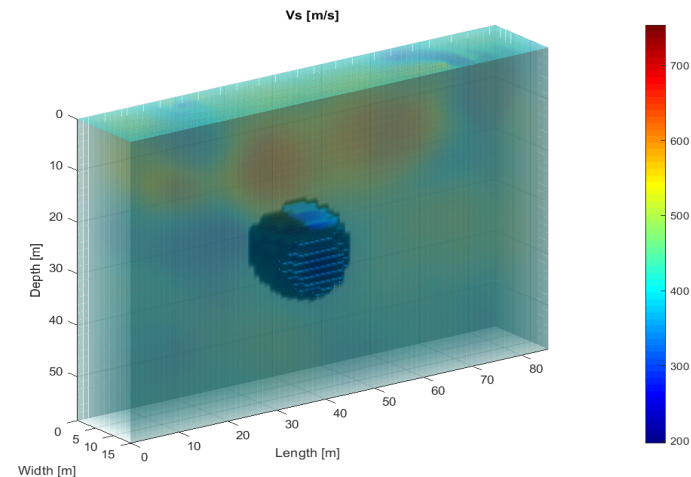
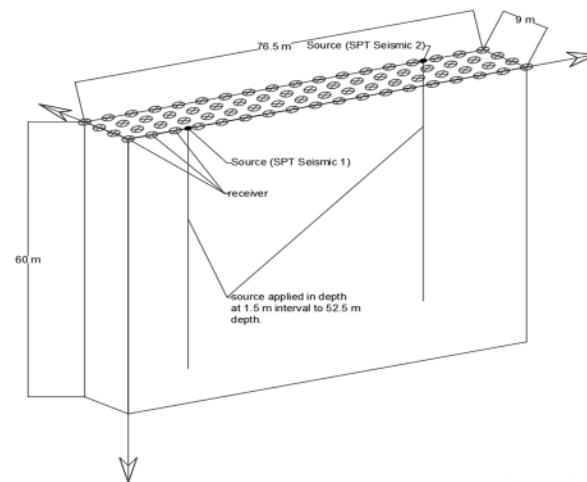
- Existing 2D/3D full waveform inversion (FWI) methods using active wave-fields can be used to identify a buried void to a depth of three void diameters, up to 60 ft depth.
- Deeper voids are difficult to image due to wave attenuation with depth.
- This project aims to use SPT as in-depth seismic source to increase depths of investigation.



Example of 3D FWI at Newberry

Benefits of SPT-seismic approach

- Good energy from SPT hammer
- Wavefield is rich of body waves, emitted within rock mass for high-resolution imaging at deeper depth
- SPT-source seismic data can be acquired without the requirement of separate geophysical boreholes.
- Require a smaller test area on the ground surface, and applicable in cases of limited test areas (right of ways, urban settings)
- Provide 3D subsurface image around SPT location

