

GRIP MEETING 2020, Draft Final Report

Project Title: Comparison of Standard Penetration Test (SPT) N-value with Alternative Field Test Methods in Determining Moduli for Settlement Predictions



PRESENTED BY

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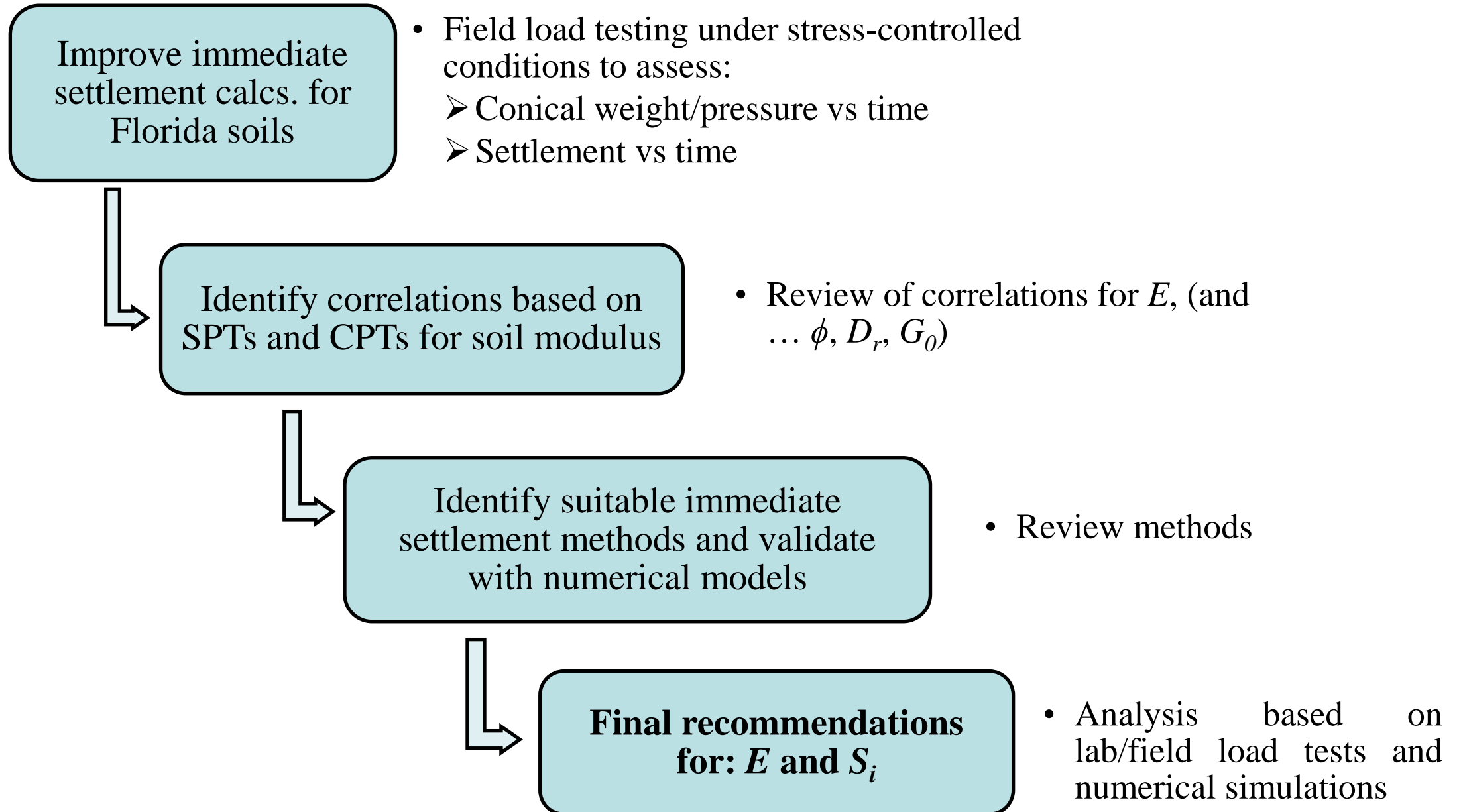




Selected Topics:

- Technical review
- Conical load field testing program
- Laboratory testing program
- Numerical modeling and correlations
- Final recommendations

Project goals: reminder



Technical review

Summary **correlations for E**
with SPT, CPT, DMT, PMT
 ≈ 73 correlations

Examples:

$E/Pa=5N_{60}$ (sand with fines)
 $E/Pa=10N_{60}$ (clean NC sand)
 $E/Pa=15N_{60}$ (clean OC sand)

$E_s = 7.5 + 0.8N$, MPa

$E_s = 2.5q_c$ $L/B=1$ to 2
 $E_s = 3.5q_c$ $L/B \geq 10$

$E = 3.33 (N + 5)$, tons/ft²
(Clayey saturated sands)

Bachelier and Parez (1965)
Begemann (1974)
Bogdanovic' (1973)
Bowles (1996)
Buisman (1940)
Chaplin (1963)
Clayton et al. (1985)
CPT Guide-2015
De Beer (1967)
DeBeer (1974b)
Denver (1982)
Farrent (1963)
FHWA-IF-02-034
Gielly et al. (1969)
Kulhawy and Mayne (1990)
Meigh and Corbett (1969)
Papadopoulos (1982)
Sanglerat et al. (1972)
Schmertmann (1970)
Schmertmann et al. (1978)
Schultze and Melzer (1965)
Thomas (1968)
Totani et al. (2001)
Trofunenkov (1964)
Trofunenkov (1974)
Vesic (1970)
Webb (1969)

Summary **methods for S_i**
calculation. ≈ 32 methods:
- Theory of elasticity
- Semi-empirical with SPT,
CPT, DMT, PMT

Examples:

$$s = \frac{q \cdot d \cdot I_G \cdot I_F \cdot I_E \cdot (1 - \nu^2)}{E_0}$$

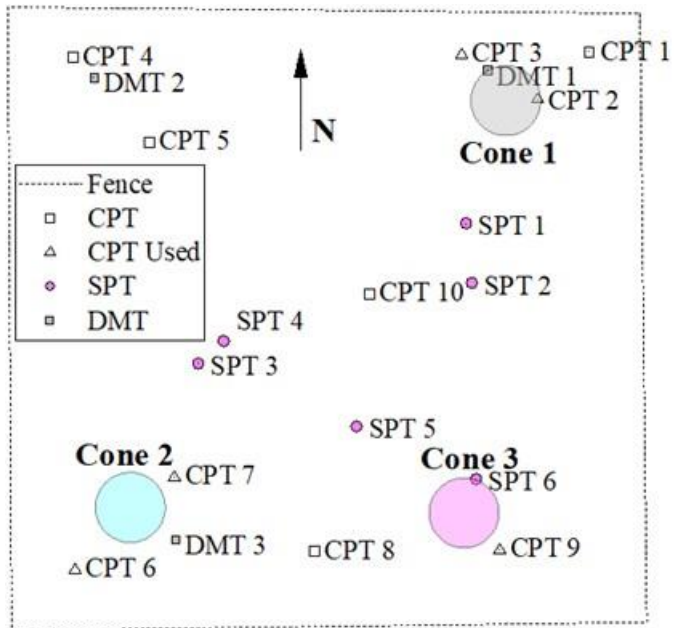
$$s = C_1 C_2 q \sum_{i=1}^n (I_z / E_s) z_i$$

Alpan (1964)
Anagnostopoulos et al. (1991)
Arnold (1980)
Berardi et al. (1991)
Bowles (1987)
Briaud (1992)
Burland and Burbidge (1985)
Can. Found. Manual (1975)
D'Appolonia (1968)
DeBeer (1965)
DeBeer and Martens (1957)
Elastic half-space method
Hough method (1959)
Leonards and Frost (1988)
Mayne and Poulos (1999)
Menard and Rousseau (1962)
Meyerhof (1965)
Meyerhof (1974)
Oweiss (1979)
Papadopoulos (1992)
Parry (1971)
Peck and Bazaraa (1969)
Peck et al. (1974)
Robertson (1991)
Schmertmann (1970)
Schmertmann (1986)
Schultze and Sherif (1973)
Teng (1962)
Terzaghi and Peck (1967)
Tschebotarioff (1953, 1971)
Webb (1969)

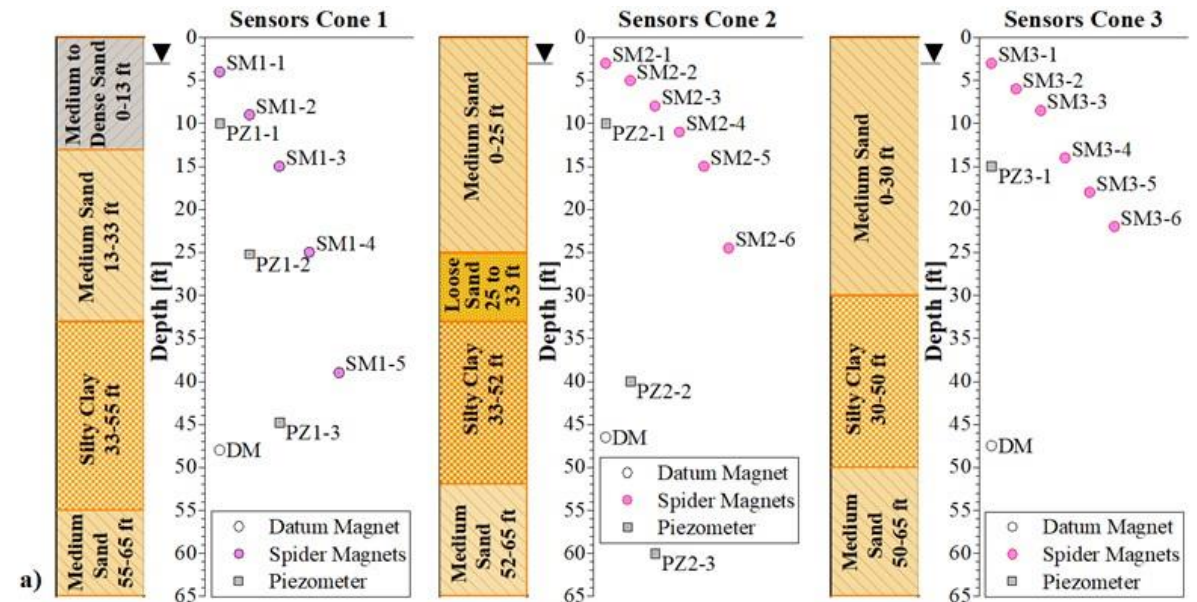
Note: only authors are shown. See report for correlations and methods.

Plan view of field tests

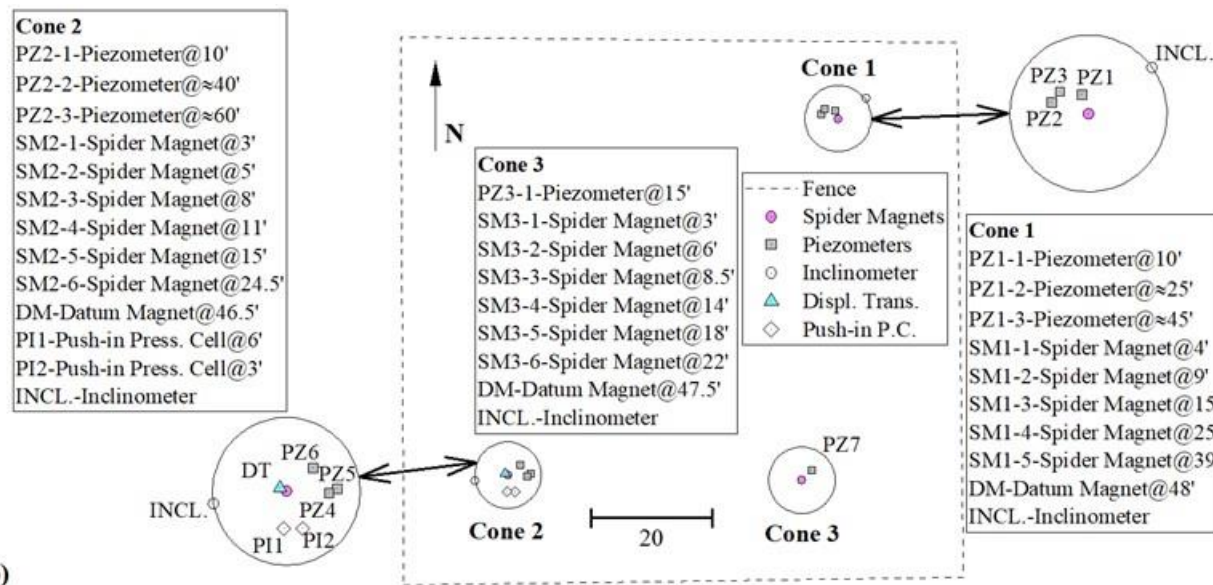
Conical load and field tests



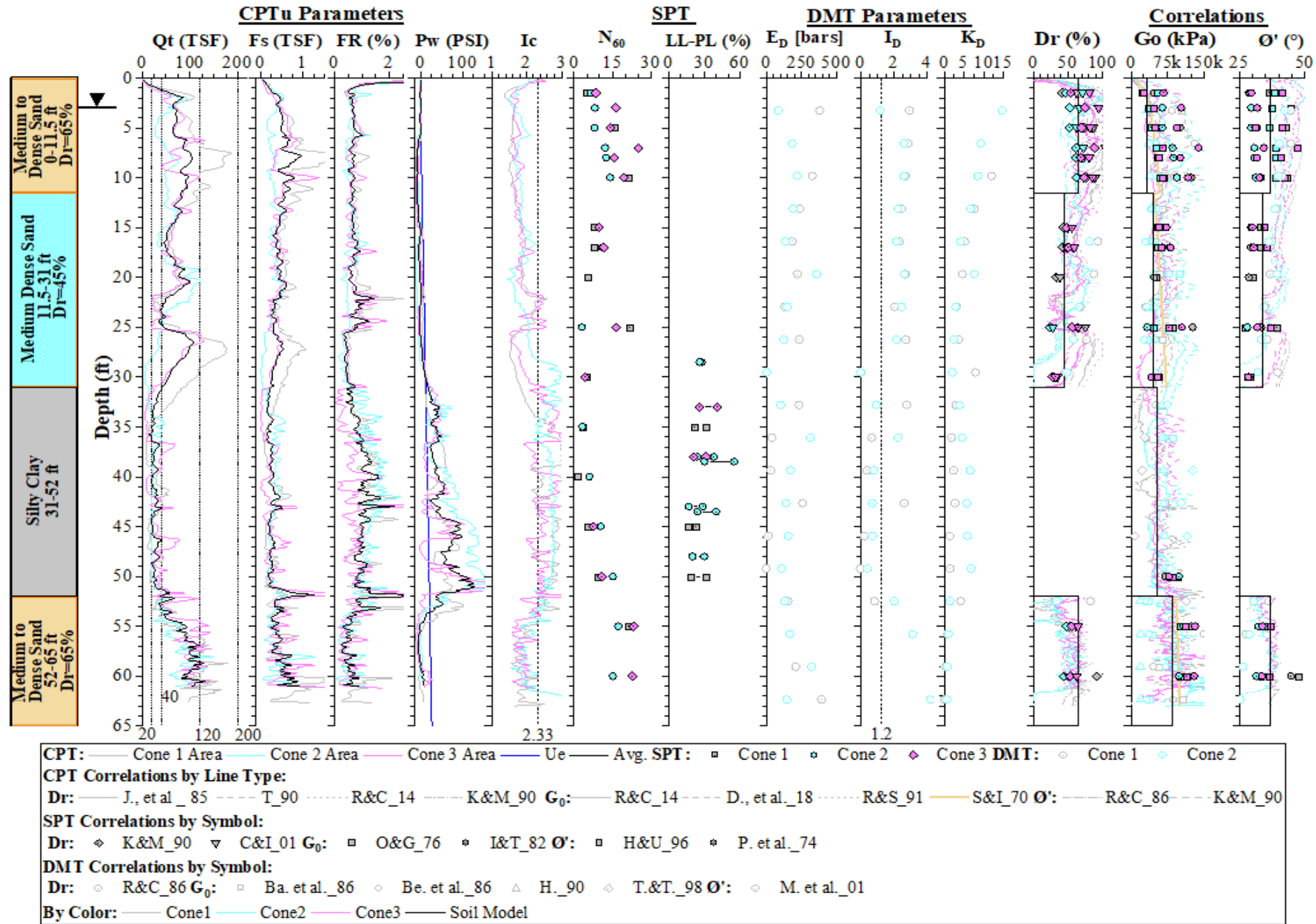
Field instrumentation location: elevation view



