

GRIP MEETING 2020, Progress Report Project: Prediction model of vibration-induced settlement due to pile driving (BDV24 977-33) Project Manager: Larry Jones



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- Brief project overview
 - Benefits
 - Objectives
 - Scope
- Technical background
- Survey
- Testing plan
- Numerical analyses
- Timeline



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Qualitative:

- Better estimation of infrastructure damage as a result of excessive pile-driving induced settlements.
- Understanding pile driving induced settlement mechanisms can improve design practices in Florida.
- Avoid unnecessary countermeasures in FDOT projects. Infrastructure damage will be minimized as a result of pile driving.

Quantitative:

• Produce pile driving induced settlement chart (or correlation or equation) relating PPV, D_r, distance from source, and input energy to be used in FDOT projects.

Objectives:

- To <u>understand</u> mechanisms of near-field and far-field settlement and determine influence zones.
- To <u>measure</u> field vibration-induced settlements in predetermined locations in Florida.
- To <u>develop</u> numerical models of dynamic settlements due to pile driving.
- To <u>develop</u> pile driving induced settlement prediction model(s) (e.g., closed formula or chart).

- Task 1 Technical review of case studies
- Task 2 Survey to practitioners
- Task 3 Field testing in pile installation sites
- Task 4 Numerical modeling of pile driving induced settlement
- Task 5 Empirical prediction formula or chart for dynamic settlement
- Task 6 Guidelines and recommendations

Technical background: variables involved in the problem

76 case histories and 55 papers revised to study variables involved:

- Vibration characteristics and input energy: vibration type, amplitude, frequency, and duration of the source
- Soil characteristics: soil gradation and type, relative density, and moisture content
- Attenuation characteristics: geometric and material damping



Pile-driving induced vibration in urban environments (Hintze et al. 1997 and Deckner 2013)



Energy transfer from pile to soil (top) Hypothetical soil behavior zones in terms of shear strains and attenuation coefficients (bottom)

Methods for pile driving induced settlements (Drabkin et al. 1996)

Multifactor polynomial model. Steps:

- Estimate/measure PPV.
- $\succ \quad \text{Compute } x_i \text{ <u>only } if within the tested ranges.</u>$
- Calculate settlement.
- Compute Δ for a sand layer of thickness H_t using Y for a 5.9 in-tall specimen.

)∗ 0.001

Factor	Factor Code	Tested Ranges	Coding of Factors
Peak Particle Velocity (PPV)	x ₁	0.1-0.7 in/sec	$x_1 = -1 + \frac{PPV - 0.1}{0.3}$
Deviatoric Stress (s)	x ₂	2-15 psi	$x_2 = -1 + \frac{s - 2}{6.5}$
Confining Pressure (p)	x ₃	10-30 psi	$x_3 = -1 + \frac{p - 10}{10}$
Sand Mixture	x ₄	Coarse, Medium or Fine	x_4 ranges from -1 for coarse sand to 1 for fine sand
Number of vibration cycles (N)	x ₅	60-500,000 cycles	$x_5 = -1 + \frac{N - 60}{26,997}$
Moisture content x ₆		Dry, Saturated	x_6 ranges from -1 for dry sand to 2 for saturated sand
Initial relative density	x ₇	Loose, Medium Dense	x_7 ranges from -1 for loose sand to 2 for medium dense sand

 $\ln Y = 2.27 + 1.19x_1 - 0.71x_1^2 + 0.49x_2 - 0.68x_2^2 - 0.8x_3 + 1.09x_3^2 - 0.46x_4 + 0.06x_4^2 + 0.45x_5 - 0.38x_5^2 - 0.19x_6 - 0.1x_7$ Settlement for a 5.9 in. tall specimen

Methods for pile driving induced settlements (Mohamad and Dobry, 1987)

- > Similar to soil liquefaction hazard assessments.
- \succ It is used to determine threshold PPV_t and settlement <u>susceptibility</u> in sands.
- \succ <u>Susceptibility</u> defined in terms of shear strain (γ_t), typically 0.01%.
- > The model does not calculate specific settlements. It provides susceptibility to excessive settlements.



Methods for pile driving induced settlements (Massarsch 2004)

- Settlements depend on soil type and stratification, groundwater conditions (degree of saturation), pile type, and method of pile installation (driving energy).
- > Densification due to pile driving occurs within a zone of three pile diameters around the pile.