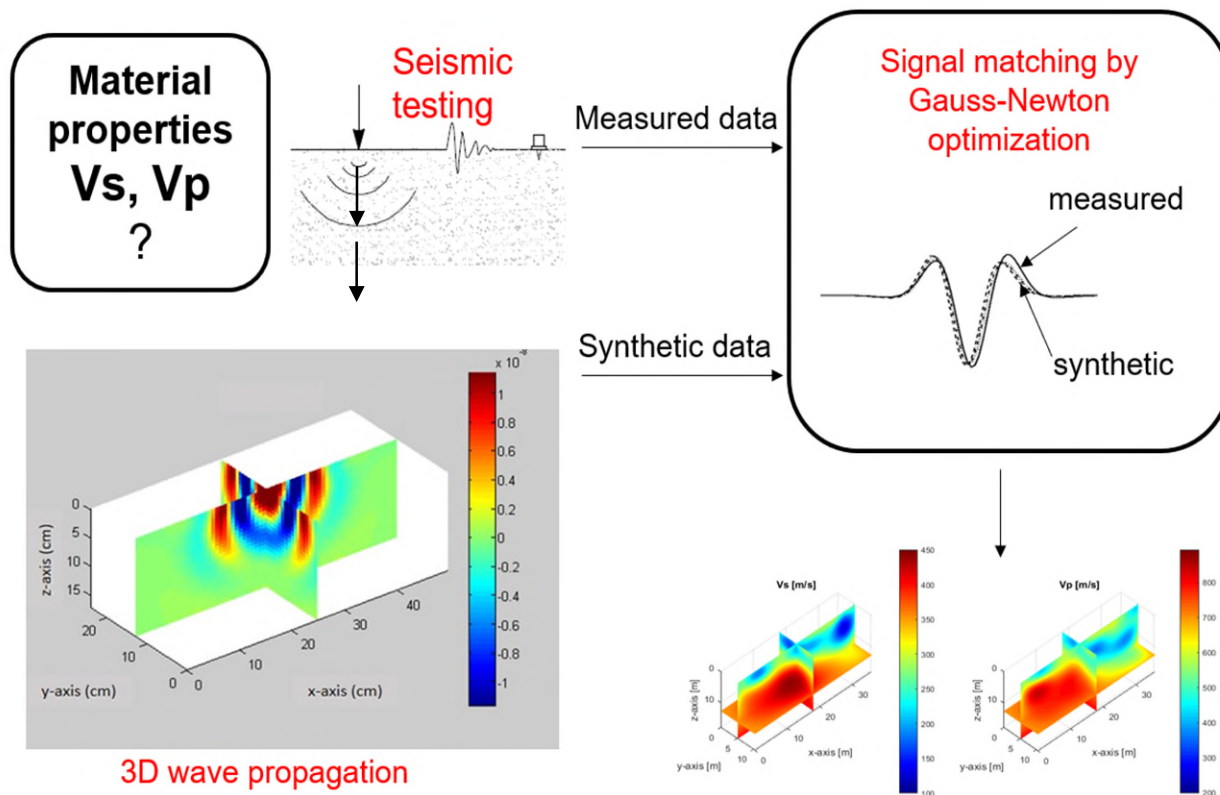


Project objectives

- Develop a robust 3D SPT-seismic FWI method and GUI for geotechnical site characterization. The method will provide 3D high-resolution images of substructures to any SPT depths ($> 100'$).
- The GUI will be transferred to FDOT for future site investigations. It can be used for varying purposes, including sinkholes investigations, identifying top of rock/bearing layer for deep foundations, assessing varying site conditions, delineating the presence of problematic soils, and correlating to SPT-N values for design purposes.

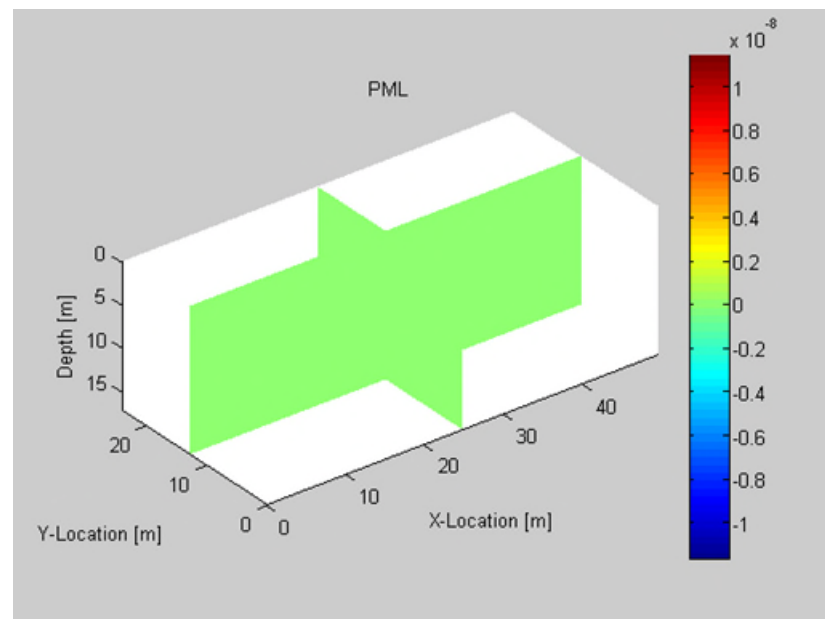
Task 1: Develop 3D Seismic-SPT FWI algorithm

- Develop the code to run on a regular desktop computer
- Hybrid time-frequency FWI analysis



3D Seismic-SPT FWI method

- Time-domain wave modeling



PML is used at bottom and 4 vertical boundaries.

3D Seismic-SPT FWI method

➤ Frequency-domain inversion by Gauss-Newton

Time-domain wavefields and Jacobian elements obtained from the forward wave simulation (one shot at a time) are converted into the frequency-domain.