Field instrumentation location: plan view



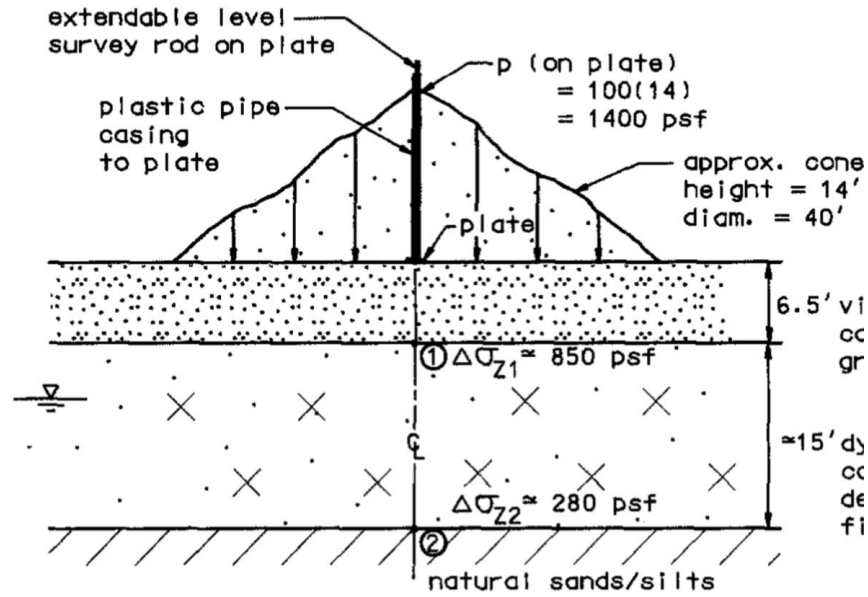
Summarized soil profile at project site



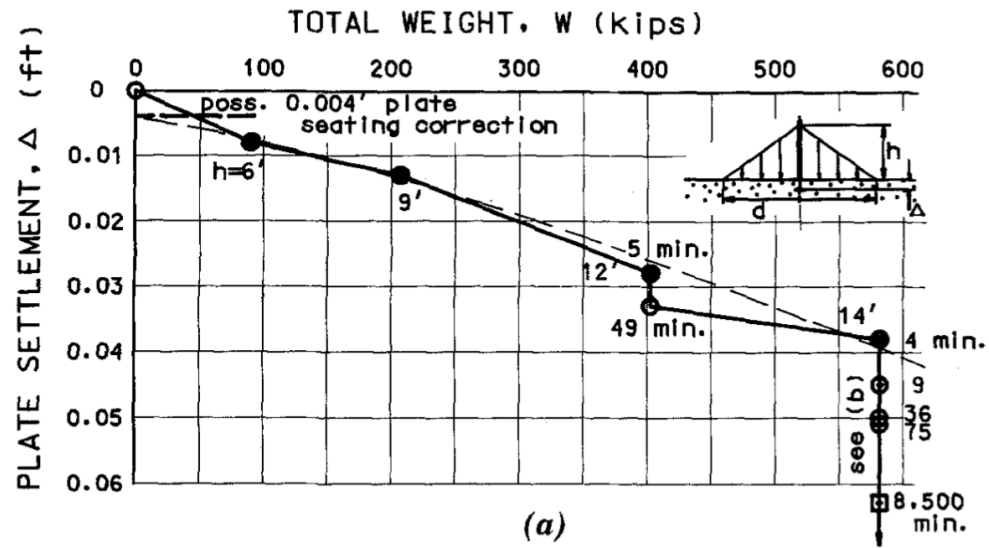
Conical load tests (Schmertmann 1993)

Measurements:

- Settlement at cone centerline (3 ways)
- Pore water pressures (hydrostatic + excess)
- Stresses at ground surf.
- Density of soil loading
- Horiz. stresses with push-in cells
- Horiz. deformations with inclinometers

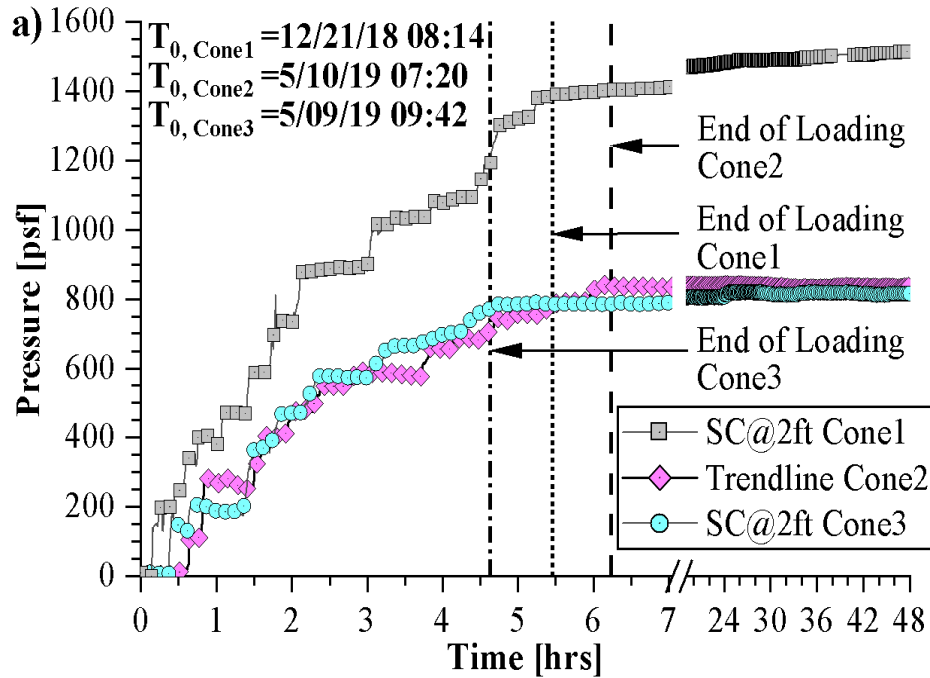


Video:

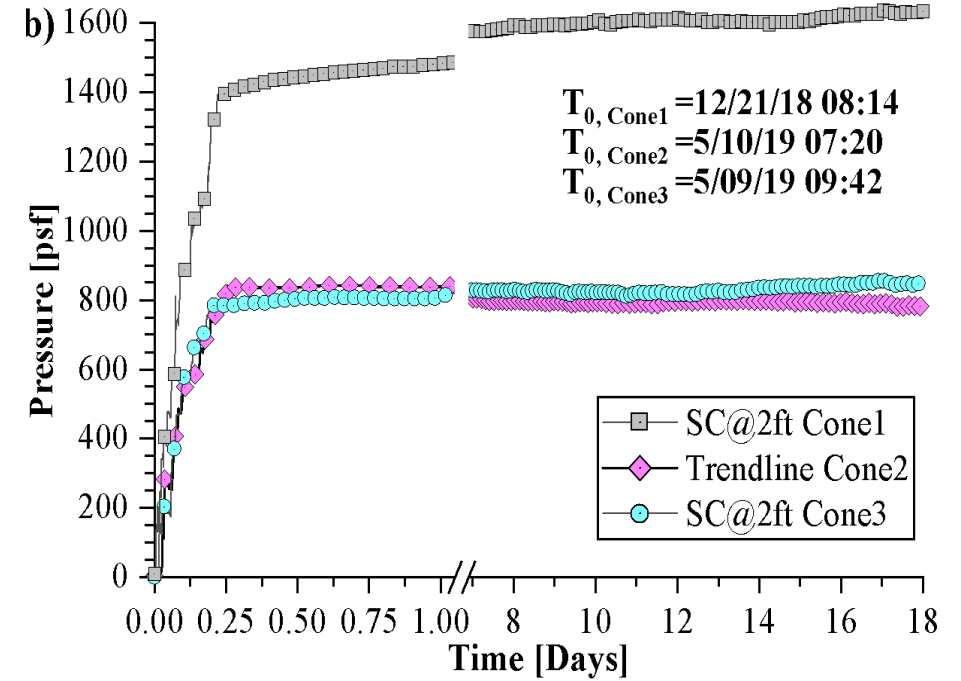


Results field tests: stress cells vs time

During the tests

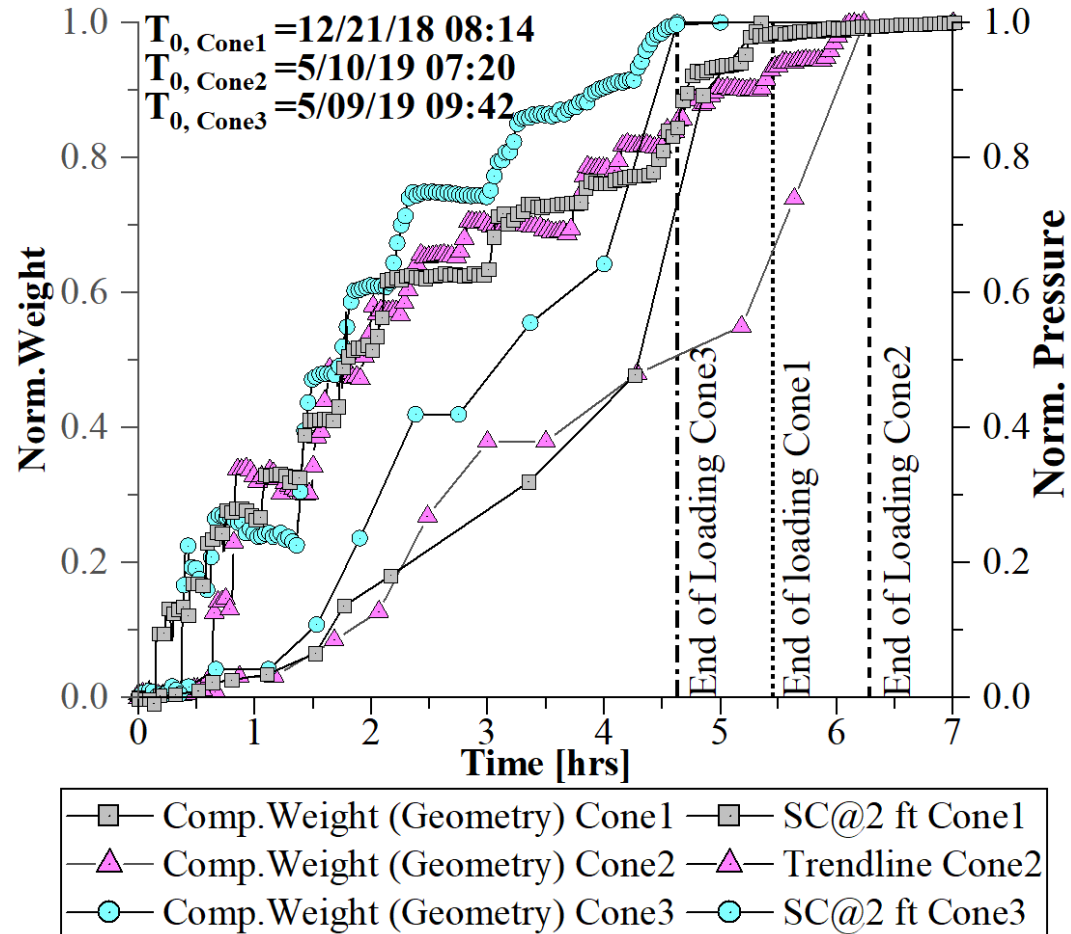


Long-term data



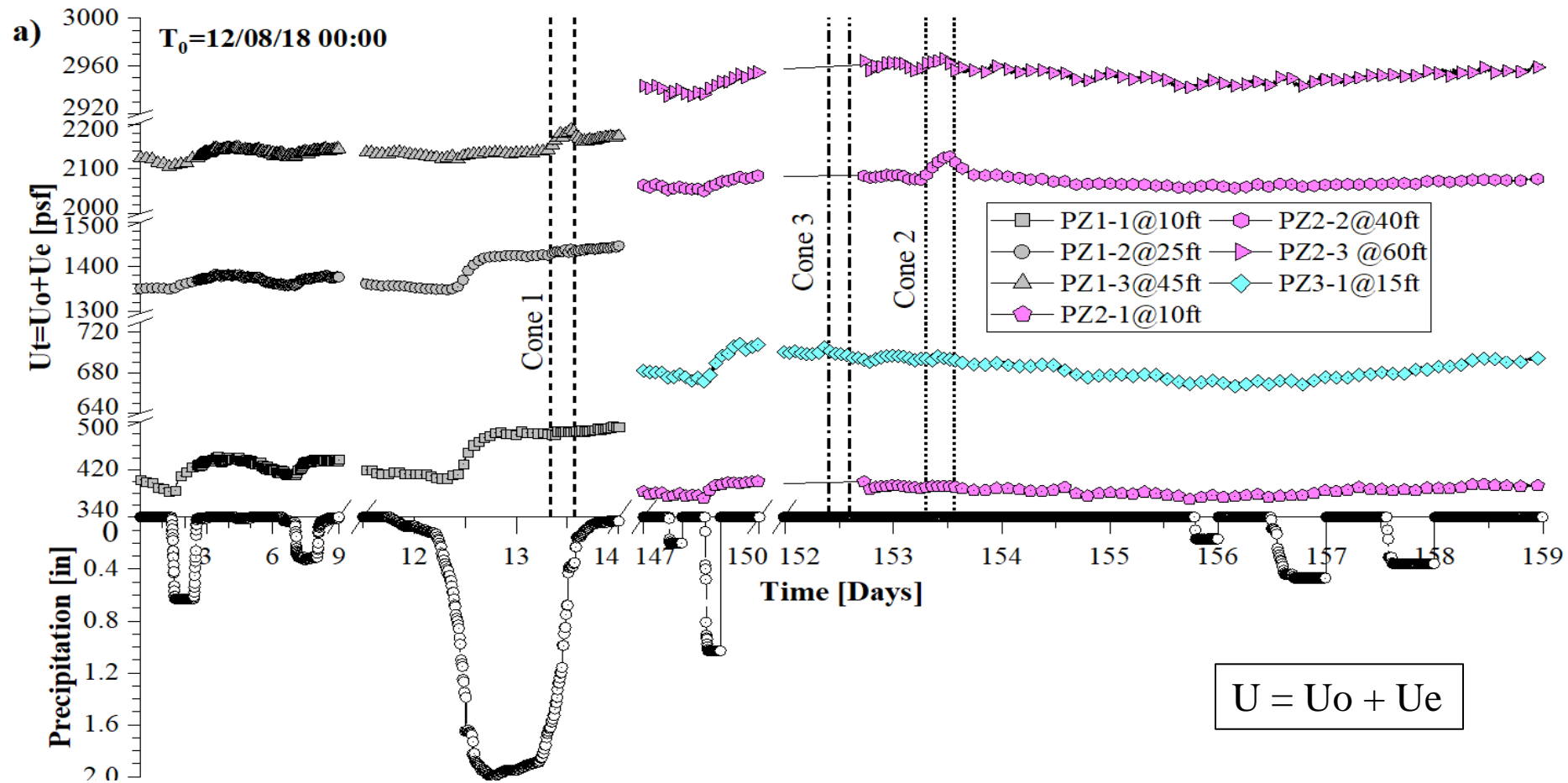
- Pressures near the cone centerline are shown
- Soil unit weight at cone 1 (100pcf) and cones 2-3 (90pcf). (ASTM sand cone test)
- Higher water content of the loading material at cone 1 than cones 2-3 (10% vs 6%)
- Final cone volumes (7335 , 5734 , and 5990 ft^3 at cones 1 to 3, respectively)
- Slightly larger long-term variation at cone 1 because of rainy season
- Negligible long-term variation of settlement, which means they are immediate.

Results field tests: normalized weights and pressures vs time



- Differences between normalized weights and pressures show the stiffness effect of the conical load material (deformable soil body).
- Differences in loading rates for all tests were negligible.
- Stress redistributions and soil “arching” in conical soil arrangement were identified. Stiffness of the applied load is important.