Settlements adjacent to a single pile in homogeneous sand

$$S_{max} = \alpha(L + 6D)$$
$$S_{avg} = \alpha \frac{(L + 3D)}{3}$$

Compression factor, *α*, for sand based on relative density and level of driving energy (depends on PPV)

Ground Vibrations:	Low	Medium	High
Soil Density	Cor	or, α	
Very loose	0.02	0.03	0.04
Loose	0.01	0.02	0.03
Medium	0.005	0.01	0.02
Dense	0.00	0.005	0.01
Very dense	0.00	0.00	0.005

Case histories: pile driving database

	Database of Case Histories: Pile Driving Induced Settlements										
Reference	Location	Type of Pile	Pile Group	Number of Piles 🚽	Distance between Piles (m)	Pile Specifications	Pile Length (m) 👻	Type of Hammer	Type of soil	Water Table (m) 💗	Depth of penetration (m)
Grizi et al 2016	Niles, Michigan	H-Pile	No	-	-	360x109 mm*kg/m	16.8	Pileco D30-32 Diesel Hammer	Loose to medium-dense sand	-	16.2
Grzi et.al,2016	Constantine, Michigan	H-Pile	No	-	-	360x109 mm*kg/m	16.8	Delmag D30-32 Diesel Hammer	Surficial Loose to medium-dense sand and hard sandy clay	-	13.1
Wersäll and Massarsch, 2013	Gothenburg, Sweden	Driven Concrete Pile	Yes		1.3	275 mm	52.0		Soft Clay	-	52.0
Hwang et.al, 2001	Chiayi-Taipo, Taiwan	Driven Hollow Concrete Pile	Yes	13	2.4	Outer Diameter 800 mm; Inner Diameter 560 mm	34.0	Delmag D100 Diesel Hammer	Surficial soft clay and medium- dense sand	1.0	34.0
Bozozuk et.al, 1978	Contrecoeur, Quebec	Driven Concrete Pile	Yes	116	1.5	300 mm	26.0	-	Marine Clay		26
Wong and Chua, 1999	Singapur Island, Singapur	Driven Concrete Pile	No	-	-	350 x 350 mm	-				
Brunning and Joshi, 1989	Calgary, Alberta	H-Pile	Yes	6	2	300 x 300 mm	11	D-22 Diesel Hammer	Dense Gravel		11
	North Yorkshire, England		Yes	7	-	-	-	Delmag 30.02 Diesel Hammer			

Vibration M	ibration Measurements		Atenuation Para	meters		Ground Movement			
Distance from Pile (m)	PPV (mm/s) T	Geophone Depth (r 🚽	n v	α (1/m) Ŧ	k 👻	Type of Displacemer 🚽	Distance from Pile (m)	Measurement (mm) 🖉	
		0	0.5	0.13	-	-			
		0	0.5	0.13	-	-			
		-				Heave	25	12	
		-				Heave	2.4	39	
						Heave	3	110	
2.1	22	0							

Variables summarized in database:

- Reference
- Site location
- Type of pile
- Number of piles
- Distance between piles
- Pile specifications (type, materials and dimensions)
- Pile length
- Type of hammer
- Type of soil

- Water table location
- Depth of penetration
- Distance from pile
- PPV
- Geophone depths
- Attenuation parameters
- Heave? Magnitude
- Settlement? Magnitude

Survey to practitioners (selected responses)

Q1. Have you experienced in any past designs or construction projects; any problem associated with ground surface settlement induced by pile driving installations?



Q3. At what distance from the pile driving source did the previously-reported settlement occurred?



Q2. Did you observe or experience any type of damage to adjacent infrastructure during pile driving because of high vibration levels (quantified in terms of high peak particle velocities) or large ground settlements or structural distortions?



Number of questions: 20 Respondent population: 22

Survey to practitioners

Yes

Q4. Do you consider monitoring ground vibrations due to pile driving an important issue during the design phase of any deep foundation system?



Q6. How much time do you think is necessary to monitor ground vibrations and soil settlements induced by pile driving?







Q7. From your experience, what should be the location of the farthest sensor? (typically a geophone or settlement transducer)



11/28

Survey to practitioners

Q8. From your experience what are the type(s) of driven pile(s) that you commonly use for your projects?



Q10. Which of the following methods and/or models do you use to estimate dynamic soil displacement due to pile driving and/or to determine the impact of construction vibrations?

- Spreadsheets you have in your company
- Software you have in your company
- Empirical methods
- Finite element model
- Soil strain and/or soil stiffness approaches
- Not familiar with any of those



Q9. From your experience, what is the maximum distance from the pile driving source at which infrastructure (e.g., buildings, public utilities, bridges, etc.) is not affected by pile driving?



Q11. From your experience, the installation of what type of deep foundation system would potentially cause more damage to adjacent urban infrastructure?