The time-domain components are replaced by those from the next shot simulation. The frequency-domain components (wavefields and Jacobian matrix) are stored for all shots at only 3-5 frequencies, instead of thousands of time steps. This reduces the required RAM about 90%.

3D Seismic-SPT FWI method

➤ **Frequency-domain** inversion by Gauss-Newton

- Velocity residual $\Delta \tilde{\mathbf{d}}_{s,r} = \tilde{\mathbf{F}}_{s,r}(\mathbf{m}) - \tilde{\mathbf{d}}_{s,r}$

- Misfit function $E(\mathbf{m}) = \frac{1}{2} \|\Delta \tilde{\mathbf{d}}\|^2 = \frac{1}{2} \Delta \tilde{\mathbf{d}}^t \Delta \tilde{\mathbf{d}}$

- Model updating

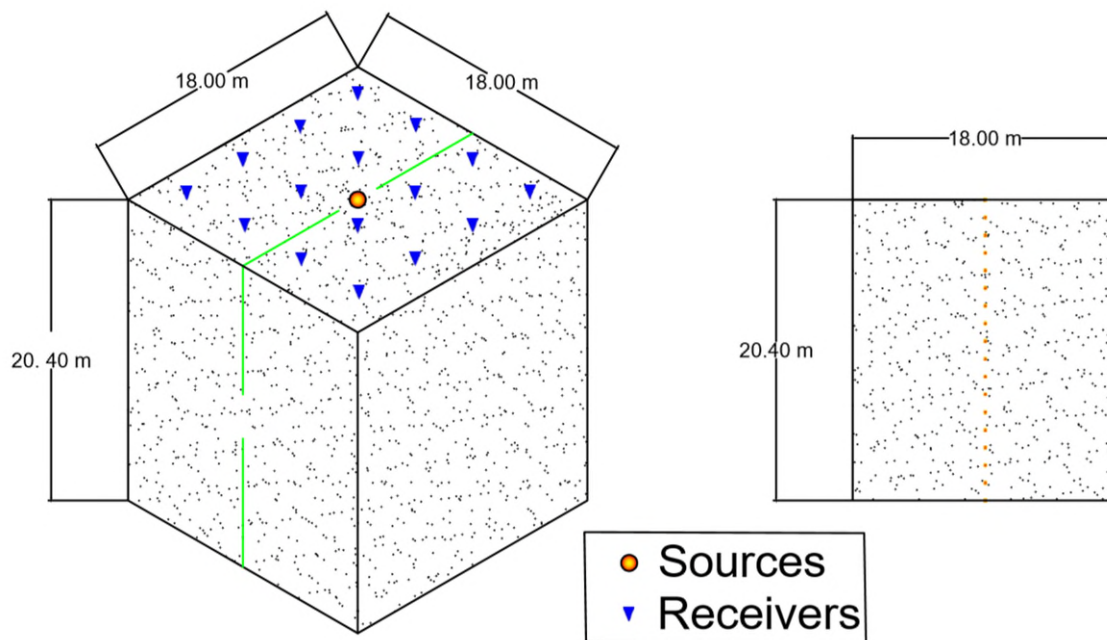
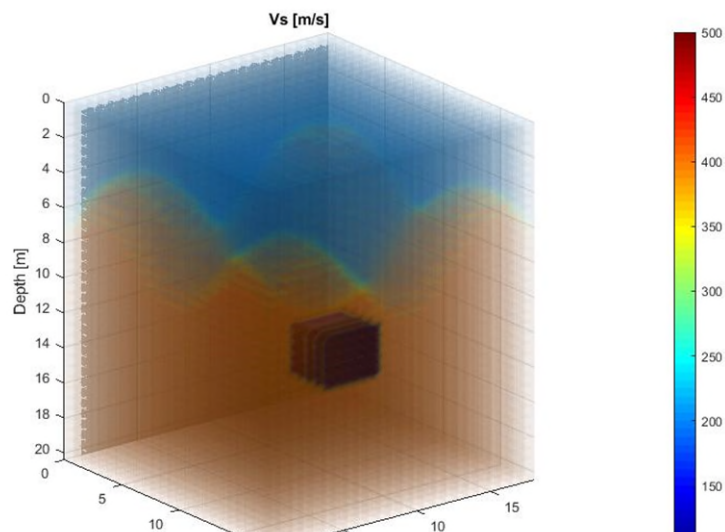
$$\mathbf{m}^{n+1} = \mathbf{m}^n - \alpha^n \underbrace{[\tilde{\mathbf{J}}^t \tilde{\mathbf{J}} + \lambda_1 \mathbf{P}^t \mathbf{P} + \lambda_2 \mathbf{I}^t \mathbf{I}]^{-1}} \tilde{\mathbf{J}}^t \Delta \tilde{\mathbf{d}}$$