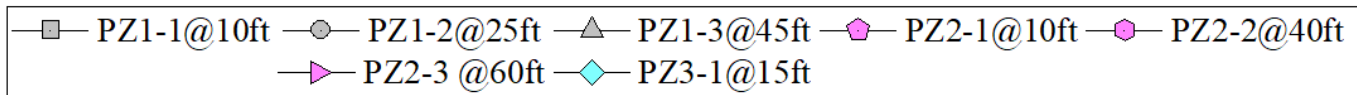
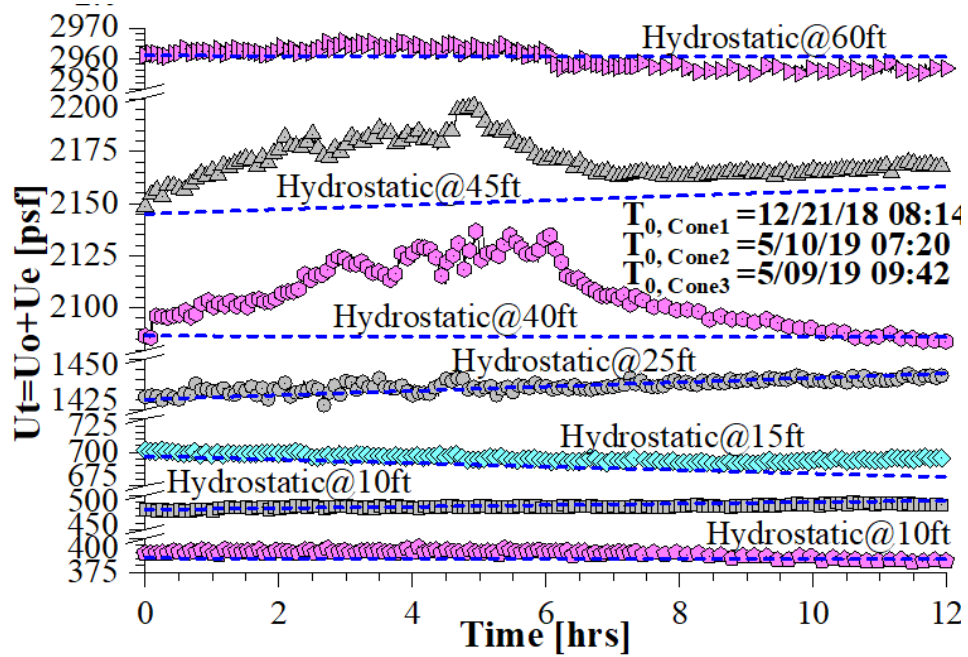
Results field tests: porewater pressures (long-term)



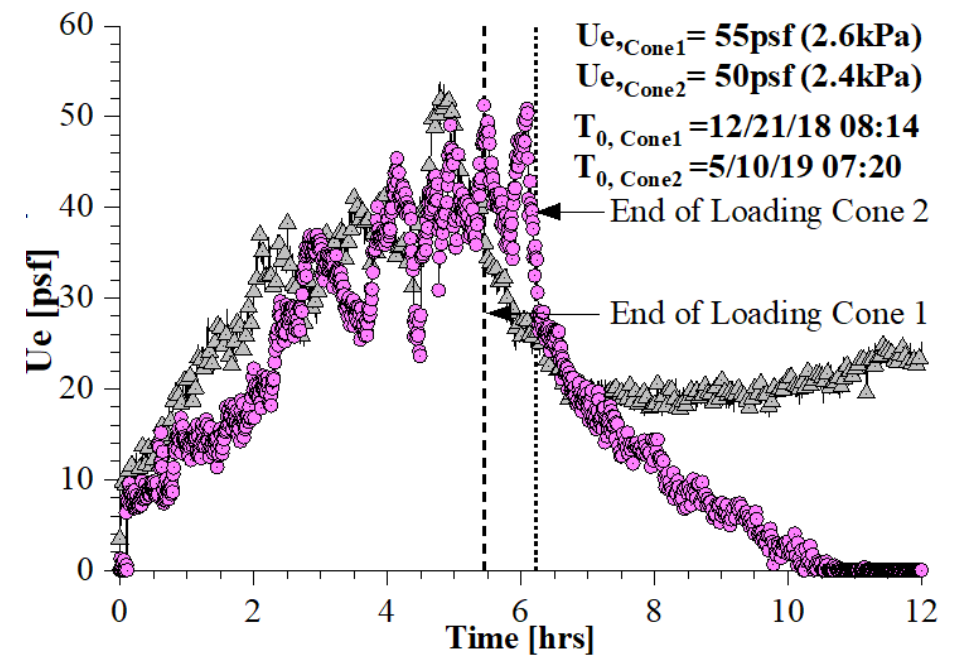
- Measurements completed using 7 piezometers. Recall: $U = U_o + U_e$.
- Water table fluctuations correlated well with precipitation data to find U_o . Conical load-induced excess porewater pressure is U_e .
- Results were used to check: 1) variation of water table vs. time, 2) soil type at each location, 3) type of settlement: S_i , S_c or S_s , and 4) possible downward flow conditions.

Results field tests: porewater pressures vs time (short-term)

Total porewater pressure (during test)

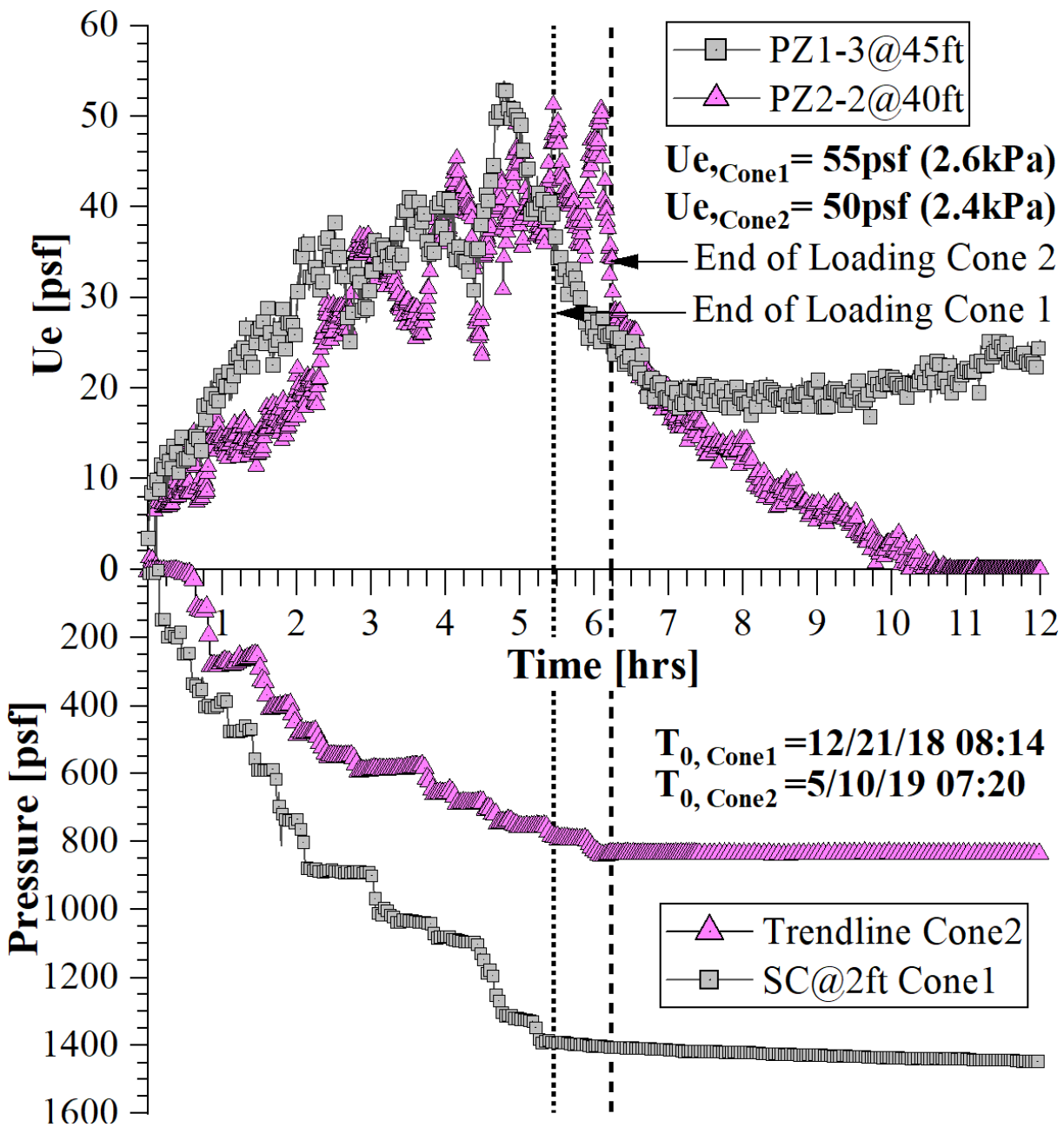


Excess porewater pressure (during test)



- Negligible U_e measured. Even at deep fine soil layer... then only S_i was measured.
- U_e dissipated fast after test was completed.
- Confirmation of 40 ft deep vertical influence zone, initially estimated using Boussinesq analyses.
- Observe small U_e in right-hand side figure installed in the deep silty clay layer.

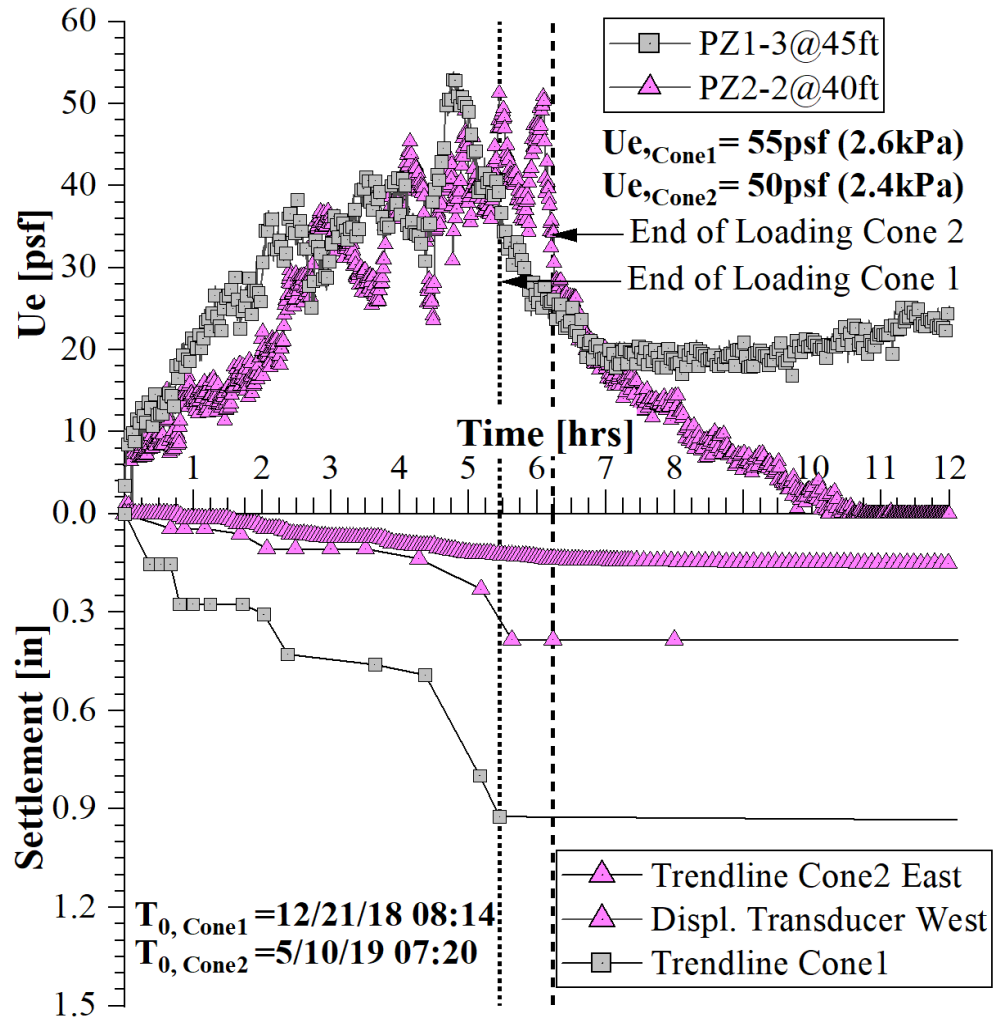
Results field tests: U_e and earth pressures at ground surface



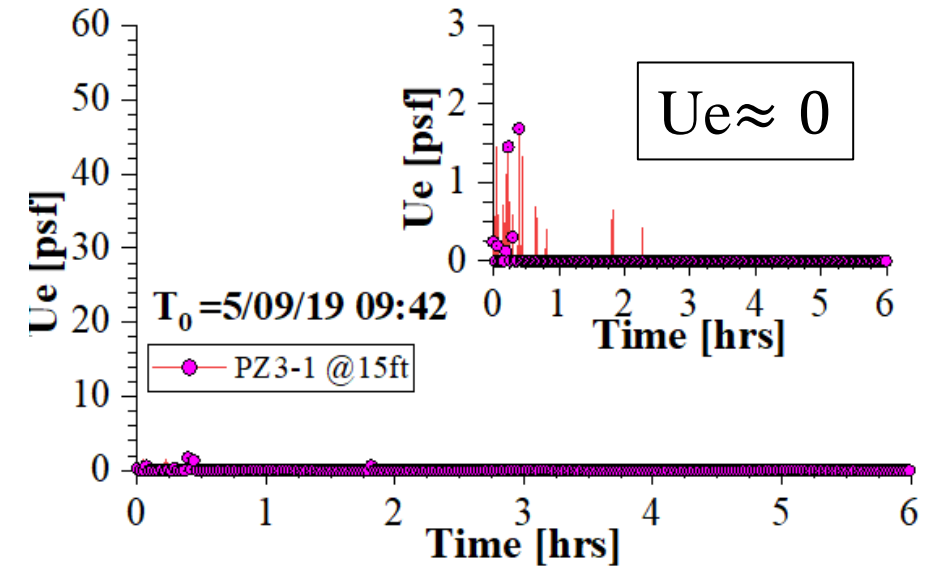
- U_e are less than 10% of the vertical effective stress at that depth, which implies negligible U_e at 40 ft.
- As conical loading increases, U_e builds up.
- As conical loading was completed, and U_e dissipated... what happened to S_c ?

Results field tests: U_e and settlement at ground Surface

Typical piezometers at the silty clay layer (@40ft)



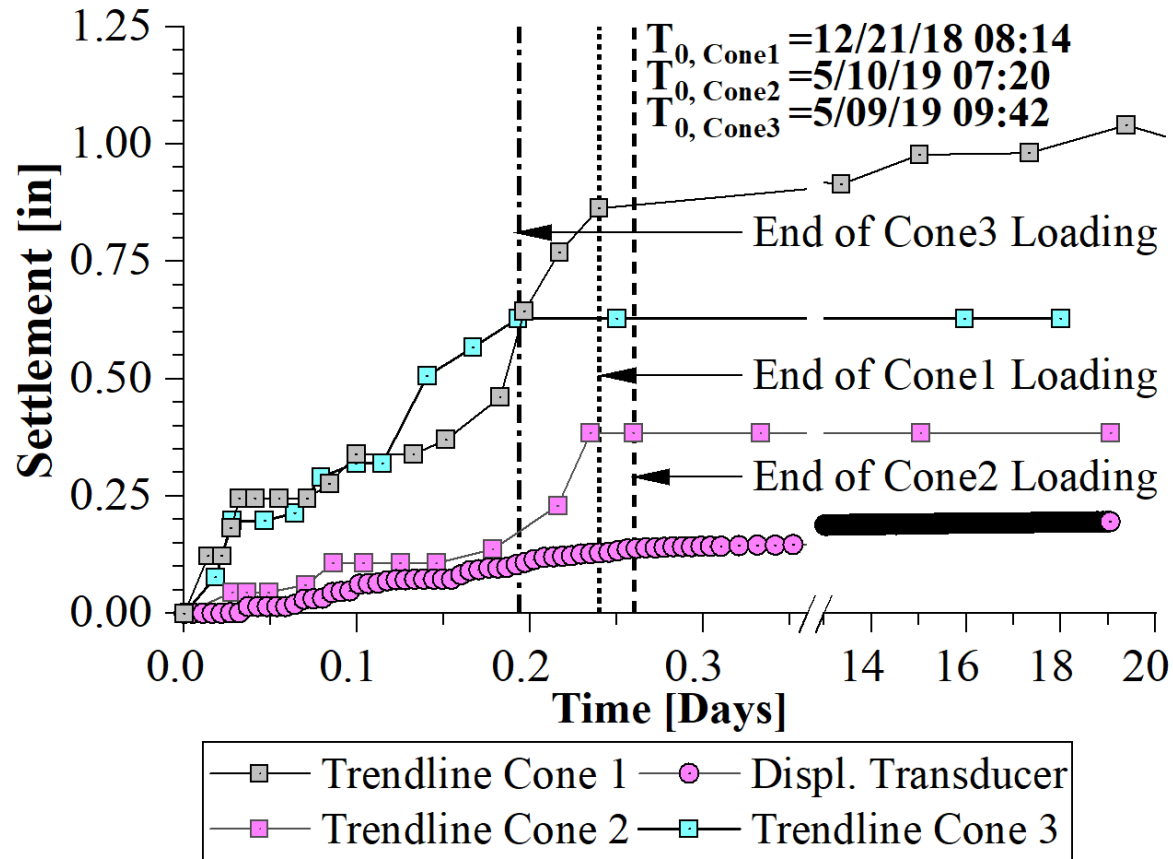
Typical piezometers at the sandy layers (above 40ft)



Remember: $S_{total} = S_i + S_c + S_s$

- S_c ? Nope!
- S_s ? Nope!
- S_i ? Yup!