Others

Survey to practitioners



Q13. From your experience, what are the main sources of pile-driving induced settlements?

- Soil consolidation
- Soil liquefaction
- Groundwater considerations
- Impact characteristics of the pile driving source (transmitted energy, frequency content, etc.)
 Number of hammer blows
- Others

Q16. Do you think measuring the impact

characteristics of the pile driving source is



Q17. Do you think performing a pre-construction

survey of adjacent infrastructure before pile driving

Q14. From your experience, do you think monitoring ground vibration due to deep foundation installations at multiple locations is



Field testing: instrumentation plan and sensor purchases



Piezometers, magnetic extensometer, VW continuous settlement (GEOKON) 14/28

Field testing: instrumentation plan and sensor purchases

Geophones
(Purchased 9 from Sercel)
Delayed due to COVID

Piezometers
(Purchased 5 from Geokon)
Received on March 16/2020

VW Settlement(Purchased 1, we had 1)



Vibration wire piezometers, readout, and datalogger





Data acquisition system

Survey equipment









Geophones and acquisition system

Field testing: site locations

In coordination with FDOT, District 5 and Turnpike Engineers:

- Roger Gobin (Turnpike)
- Michael Byerly (District 5)

Project 1: I-4 and

- Larry Jones (FDOT)



Project 2: Wekiva Parkway



(Likely testing site)

Project 3: Turnpike over Central Florida Pkw and CSX Bridge



Project 1: project specifications (I-4 and Turnpike intersection)



FDOT Soil Boring Viewer

Geotechnical Investigation Near Pier 11 17/28

Project 1: project specifications (I-4 and Turnpike intersection)

Florida Turnpike and I-4



Modeling: progress flowchart



Modeling: information obtained from foundation reports





	STATE	OF FLORIDA	DEPARTMENT	OF TRANSP	ORTATIO	NC	70 Cor	0-010-60 struction
		PILE	DRIVIN	g log				Mar-18
		Structu	re No: 1	10113		Page No:	1 of	4
PROJECT No:	238275-52	-01 Da	ite: 7/25/18	Station	No:	123	8+20.01	
PILE Size/Type:	24" SQ PC	P Len	gth (ft): 107.	00 Bent/	Pier No:	1	PILE No:	1
HAMMER Make/Mode	APE D70	0-52 S/N: 1	14097206 Ra	ated Energy (ft-lbs):	86.8	C Operation (BP	ng Rate 1 M): 1	73.6K
REF Elev: +60.	87 (REF 1)	MIN TIP	Elev: -30	.00	PILE CU	JTOFF Elev:	+57.0	07
DRIVING CRITER	A (DC): DC2	Elev:						_
Type:		#NAME?		DC1		DC2,	input if appli	c.
DC Max Stk: 10.00	Min Stk red	a for PR:	(1)	blows @	-	(6)	blows @	<u> </u>
Notes. Too & Port Pro		5	(3)	blows @	- "`	(8)	blows @	
			(4)	blows @	ft,	(9)	blows @	ft,
SC criteria (if applic):	bpl @	fi fi	tStk (5)	blows @	ft,	(10)	blows @	π,
SCOUR Elev:	PILE CUSHION	Thickness & Ma	terial:		20" PL)	YWOOD		
HAM	MER CUSHION	Thickness & Ma	terial:	2 -1" MIC/	ARTA / :	3 -1/2" ALUI	MINUM	
Pile Activity	Date	Start Time	Stop Time	Weather	Temp [°]	F	Notes	
Preforming	7/24/18	1:15 PM	1:30 PM	M CLOUDY	88			
DRIVE Pile	7/25/18	8:25 AM	9:06 AM	M CLOUDY	78			
						_		
DI E DATA:								
PILL DATA.			wo		le:	MGR	72819	
MANUEACTURED	DUDA		MERI- DILE V			DATE OA	07. 54	7/4.0
MANUFACTURED	By: DURA:	TRESS	MERSPILEN	0: AAD/D)	DATE CA	ST: 9/1	//18
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005 000 000								
PRE-DRILLED Elev:		GR	OUND Rod Rea	d:	Man	GROUND E	LIND Elev (no si	0.87
PREFORMED Elev:	+26.87	Bottom	of Excav Rod Rea	d:	Bot	tom of Excav E	Elev:	icci curc)
PILE HEAD Rod Rea	d:	DH Elaw	PILE HEAD Ele	v: +69.46	}	PILE TIP E	Elev: -37	.54
Top of SOIL PLUG	Elev (for Open E	nded Pipe Piles /	REF - LP + PL 8 H-olles);	= +09.40	Natu	iral Ground F	Flev:	
Inp	ut 'Natural Ground E	EL' ONLY when nat	tural ground surface	is below embank	ment/fill ma	aterial. Otherwis	e, leave this ce	I BLANK
		-	Plumb or	PILE LENGTH	00		EXTENSION/E	
ST VED		Б Ы Ш	Batter ?			Ple		
MIC NIC	×≽×ĕ	S S W S	(click &	ORIGINAL L	INGTH D	PENETRATION elow GROUND	AUTHORIZED	ACTUAL
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RACOA	5 <u>7 5 8</u> 1		PLUMB					
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PIIe PENETRATION (f), below: GR	OUND: 84.41 ft						
CTQP Trainee (supe	rvised by the Q	ualified Inspect	or) Name:					
experiencing the full	pre installation	a log inspectio	TIN:					
Qualified Inspector -	I certify the Pile	e Driving Log	Name & TIN:	MIC	CHAEL	GARTEN G	63554170	
content, and as appli Trainee's participatio	cable, the abov n during this pile	e CTQP e installation:	Signature:		MICH	AEL GART	ΈN	
contract a participatio		a standardtroff.						