Filter, focus, balance gradient vector,
as a weighting function

Reduce RAM from 1 TB to 128 GB

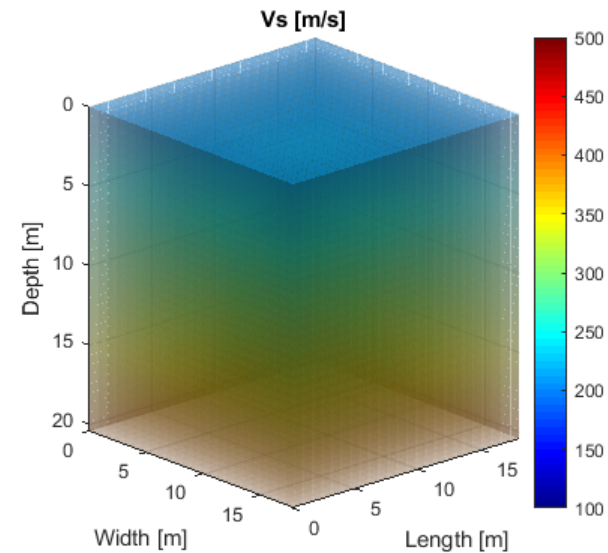
Synthetic Experiment

- Surface area of 60 x 60 ft (18 x 18 m)
- 16 receivers located in 4 x 4 grid at 15 ft spacing
- Source at depths of 4 ft intervals
- Borehole does not intersect with the void



Synthetic Experiment

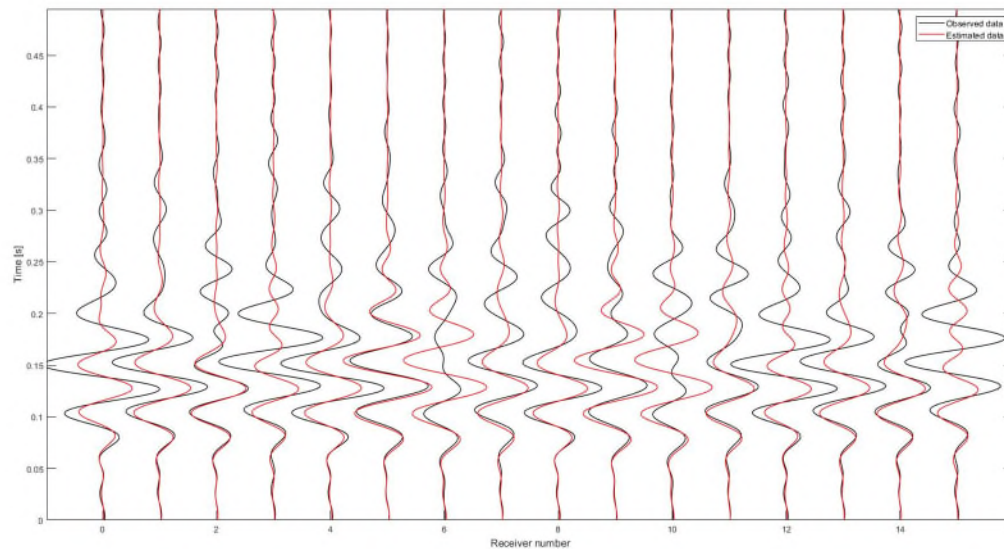
- Basic initial model V_s increasing with depth
- Two inversion runs, each run at only 3 frequencies.
- First run at 15, 20, 25 Hz, and second run at 30, 35, 40 Hz