Results field tests: settlement (long-term)



Cone 1: $S_i \approx 0.75''$ to $1''$

Cones 2-3: $S_i \approx 0.4''$ to $0.6''$

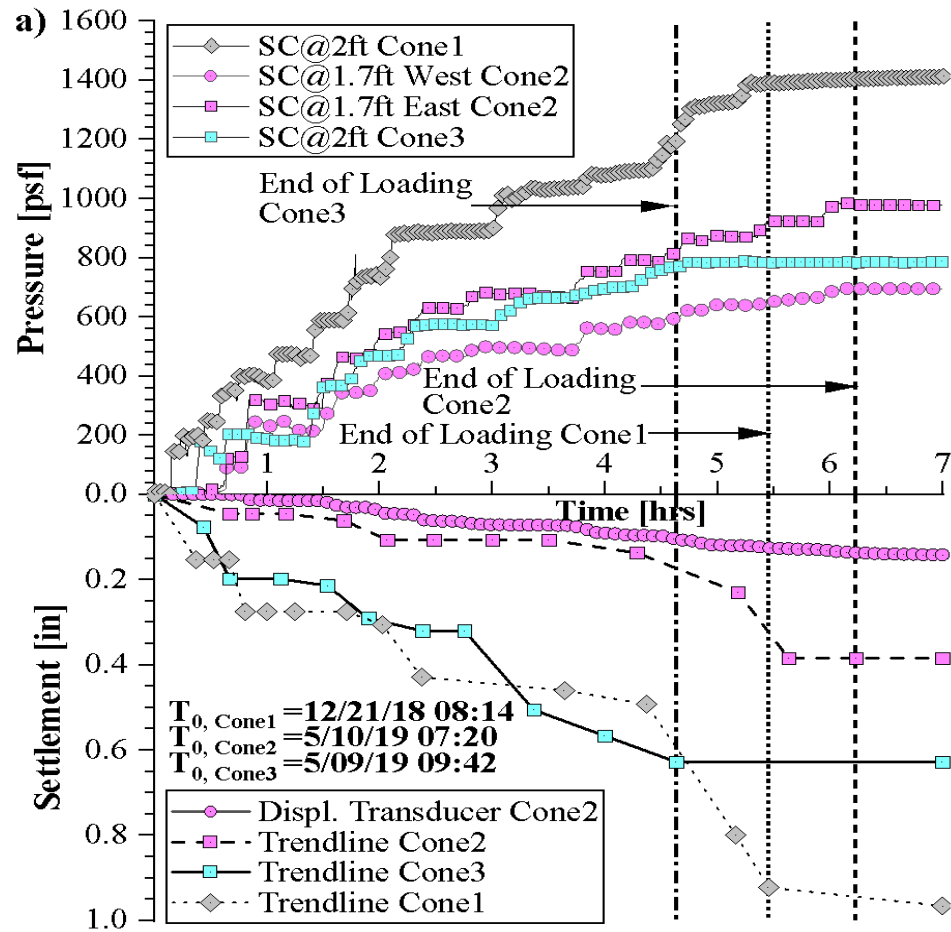
Differences arising from:

- Unit weight and volume of loading material
- Slightly different soil conditions for each cone

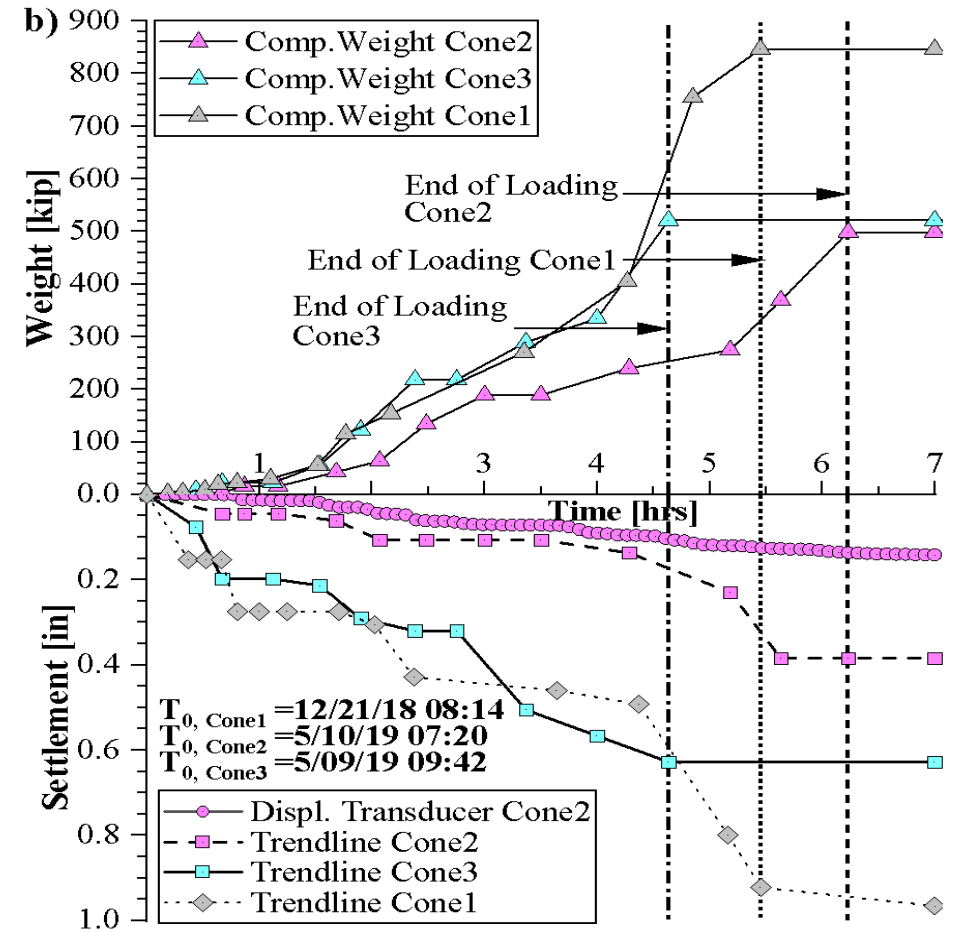
- Negligible long-term settlement data, negligible S_c after U_e dissipated, zero S_s was measured. Thus, only S_i was measured!
- For an influence zone of 40 ft, computed axial strains (ϵ_a) mobilized by conical loading were about 0.1 to 0.2%. (Important because E is a function of mobilized strains by the applied loading!)

Results field tests: settlement, pressure, and weights vs time

Pressure and settlement vs time



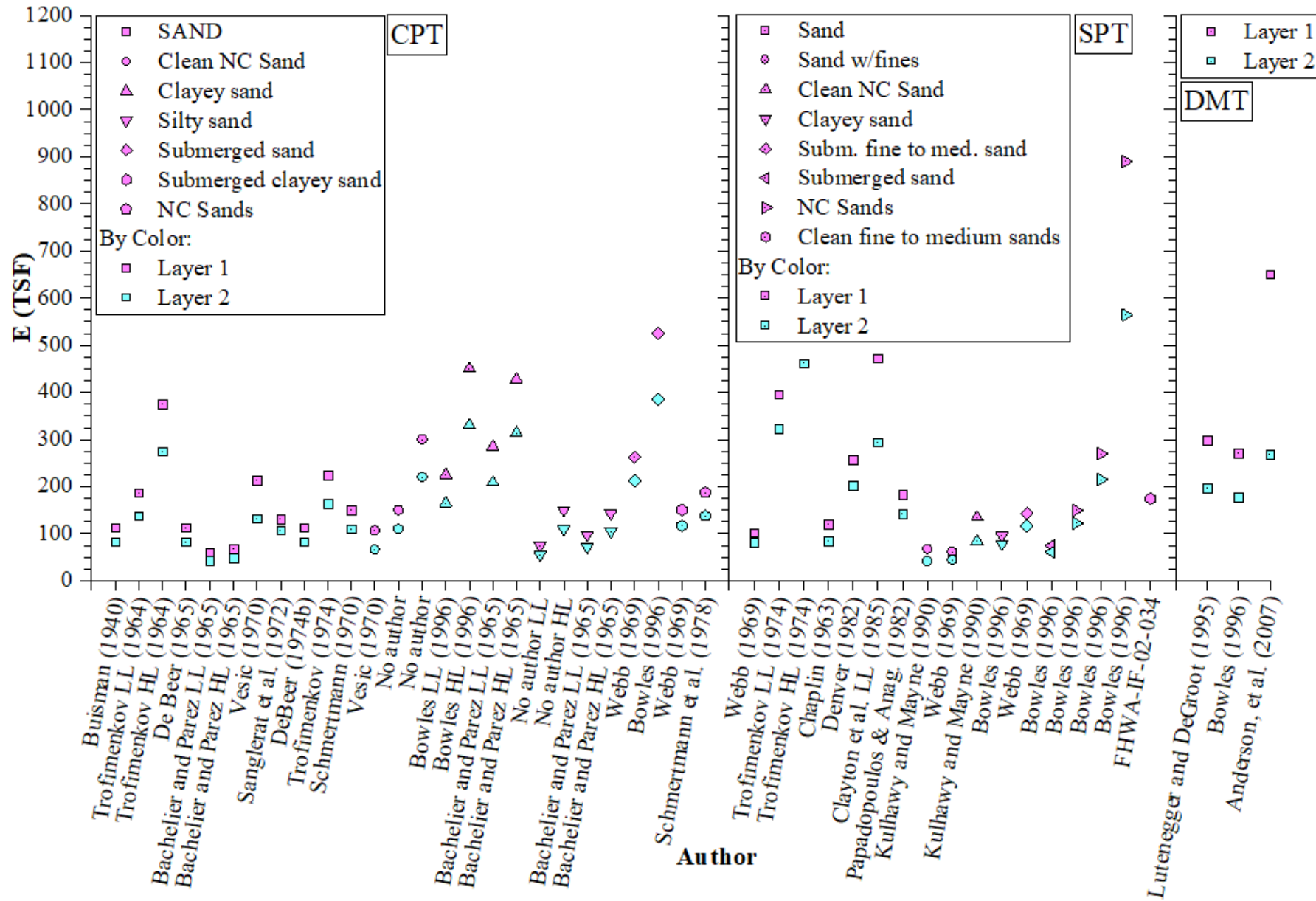
Weight and settlement vs time



- Settlement variations were best described by pressures rather than weights.
- As expected, larger pressures due to conical loads caused larger settlements
- Stiffness of conical load can be evaluated with stress cells, not as good using weights as Schmertmann did.

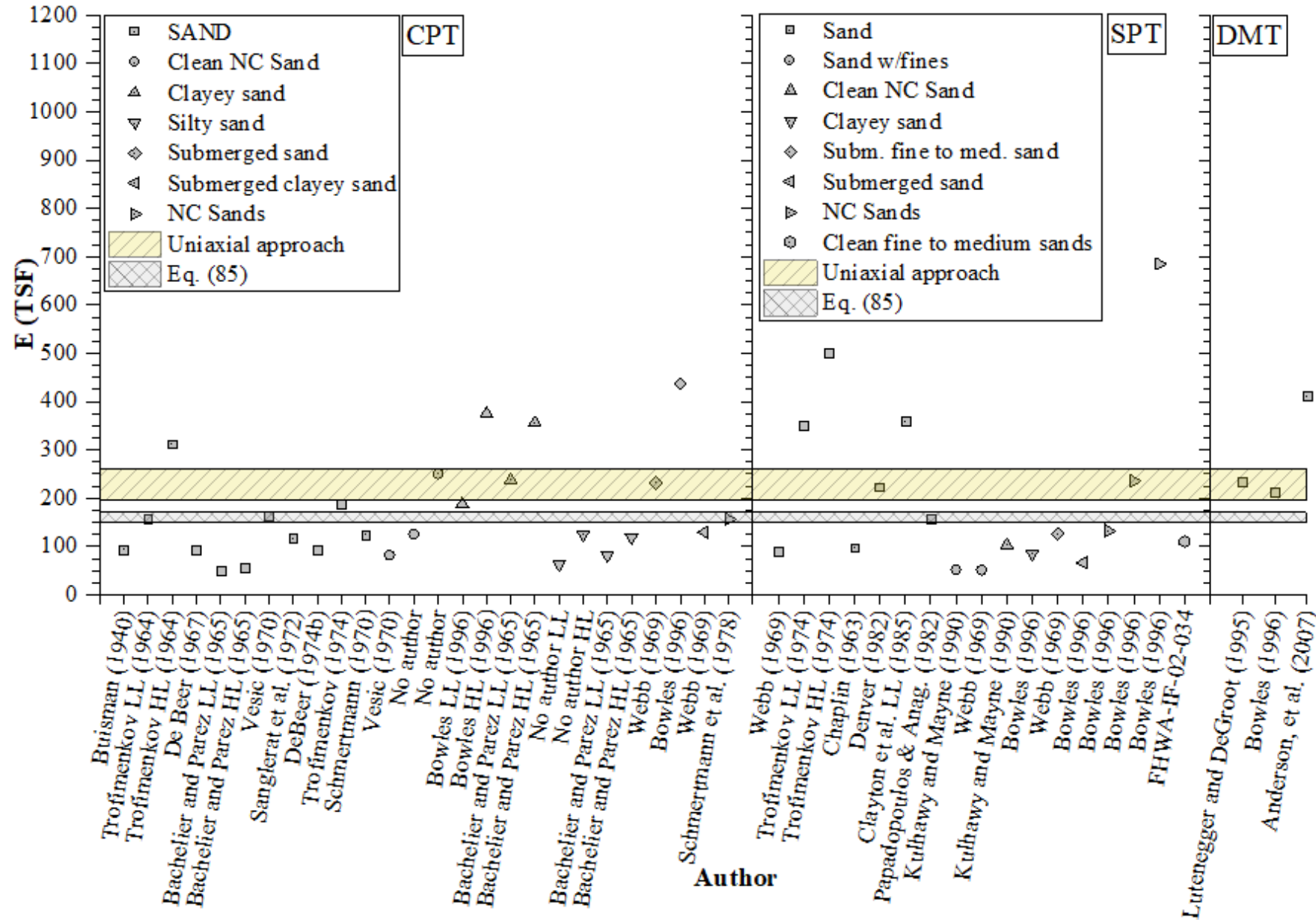
Conclusions about conical load test results

$E_{computed}$ using published correlations with field tests (SPT, CPT, DMT)



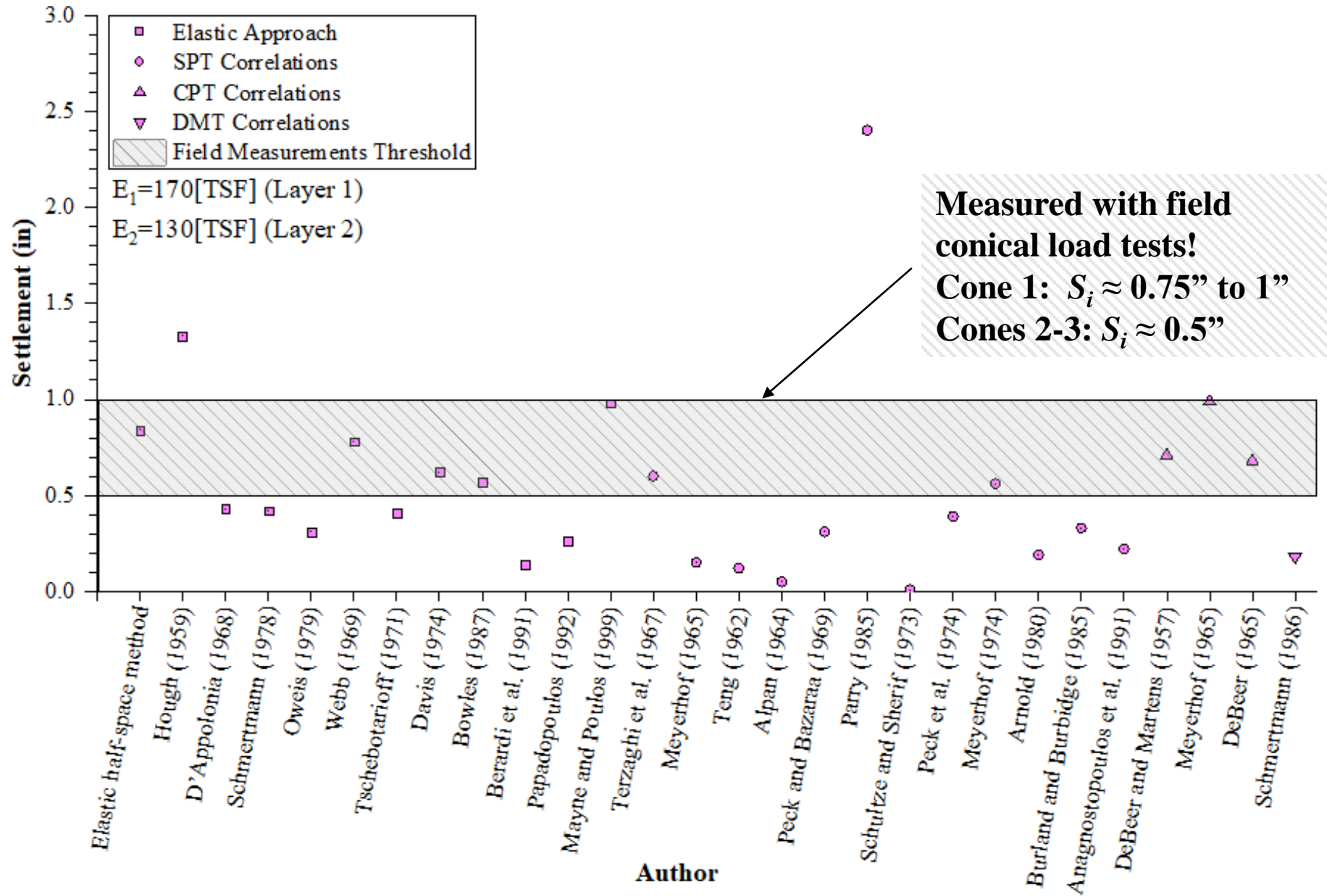
Conclusions about conical load test results