PDA/ CAPWAP Data

20/28

Pile Driving Log

Modeling: pile driving log record and GRLWEAP





-1500

<u>GRLWEAP input</u> Hammer Information 1279 Select from following list [12/4/2018-2003] ID: Ram Wt/Ecc. M. Name Type Energy/Power APE D 62-52 60.836 OED 208.606 APE D 70-52 OED 68.686 235.523 APE 7.5a ECH 32.553 53,400 Ultimate Capacity Hammer parameters kN 0.8 8109.11 6 5340.0 10825 kPa Fixed 100 ÷ 2 6230.0 780.0 3.43 Insp. 3 2670.0 8 7120.0 3560.0 8010.0 4 9 5 4450.0 10 8900.0 C Steel C Timber Action >> Incr. Cushion Information Hammer Pile Soil Parameters 2nd Toe - No 3716.12 cm^2 3716.12 Quake MPa 2303 517. Shaft 5.588 mm Const 381 mm 38.1 Toe 6.604 0.8 0.5 kN/mm Damping kΝ Shaft 0.688 s/m Const Smith Toe 0 295 s/m Segments 27.43 Auto 22.75 m Auto. S-Length Shaft Resistance cm² Auto. S-St, Wt 3716.12 Percentage 26 MPa 43740 Splices 100% 23.56 kN/m^3 3716.12 cm² Pile Type 0.0 Dist. Shape Num 2.438 Unknown Profile-Hennigront Other Parameters | SPT N vs. Depti | 141411 -= enter of Lourse 5 Minter Table: 1 Laver Top Deethy 10 new Bottom Depty.

ID

1278

1279

1280

Efficiency

Pressure

Pile material

Concrete

Elastic Modulus

Helmet Weigh!

Pile Information

Thickness

C.O.R.

Stiffness

Length

Penetration

Section Area

Elast Modulus

Spec Weight

1

40.00

Toe Area

Perimete

Pile

14 L/c

Stroke

Area



Cancel Hide.

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Modeling: main phases in the numerical model

Pile driving numerical model stages:

- 1. Define material properties (HSS model) and drainage conditions
- Define model geometry (pile, soil layers and `plastic zone clusters)
- 3. Mesh definition
- 4. Initialization of soil stress field
- 5. Activation stage: pile and plastic zone cluster
- 6. Dynamic analysis: application of 1824 blows separated one second between each other.