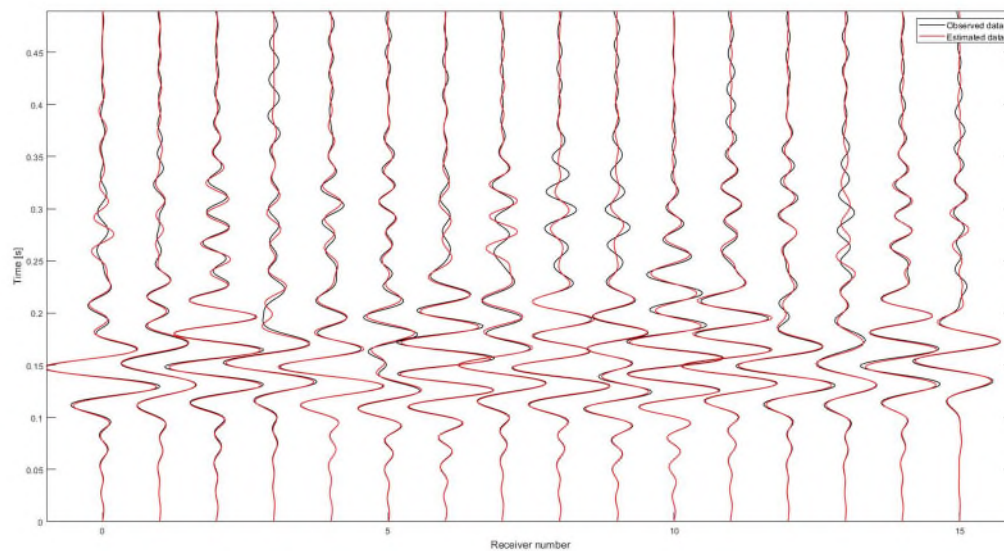
Initial model

Synthetic Experiment

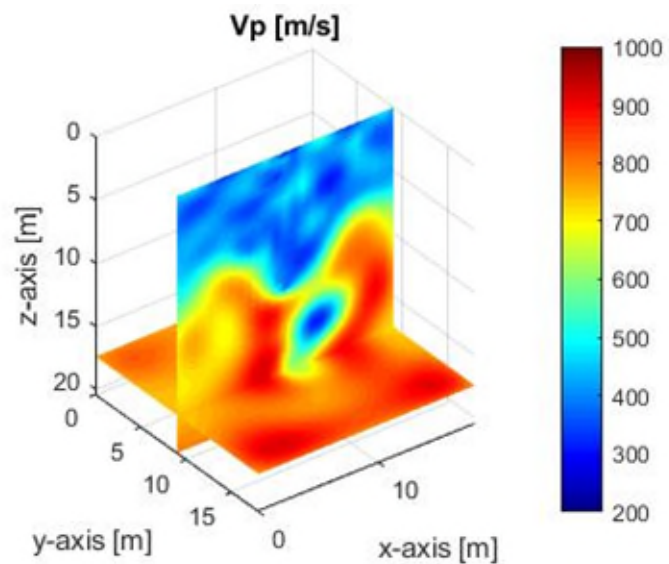