$E_{computed}$ for topmost sandy layers versus $E_{measured}$ with conical load tests



Conclusions about conical load test results

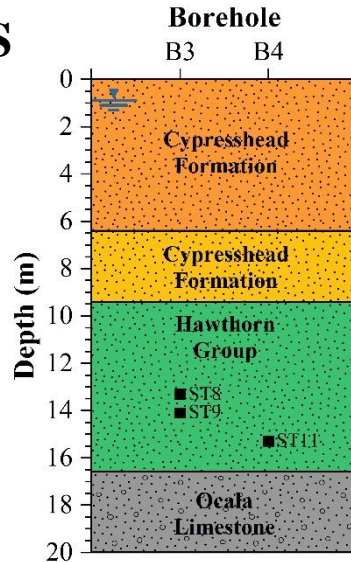
Computed S_i using published methods versus measured values from conical load tests



Laboratory testing program: part 1

TOPMOST GRANULAR SOILS

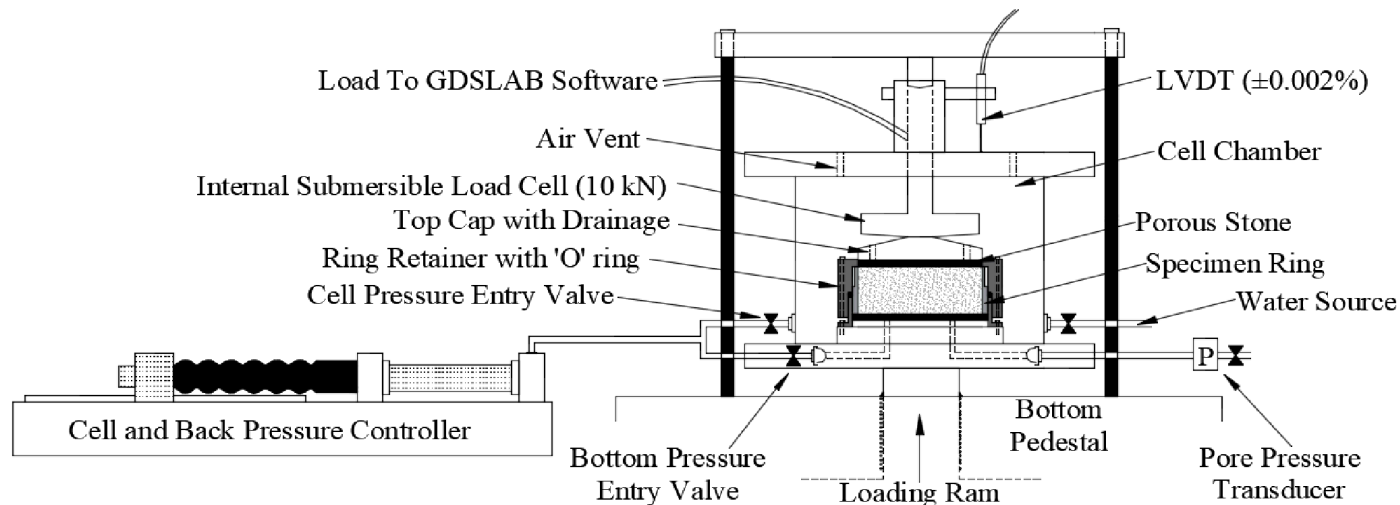
Geologic soil profile



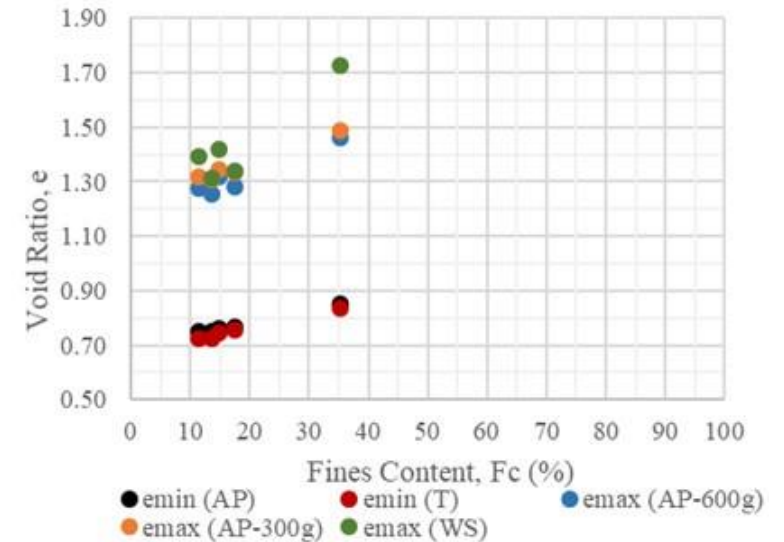
Index tests

Loc.	Sample	Depth [ft]	w_n^a [%]	Sand ^b [%]	Fines ^b [%]	Silt ^c [%]	Clay ^c [%]	G_s^d	LL^e [%]	PL^e [%]	Classification ^f
SW	A1-J1	2.50	17	-----	-----	-----	-----	-----	-----	-----	-----
SW	A1-B1	7.50	27	82.6	17.4	1.1	16.3	2.650	NP	NP	SM
SW	A1-B2	12.50	30	86.3	13.6	1.9	11.8	2.662	NP	NP	SM
SW	A1-B3	16.25	28	64.6	35.3	4.8	30.4	2.661	NP	NP	SM
NE	A2-J1	2.50	19	-----	-----	-----	-----	-----	-----	-----	-----
NE	A2-B1	7.50	23	85.8	14.2	-----	-----	2.629	NP	NP	SM
NE	A2-B2	12.50	28	88.5	11.4	1.8	9.6	2.659	NP	NP	SP-SM
NE	A2-B3	16.25	32	85.1	14.8	4.2	10.6	2.654	NP	NP	SM

CRS device for IL, CRS, CRL compressibility tests

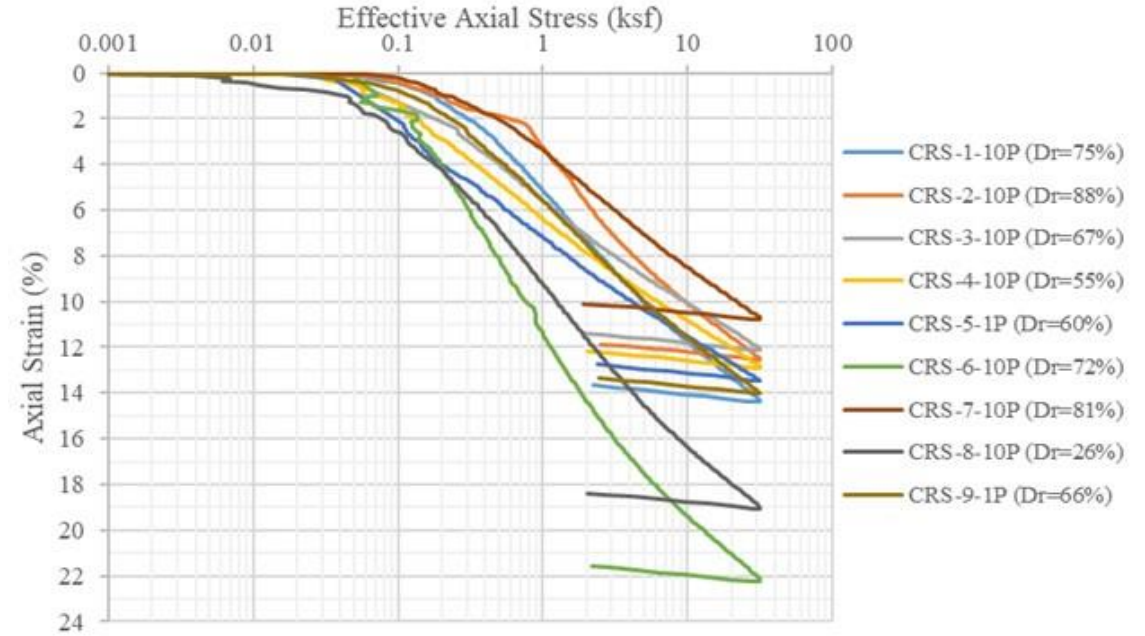
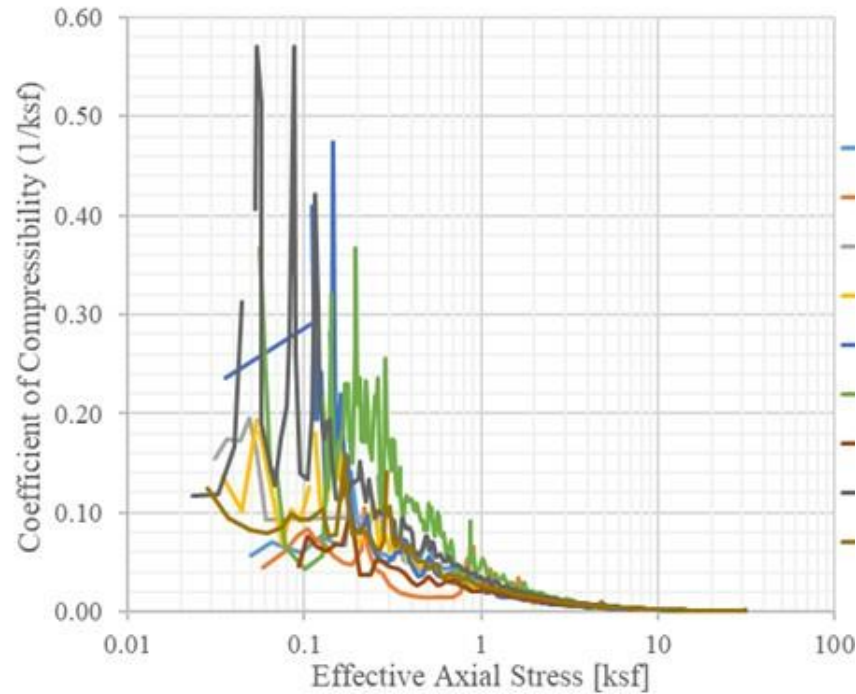


e_{min} and e_{max} for D_r calcs.



Laboratory testing program: part 1

Coefficient of compressibility and stress-strain behavior



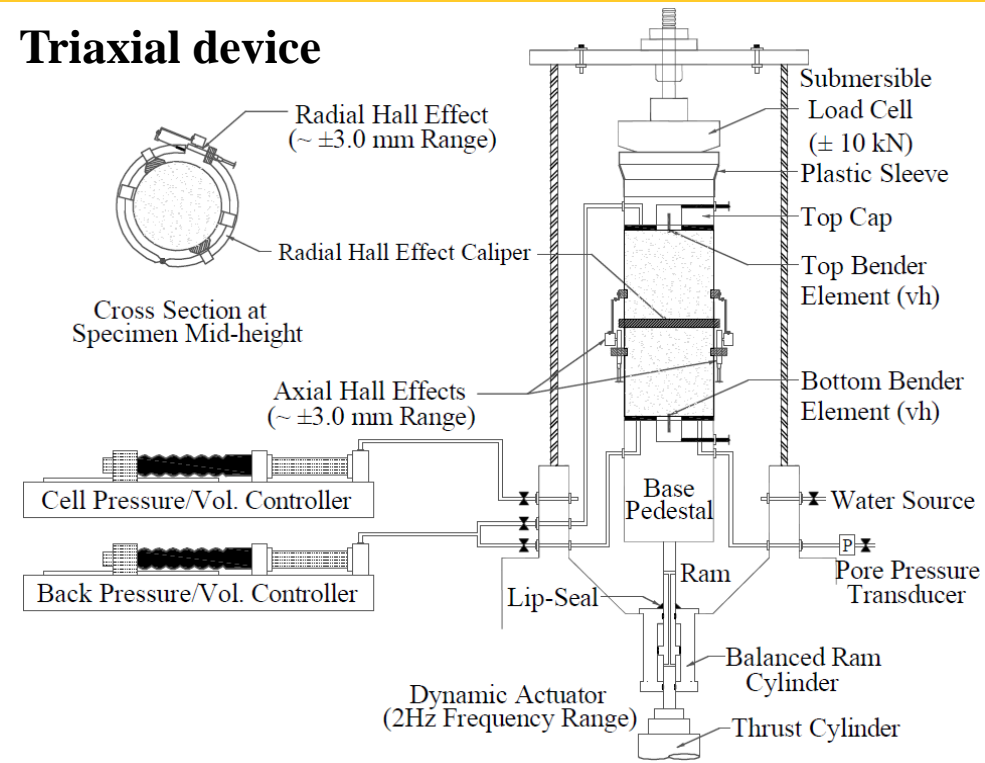
Soil compressibility

Location	Sample	Specimen	Strain Rate [%/hr.]	Depth [ft]	D_r [%]	C_c	C_r	C_r/C_c
SW	A1-B1	CRS-1-10P	10	7.50	75	0.119	0.012	0.10
SW	A1-B1	CRS-2-10P	10	7.50	88	0.115	0.012	0.10
SW	A1-B2	CRS-3-10P	10	12.50	67	0.088	0.012	0.14
SW	A1-B2	CRS-4-10P	10	12.50	55	0.093	0.013	0.14
SW	A1-B2	CRS-5-1P	1	12.50	60	0.088	0.013	0.15
SW	A1-B3	CRS-6-10P	10	16.25	72	0.177	0.013	0.07
NE	A2-B2	CRS-7-10P	10	12.50	81	0.090	0.011	0.12
NE	A2-B2	CRS-8-10P	10	12.50	26	0.157	0.013	0.08
NE	A2-B2	CRS-9-1P	1	12.50	66	0.114	0.012	0.11

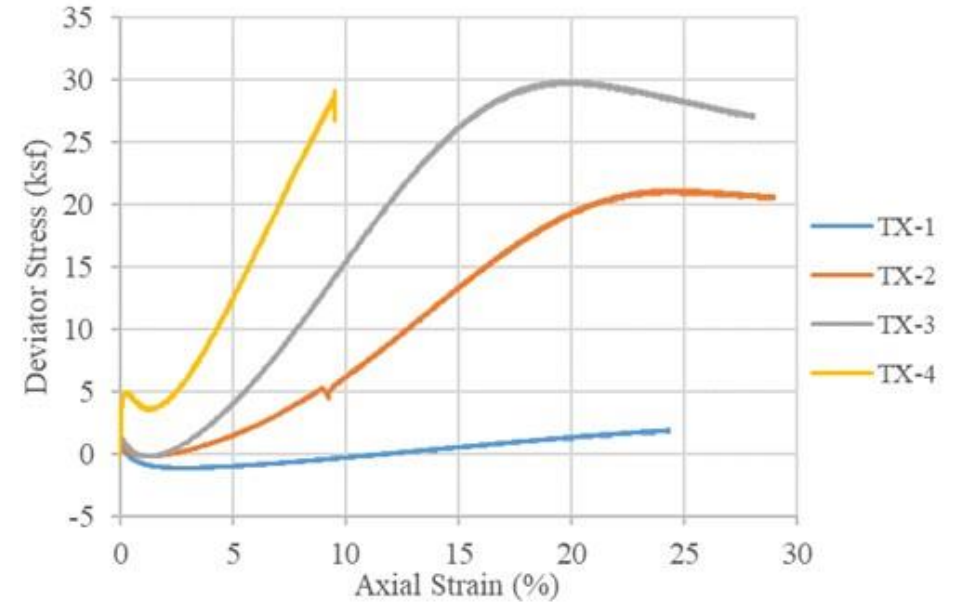
Note: For constrained modulus and hydraulic conductivity (see report)