			Free-Fiel	d Zone		Plastic Zone			
Parameter	Units	Medium Dense Sand	Medium Stiff Clay	Loose Sand	Dense Sand	Medium Dense Sand	Medium Stiff Clay	Loose Sand	Dense Sand
Thickness	m	6.2	7.0	15.0	16.0	6.2	7.0	15.0	16.0
SPT-N	-	30.0	10.0	20.0	50.0	30.0	10.0	20.0	50.0
D_r	(%)	60.0	-	45.0	85.0	60.0	-	45.0	85.0
R	-	-	-	-	-	0.4	0.4	0.4	0.4
R_s	-	-	-	-	-	0.12	0.12	0.12	0.12
$\gamma_{\rm sat}$	kN/m ³	20.0	19.0	19.7	20.4	20.0	19.0	19.7	20.4
φ'	0	35.5	28.0	33.6	38.6	14.2	11.2	13.5	15.5
Ψ	0	5.5	-	3.6	8.6	2.2	-	1.5	3.5
c'	kPa	1.0	11.5	1.0	1.0	0.0	11.5	0.0	0.0
$\mathbf{S}_{\mathbf{u}}$	kPa	-	110.0	-	-	-	44.0	-	-
E_{50}^{ref}	kPa	36000	9500	27000	51000	518	137	389	734
Eoed	kPa	36000	12000	27000	51000	518	173	389	734
Eurref	kPa	108000	30000	81000	153000	1555	432	1166	2203
$\mathrm{G_0}^{\mathrm{Ref}}$	kPa	100800	70000	90600	117800	1452	1008	1305	1696
m	-	0.5	0.7	0.6	0.4	0.5	0.7	0.6	0.4
y'ur	-	0.3	0.2	0.3	0.3	0.3	0.2	0.3	0.3
γ0.7	x10 ⁻⁴	1.40	9.95	1.55	1.15	1.40	9.95	1.55	1.15
α	-	2.7	2.6	1.9	2.0	0.7	0.6	0.3	0.3
β	x10 ⁻⁴	9.4	9.2	6.7	6.9	2.6	1.9	1.0	1.1
R_{f}	-	0.9	1.0	0.9	0.9	0.9	1.0	0.9	0.9

Modeling: numerical material parameters (HSS model)

Parameters used for the HS small model in our numerical simulations:

References used for definition of parameters:

- For the sandy layers: Brinkgreve et al. (2010)

- For the clayey layers: Vucetic and Dobry (1991) Likitlersuang et al. (2013)

	PLAXIS 2D Model Number	Strength Reduction Factor (<i>R</i>)	Shear Wave Velocity Reduction Factor (R_s)
Reduction factors	Model A	0.4	0.12
for the plastic soil	Model B	0.4	0.2
adjacent to the pile	Model C	0.5	0.12
9 1	Model D	0.5	0.2 23/28

Modeling: overview of results from numerical models



Modeling: comparison versus PPV values in nearby projects

PPVs: Computed with model vs. measured values in nearby projects (Bayraktar et al. 2013):

- Sand Lake Rd. over the Turnpike,
- ➤ SR 528 over the Turnpike,
- ➤ Turnpike over Shingle Creek,
- ➤ Turnpike over US 441, and
- ➢ Kissimmee Park Rd. over Turnpike.



Computed with model vs. measured values in nearby projects (Bayraktar et al., 2013)

Project locations





Conclusions and challenges

1. Continuous pile driving process is currently modeled successfully using plastic zone and soil-pile interaction concepts.

2. A continuous pile driving model matches better pile response than a discontinuous model. A continuous model considers changes in the state of stresses as the pile is installed.

3. Results computed by assuming "wished-in-place" locations of the pile at different elevations applying single hammer blows at those locations, and accumulating those values (i.e., "discontinuous" approach) do not constitute an accurate method to study pile driving dynamics and can produce misleading results.

4. Some numerical issues were found: stiffness errors, model divergence, computational time, and characteristics of forcing function and stroke height changes with depth.

5. Rayleigh damping in the soil mass was used to supplement constitutive model damping. Definition of damping is key.



Task 1 – Technical review

Task 2 – Survey

- Task 3 Field testing
- Task 4 Numerical modeling
- Task 5 Prediction method

Task 6 – Recommendations

Tasks timeline and future plans

	Deliverable # / Description of Deliverable as provided in the scope (included associated task #)	Anticipated Date of Deliverable Submittal	<u>Comments</u>
	Project kickoff teleconference	(month/year)	Completed
	Project kickon telecomerence	05/2019	Completed
	technical background on pile-driving induced settlement and past case studies.	03/2019	Completed
F	Deliverable 2: A survey instrument and technical report with the analysis of the survey data.	09/2020	Completed
u t u	Deliverable 3: A technical report summarizing the results of the first field test, including: (i) details of pile installation, (ii) soil properties at the selected site, (iii) data of excess pore water pressure and ground vibration (PPV) during pile driving, and (iv) measured settlement data during pile installation.	03/2021	- Pending
r e	Deliverable 3b: A technical report summarizing the results of the second field test.	06/2021	
	Deliverable 4: A technical report summarizing the results of the numerical models and parametric studies developed in this research.	09/2021	Working on it!
P l a	Deliverable 5: A technical report summarizing the correlations between settlement, PPV, and distance from source, including a prediction equation or chart for pile-driving induced settlement as a function of PPV, distance, and energy source.	11/2021	
n	Deliverable 6a: Draft Final Report	12/2021	Pending
S	Deliverable 6b: Closeout teleconference meeting and PowerPoint presentation	1/2022	
	Deliverable 7: Final Report	2/2022	27/28

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