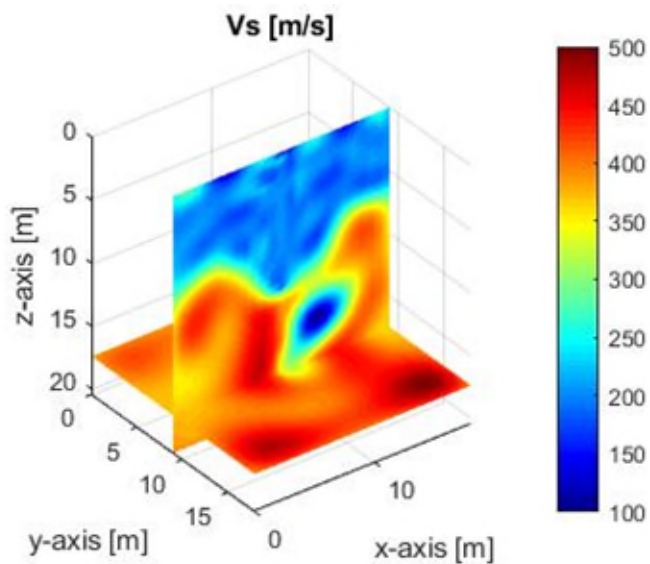
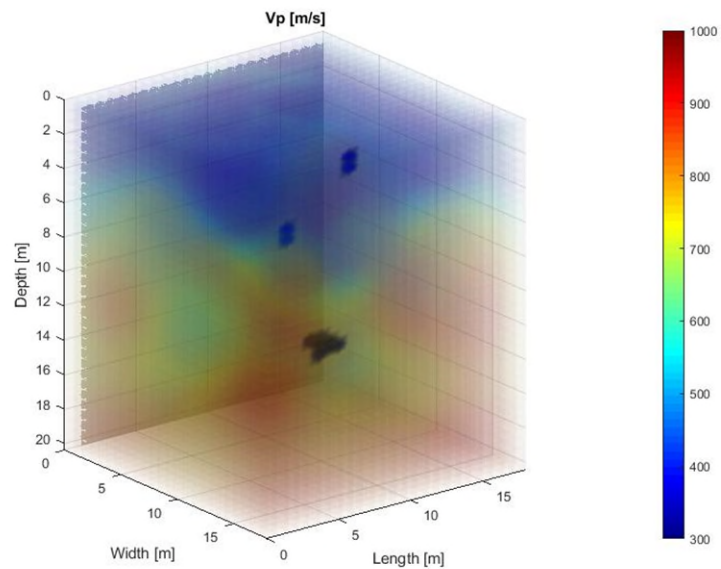
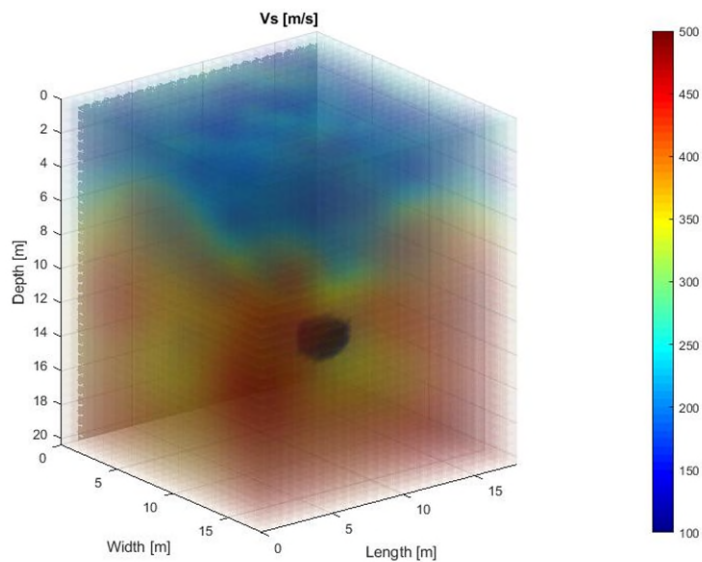
Initial
waveform
comparison