Laboratory testing program: part 1

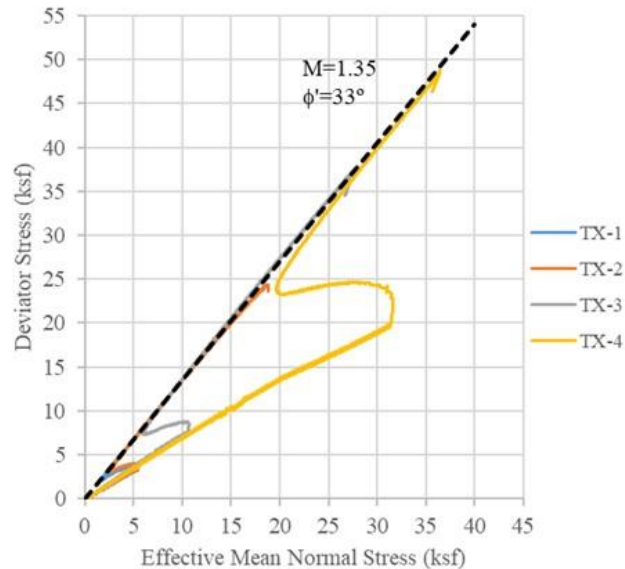
Triaxial device



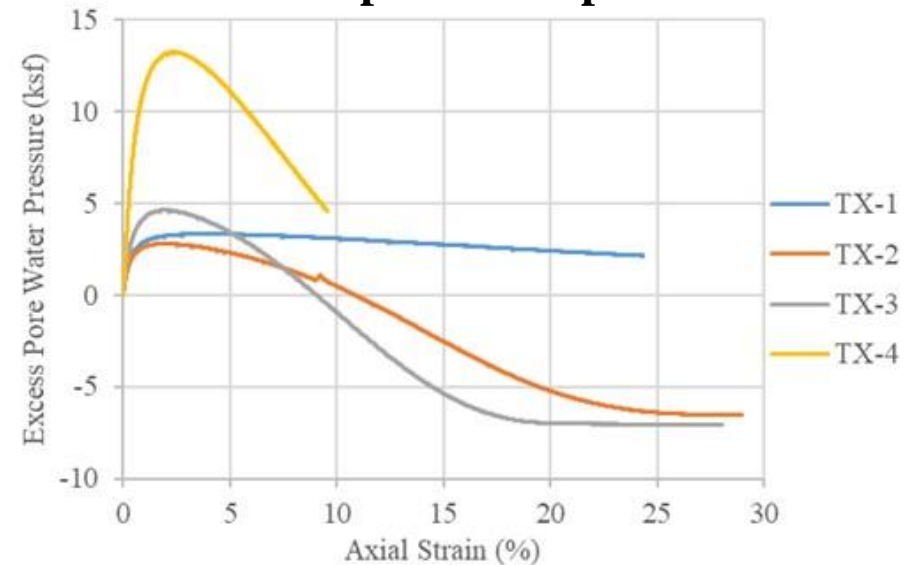
Shear stress-strain behavior



Stress paths



Excess porewater pressures

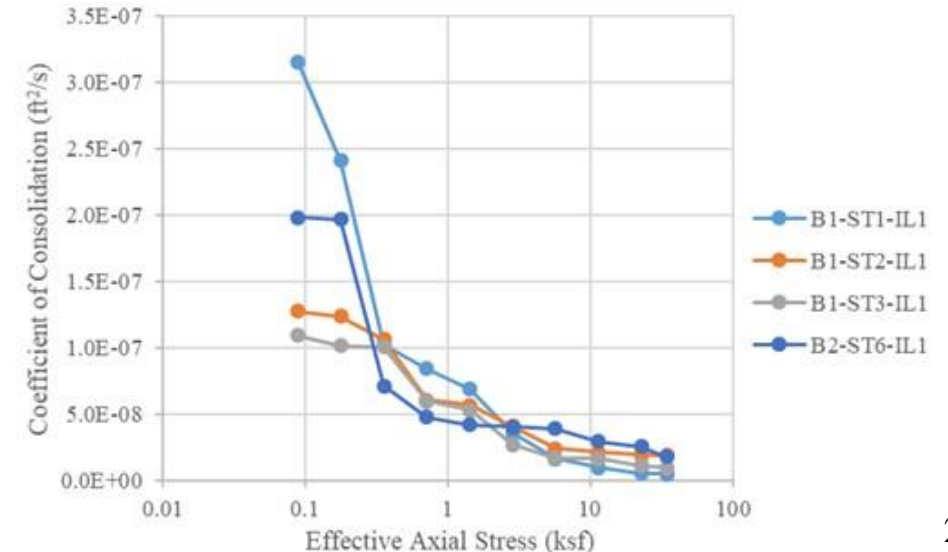
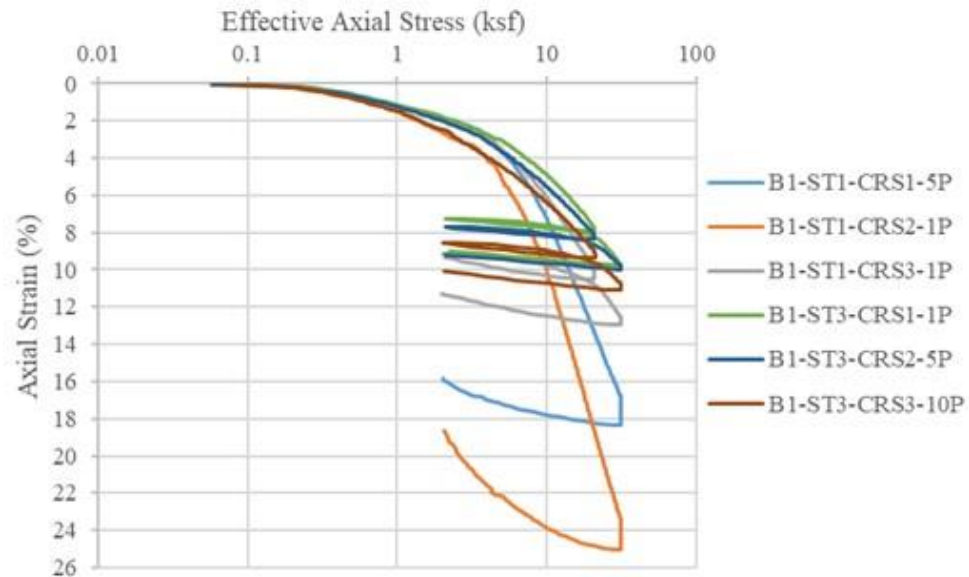


Laboratory testing program: part 2

DEEP FINE-GRAINED SOILS

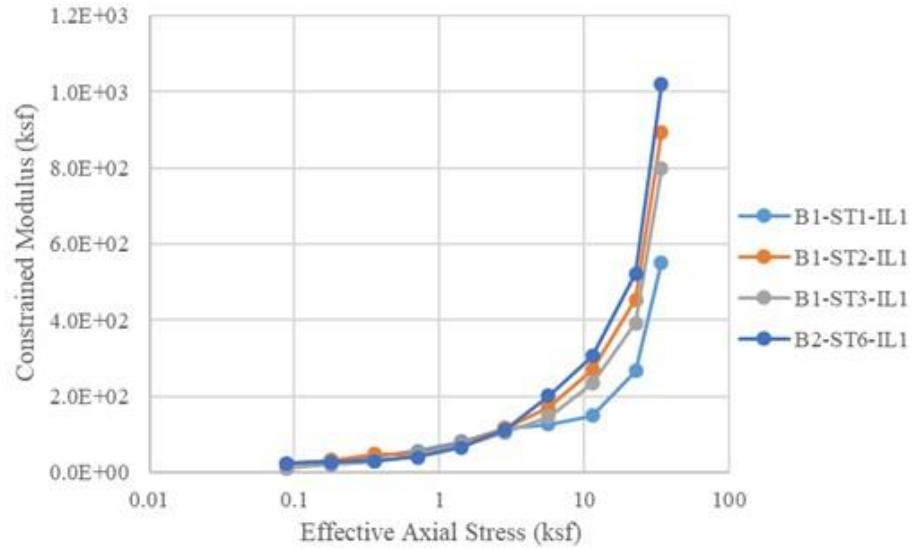
Location	Shelby Tube	Depth [ft]	w_n^a [%]	Sand ^b [%]	Silt ^b [%]	Clay ^b [%]	G_s^c	LL ^d [%]	PL ^d [%]	Classification ^f
NE	B1-ST1	41.3	27	32.6	11.6	55.7	2.729	22 ^e	17 ^e	CL-ML
NE	B1-ST2	43.8	30	85.6	2.5	11.8	2.713	NP ^e	NP ^e	SM
NE	B1-ST3	46.3	29	80.7	3.3	15.9	2.730	19 ^e	17 ^e	SM
NE	B1-ST4	48.8	----	64.6	6.1	29.3	2.716	18 ^e	15 ^e	SM
NE	B2-ST5	41.3	30	93.6	1.7	4.6	2.708	NP ^e	NP ^e	SP-SM
NE	B2-ST6	43.8	29	63.1	9.8	27.1	2.733	22 ^e	17 ^e	SC-SM
SW	B3-ST7	41.3	69	16.3	50.6	33.2	2.767	83	35	CH
SW	B3-ST8	43.8	64	11.2	44.4	44.4	2.749	82	28	CH
SW	B3-ST9	46.3	52	16.7	50.3	33.0	2.741	42 ^e	20 ^e	CL
SW	B4-ST10	38.8	57	30.7	44.6	24.7	2.762	62	24	CH
SW	B4-ST11	50.3	65	15.5	54.4	30.1	2.765	85	30	CH

Stress-strain behavior and coefficient of consolidation

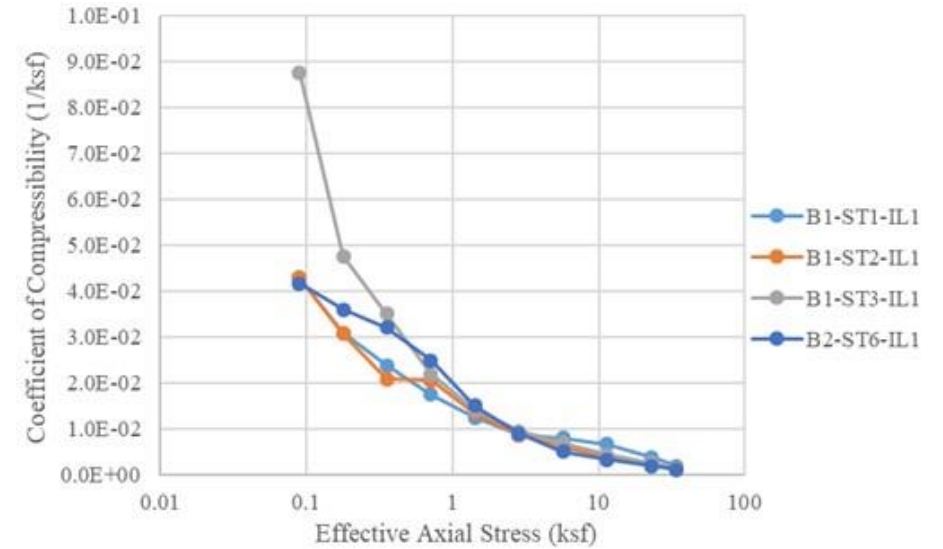


Laboratory testing program: part 2

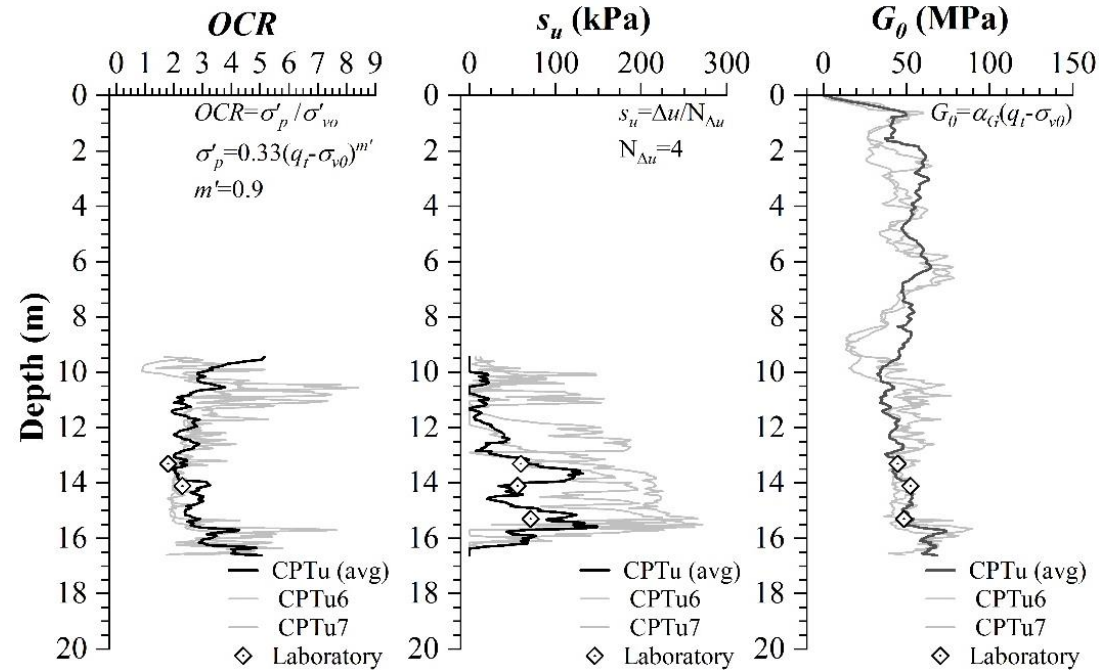
Constrained modulus



Coefficient of compressibility

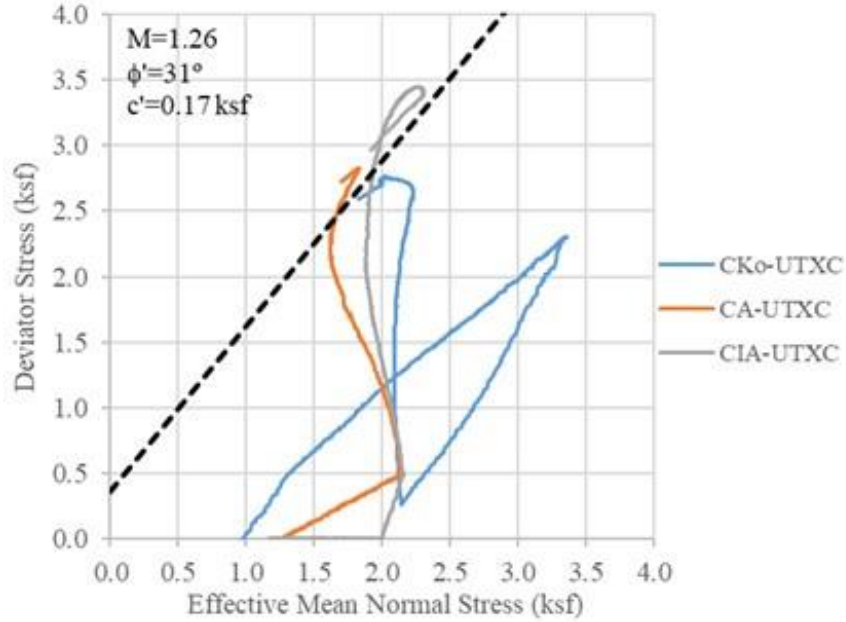


Comparison with field tests

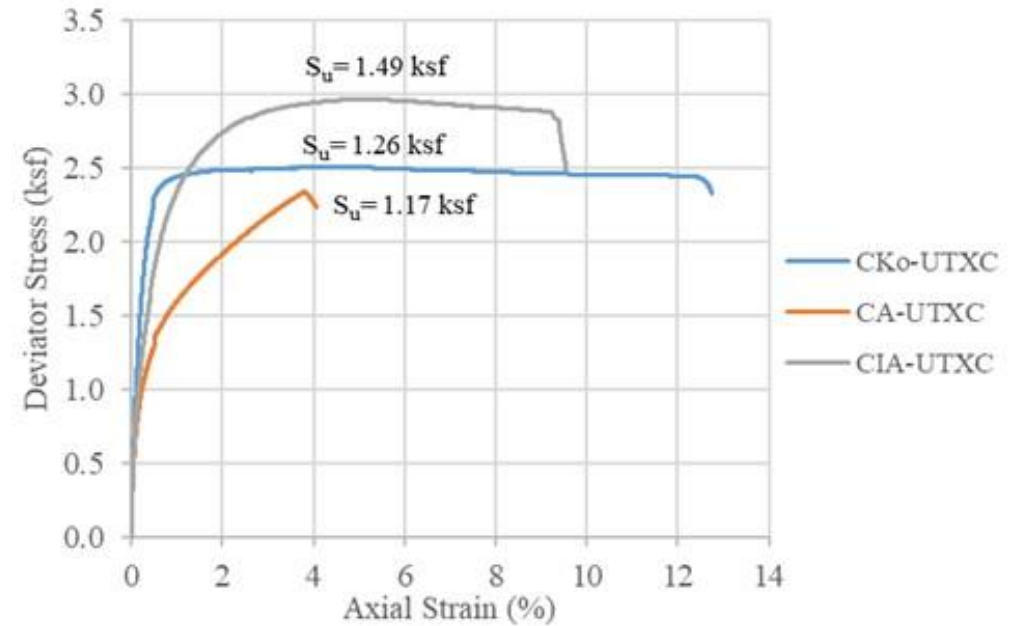


Laboratory testing program: part 2

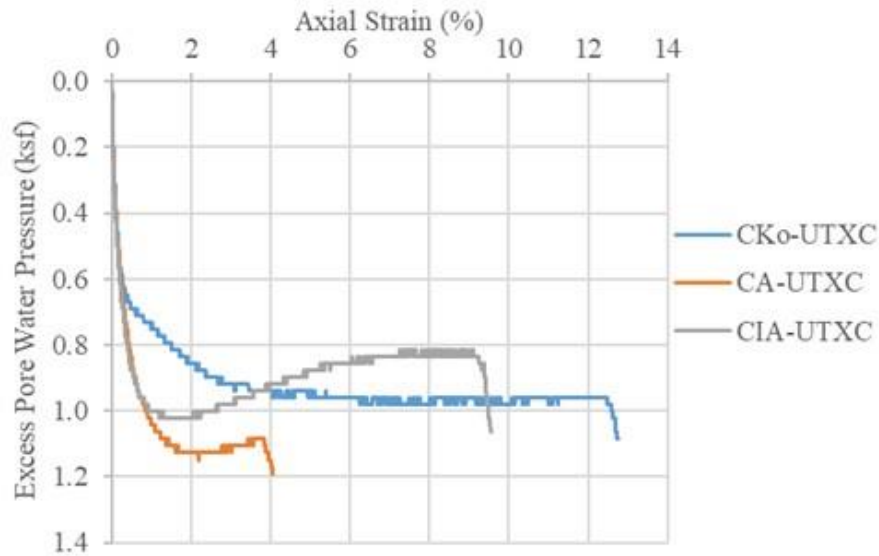
Stress paths



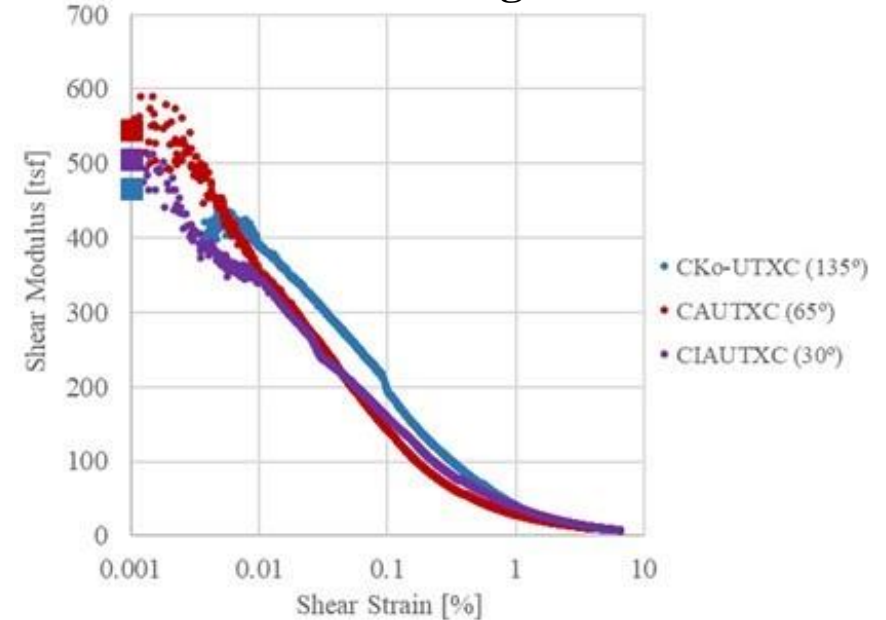
Shear stress-strain behavior



Excess porewater pressures



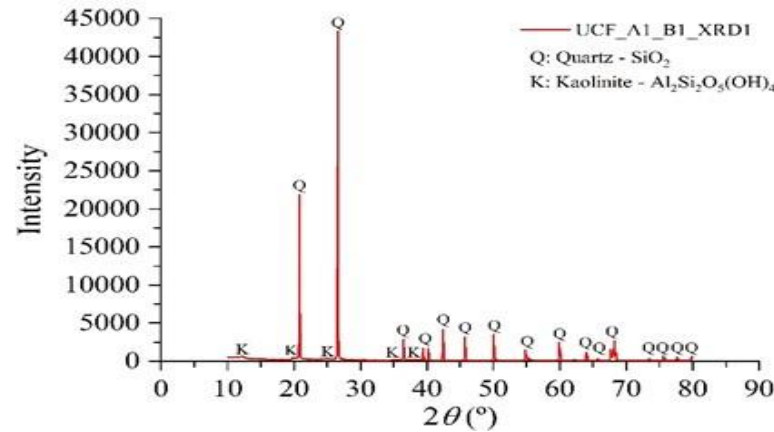
Soil stiffness degradation



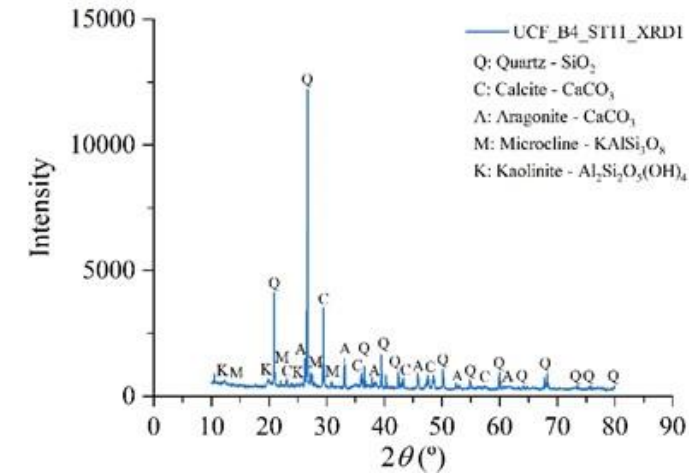
Laboratory testing program: part 3

X-Ray diffraction tests

Typical for granular soils



Typical for fine grained soils

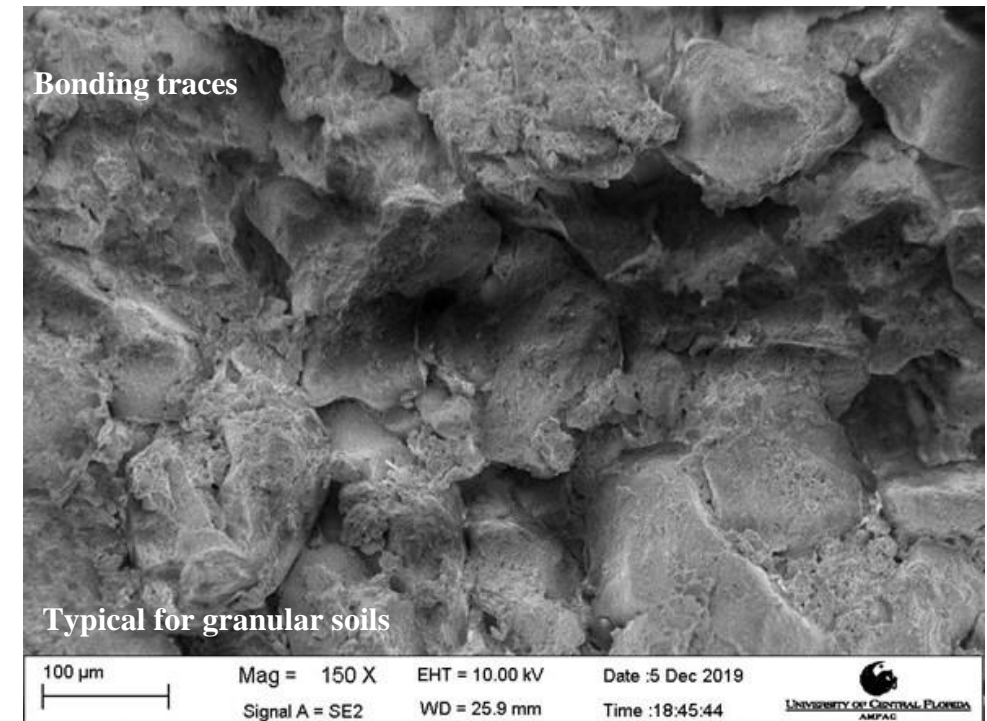
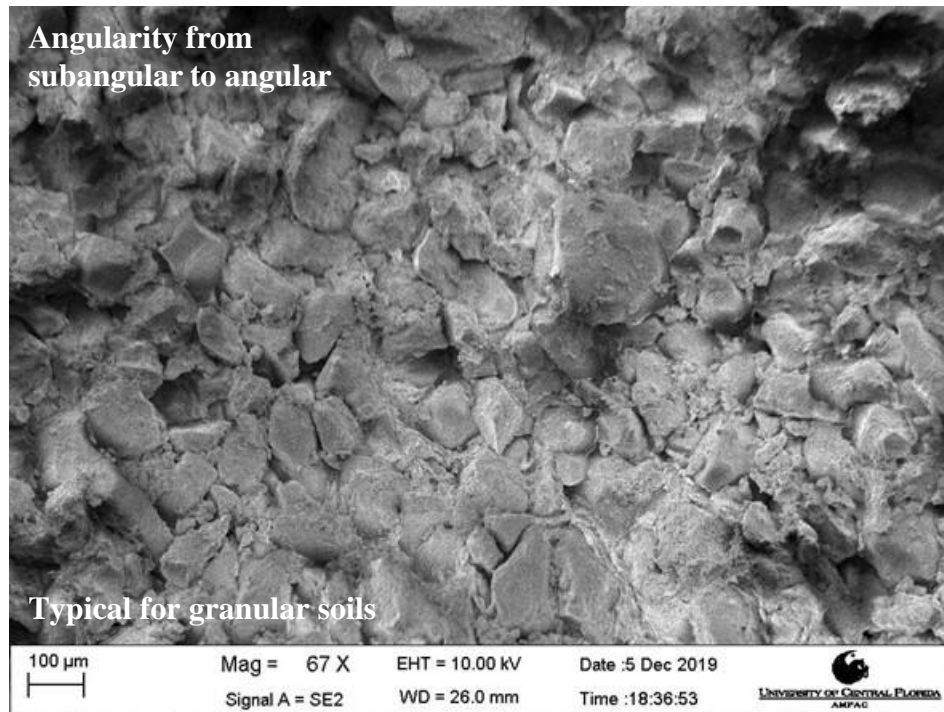


- Minerals from XRD (quartz, calcite, aragonite, microcline, and kaolinite) coincide with those found in Florida cover materials (Scott 1988, Upchurch et al. 2019).
- Presence of carbonate minerals explain high void ratios and soil compressibility.
- Microscopy tests matched previous studies on Cypresshead formation lithology (i.e., quartz and clay minerals formed during the late Pliocene to early Pleistocene) and Hawthorn group (i.e., clayey sands to silty clays formed during the Miocene).
- Preconsolidation of the Hawthorn group soil was mainly associated with change in porewater pressure, soil structure, and precipitation of cementing agents caused by the sea-level fluctuations during the Miocene age, aging, and presence of carbonate minerals, respectively.

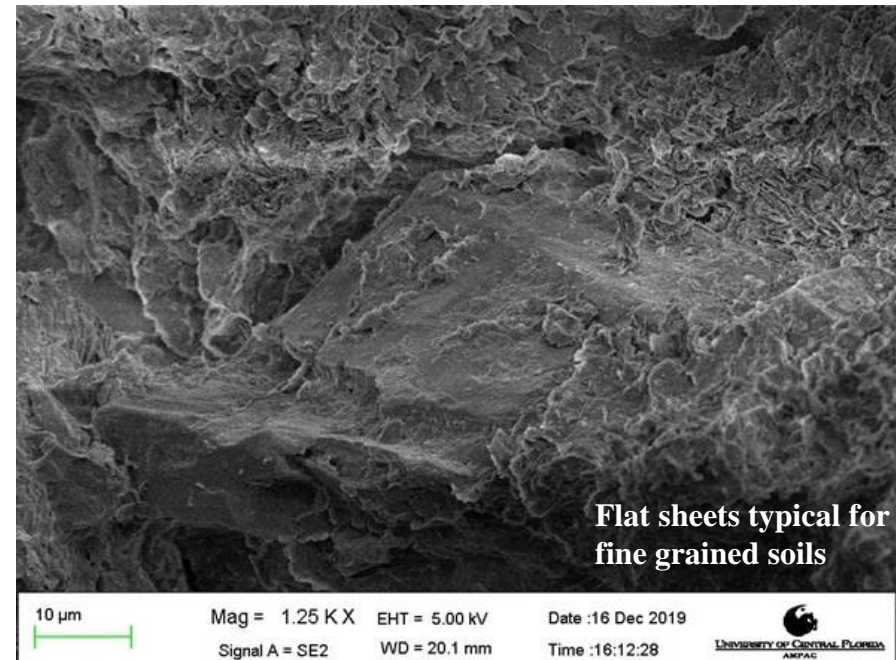
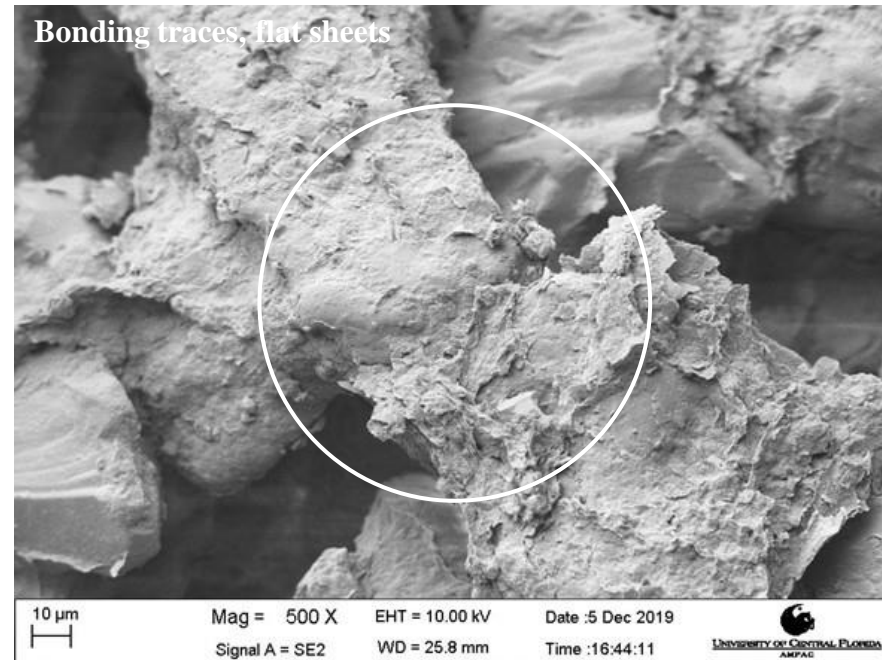
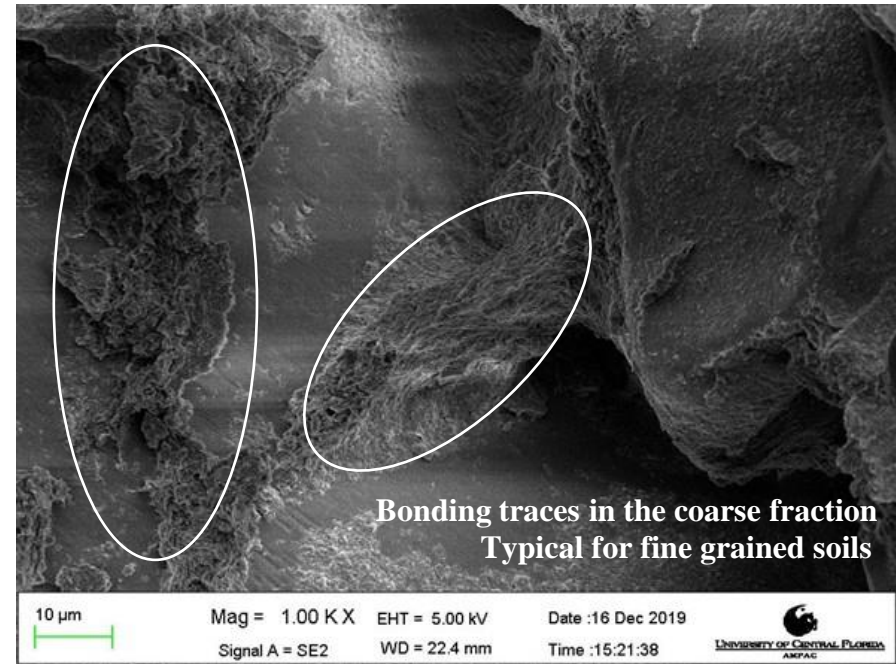
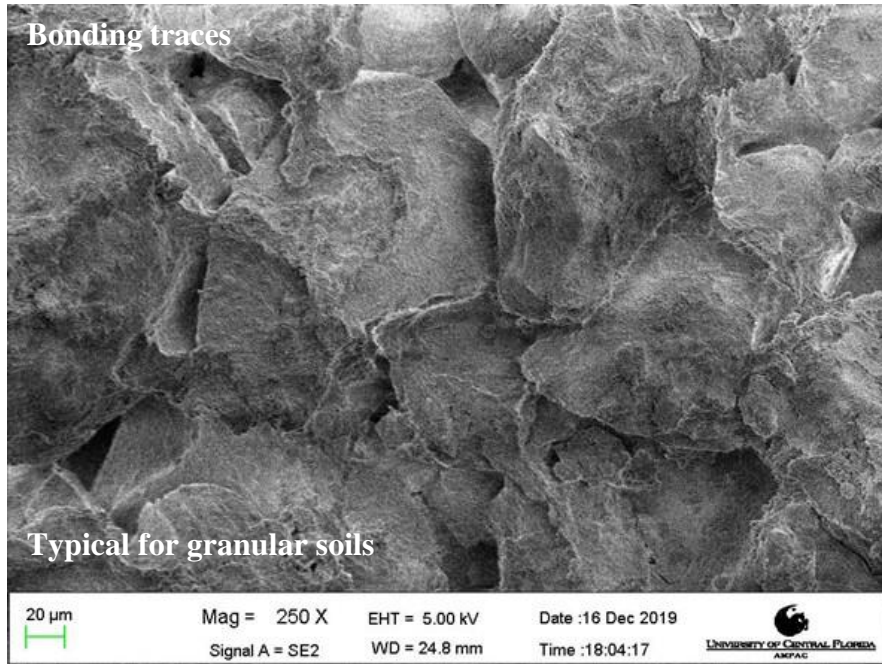
Laboratory testing program: part 3