Final
waveform
comparison



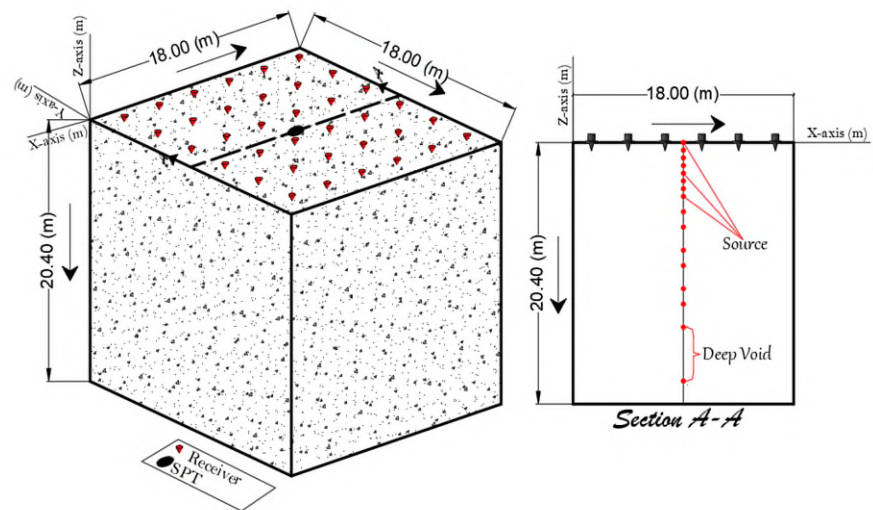
Synthetic results



Time-Frequency SPT-seismic FWI

Newbery site

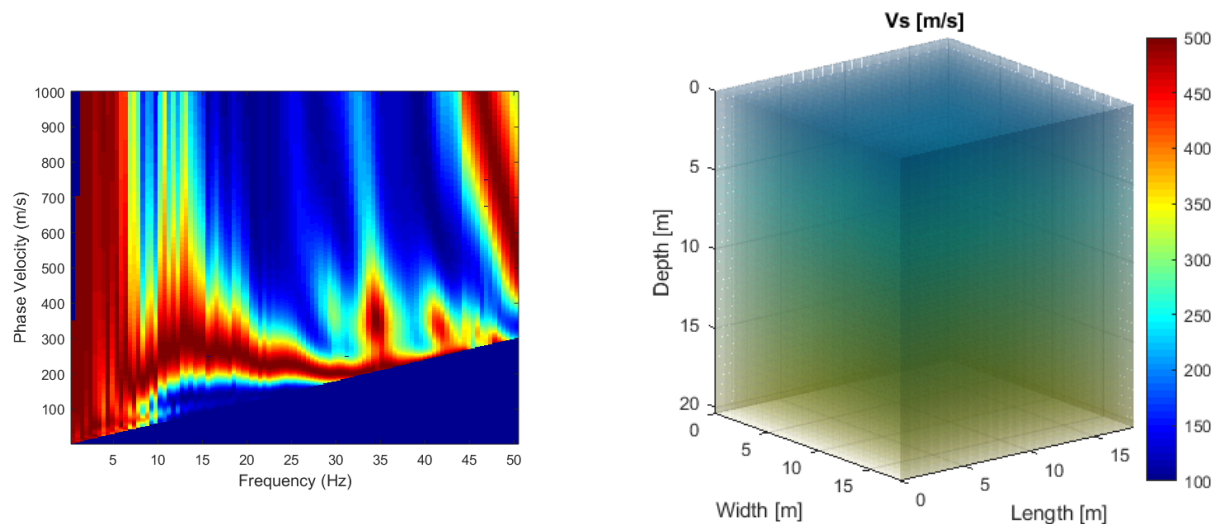
- Test area of 60 x 60 ft (18 x 18 m)
- 36 geophones located in 6 x 6 grid at 10 ft spacing
- SPT-seismic source at depths of 2 ft intervals
- Trigger is attached to SPT rod to activate seismograph



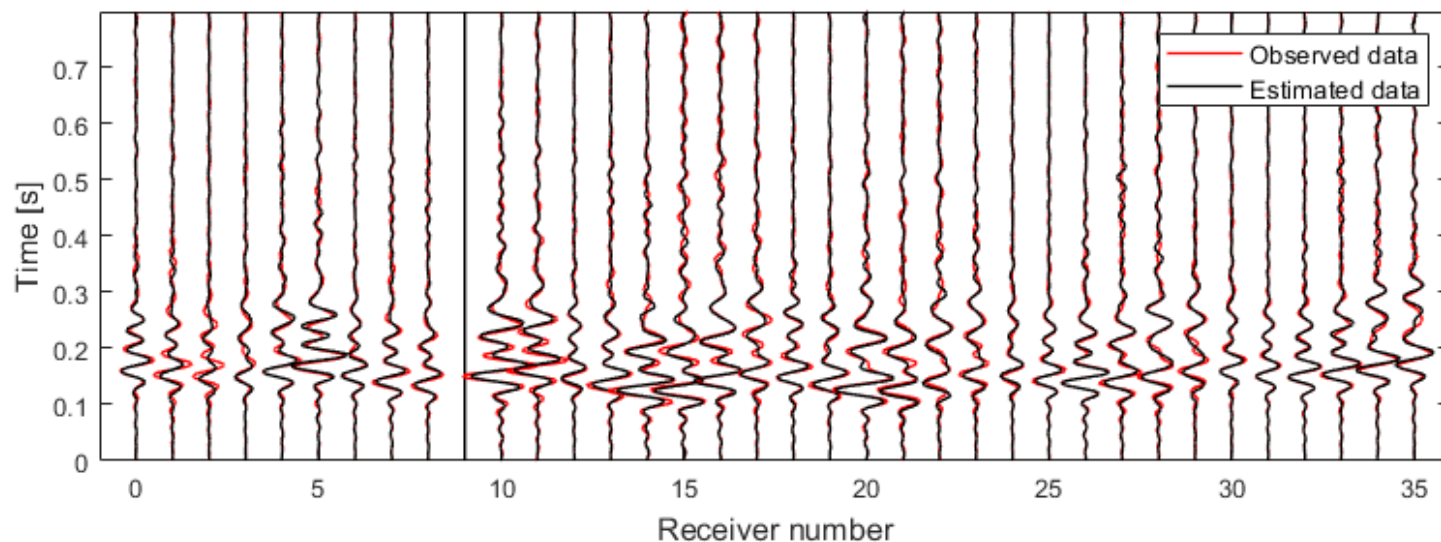
Newbery site: SPT-seismic FWI

First run at 15,
20, 25 Hz

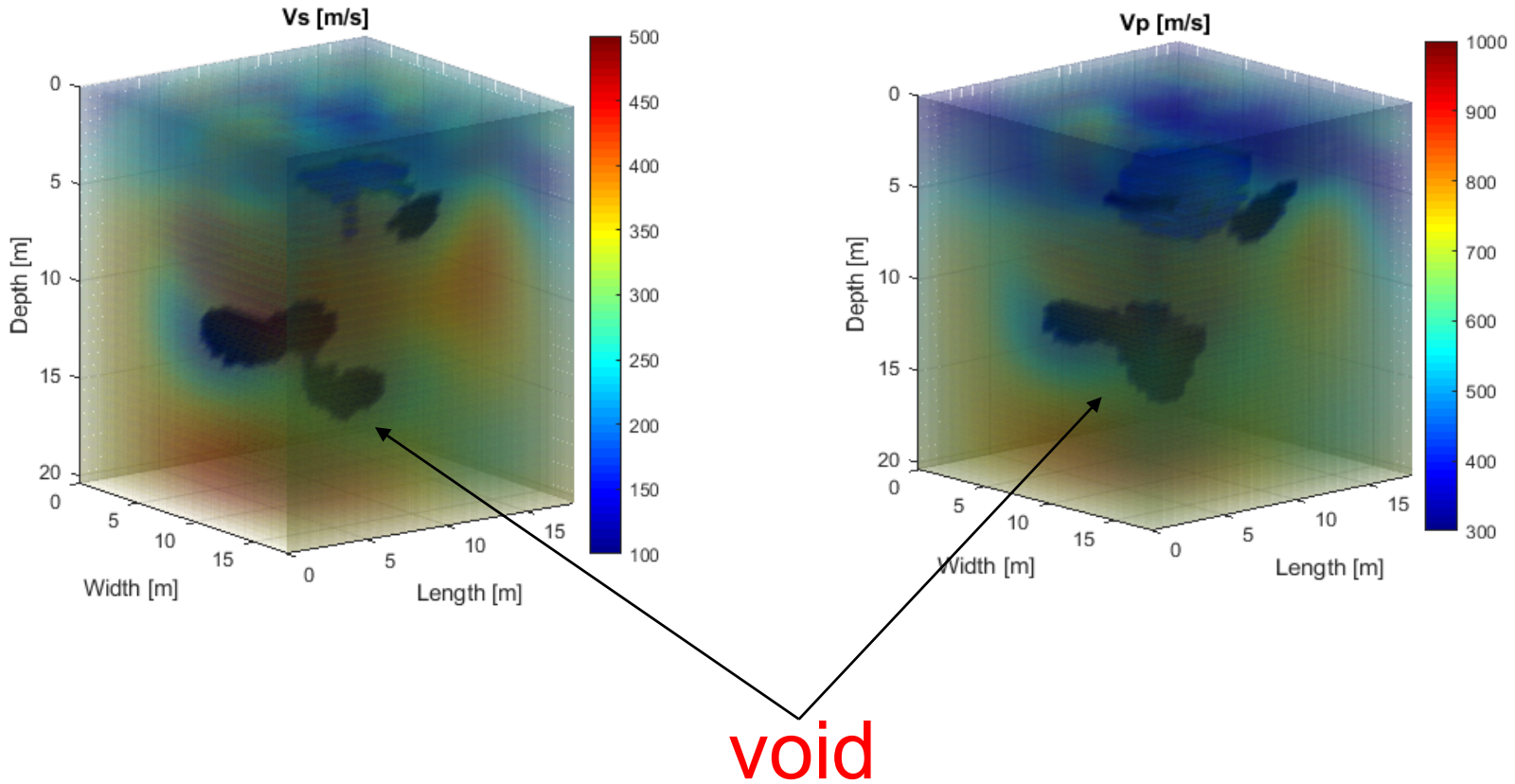
Second run at
30, 35, 40 Hz



Initial model



Newbery site: SPT-seismic



Task 2: Optimize field testing configurations

Task 3: Verify the 3D Seismic-SPT FWI algorithm at field sites

Task 4: Develop the data reduction and interpretation methodology

Conclusion

- New time-frequency SPT-seismic FWI significantly reduces RAM by 90% and allows the code run on a regular computer.
- The code (time-frequency FWI) works well on both synthetic and field data. Voids and variable rock can be characterized 30 ft around SPT in 3D.

Thank You!