SEM images

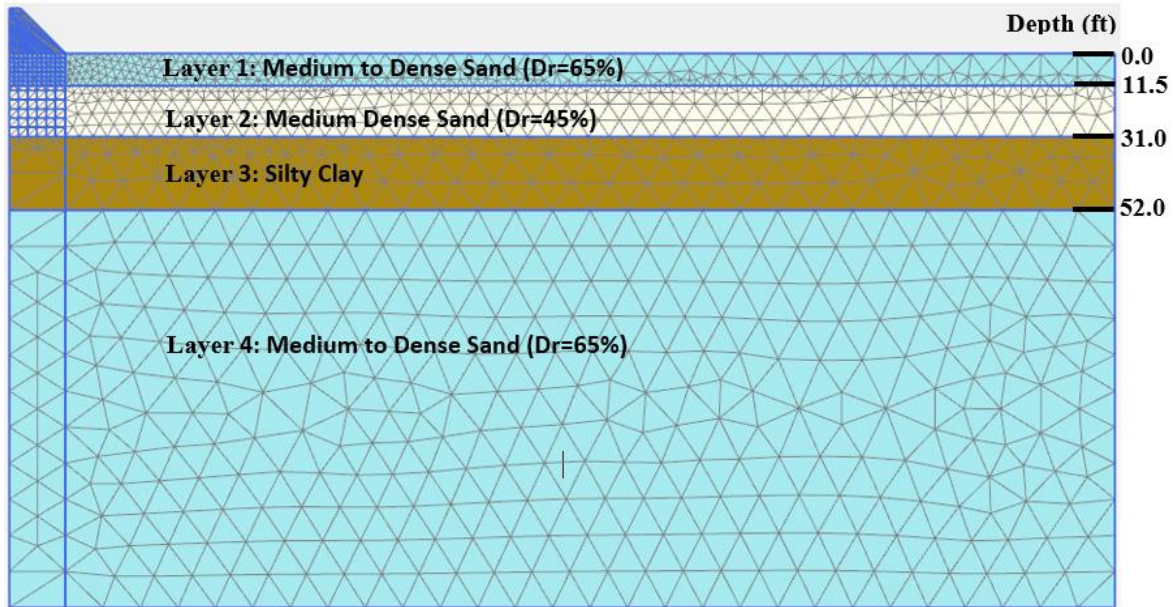
- Results showed particles of plane sides with rounded to sharp edges, indicating angularity of the coarse-grained fraction from subangular to angular.
- Internal structure of the soil, in terms of bonding between particles, was attributed to the presence of kaolinite, found also in XRD tests.
- Results showed soil structure composed mainly of flat sheets (phyllosilicates), with presence of kaolinite, calcite, and aragonite based on XRD results and cementation between particles.



Laboratory testing program: part 3



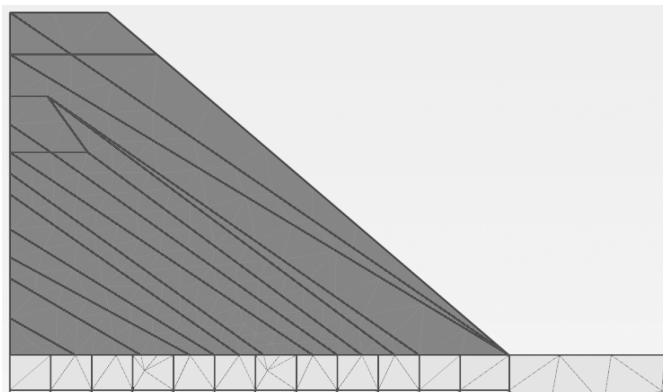
Numerical modeling of conical load tests



Finite element model:

1. Set geometry and soil layers
2. Discretize domain
3. Set material parameters
4. Set boundary conditions
5. Initialize stresses (K_0 -conditions)
6. Run in stage construction

Conical load testing sequence



Key issues in the model definition:

- Groundwater-soil behavior: drained vs. undrained vs. partially drained vs. fully coupled analyses
- Soil parameters: i) drained conditions= effective stress parameters; ii) partially drained conditions= effective stress parameters; iii) undrained conditions: either total or effective stresses depending on the finite element formulation
- Goal: Reproduce conical load testing

Numerical modeling of conical load tests

Selection of soil model is key, depending on: purpose of the analysis, quality of data for calibration of parameters, type of information desired as outcome

Soil characteristics: Remember.... soils are incrementally non-linear plastic materials with hardening-softening or dilative and contractive behaviors

Use a model that only is as complicated as justified/needed!

Some constitutive soil models and # of parameters:

Easy to calibrate... but ignore numerous features of soil behavior

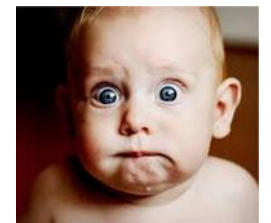
Mohr Coulomb: 5 parameters
Duncan Chang: 8 parameters
Modified Cam Clay: 5 parameters
Anisotropic MCC: 6

Good balance!

Hardening Soil: 11 parameters
Hardening Soil Small: 13 parameters

Numerous soil parameters, not easy to calibrate... but capture soil behavior

Hypoplasticity Clay: 11 parameters
Hypoplasticity Sand: 11 parameters
MIT-S1 (13 clay, 14 sand)
MIT-E3 (15 for clay)
PM4 sand: primary input: 6, secondary: 18
Dafalias and Manzari (BSPM): 14 parameters
PDMYM: 21 parameters



Constitutive model parameters

Hardening soil model (HS)

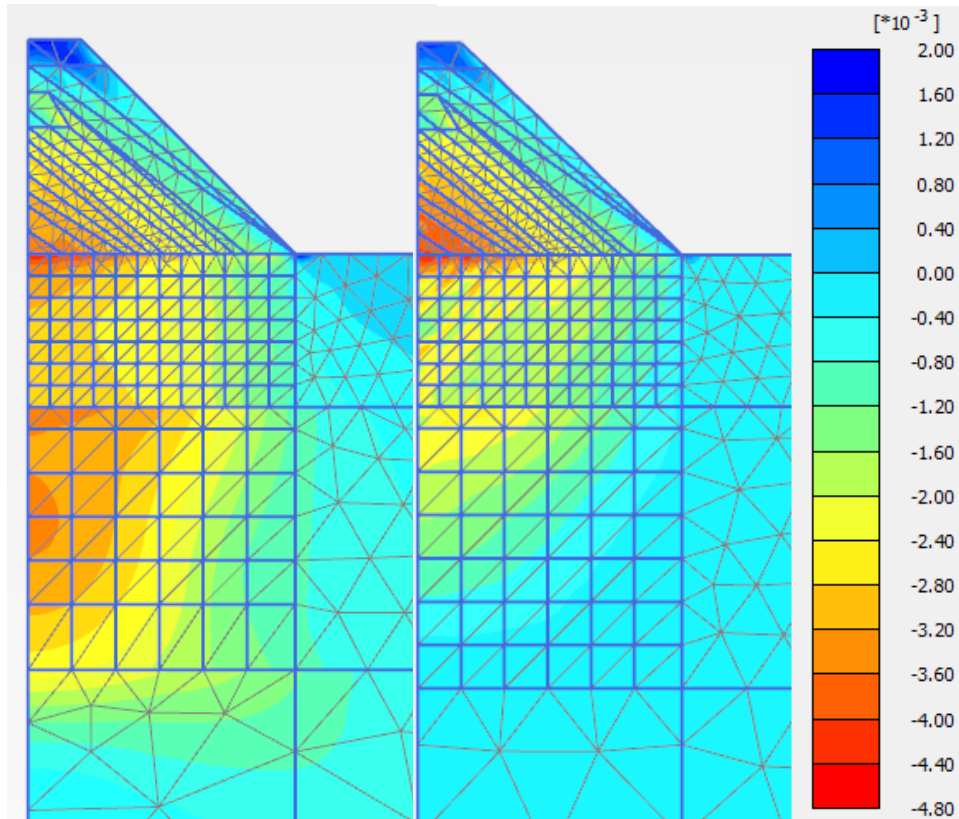
Parameter	Unit	HS parameters suggested by Brinkgreve (2018)			Model Parameters in Plaxis 2D Conical Load Modeling		
		Loose	Medium	Dense	Layers 1 & 4 Dr=65%	Layer 2 Dr=45%	Clay
$E_{50}^{ref} (p_{ref} = 100kPa)$	ksf kN/m ²	420 20000	625 30000	835 40000	690 33000	480 23000	60 3000
$E_{ur}^{ref} (p_{ref} = 100kPa)$	ksf kN/m ²	1250 60000	1880 90000	2505 120000	2170 104000	1505 72000	190 9000
$E_{oed}^{ref} (p_{ref} = 100kPa)$	ksf kN/m ²	420 20000	625 30000	835 40000	690 33000	480 23000	60 3000
c'	ksf kN/m ²	0 0	0 0	0 0	0 0	0 0	0.21 10
φ'	°	30	35	40	36	33	27
ψ	°	0	5	10	7	3	1
ν_{ur}	-	0.2	0.2	0.2	0.2	0.2	0.2
m	-	0.5	0.5	0.5	0.5	0.5	1
K_0^{nc}	-	0.5	0.43	0.36	0.41	0.46	0.55
$R_f [-]$	-	0.9	0.9	0.9	0.9	0.9	0.9

Hardening soil small model (HSS)

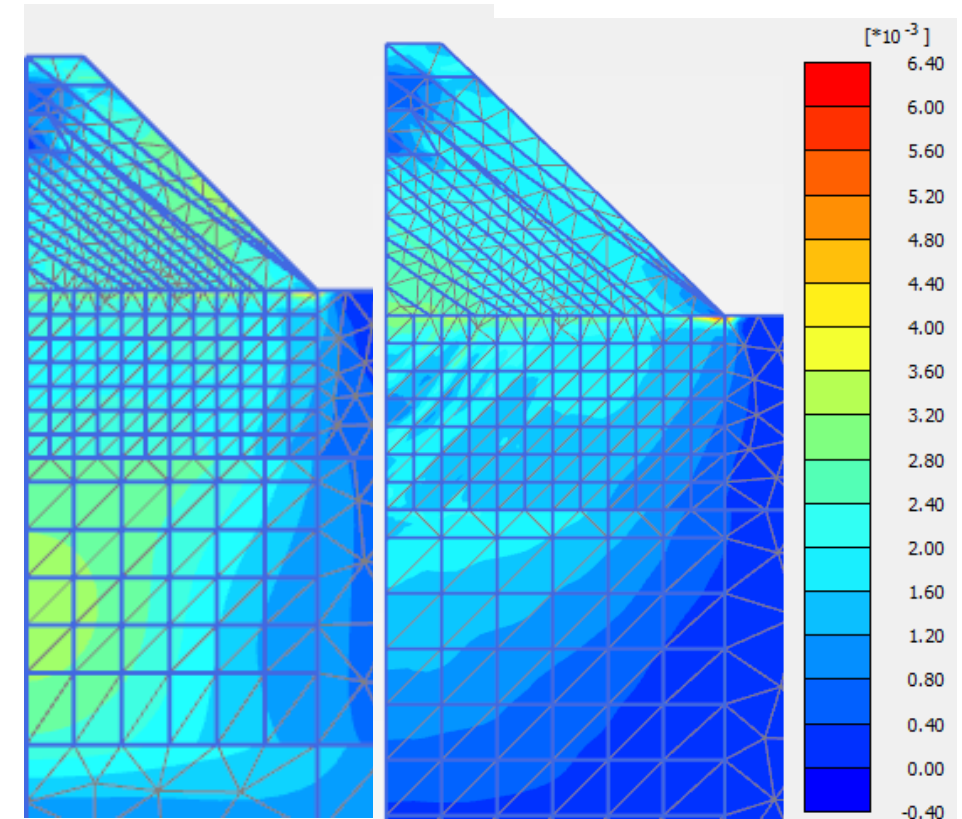
Equation	Definition	Layers 1 & 4 Dr=65%	Layer 2 Dr=45%	Clay
$E_{50}^{ref} = 60000Dr/100$ [kN/m ²] (ksf) adopted	Secant stiffness in standard drained triaxial test	39000 (815) 36000 (750)	27000 (565) 25000 (525)	3000 (65)
$E_{oed}^{ref} = 60000Dr/100$ [kN/m ²] (ksf) adopted	Tangent stiffness for primary oedometer loading	39000 (815) 36000 (750)	27000 (565) 25000 (525)	3000 (65)
$E_{ur}^{ref} = 180000Dr/100$ [kN/m ²] (ksf) adopted	Unloading/reloading stiffness.	117000 (2445) 108000 (2255)	81000 (1695) 75000 (1565)	9000 (190)
$G_0^{ref} = 60000 + 68000Dr/100$ [kN/m ²] adopted	Reference shear modulus at small strains	104200 (2175) 100000 (2090)	90600 (1895) 90000 (1880)	64000 (1340)
$m = 0.7 - Dr/320$ [-]	Power for stress-level dependency of stiffness.	0.5	0.56	1
$\gamma_{0.7} = (2 - Dr/100) \cdot 10^{-4}$ [-]	Threshold shear strain	1.35E ⁻⁴	1.55E ⁻⁴	5.00E ⁻⁴
$\varphi' = 28 + 12.5Dr/100$ [°] adopted	Effective angle of internal friction	36	34 33	27
$\psi = -2 + 12.5Dr/100$ [°]	Angle of dilatancy	6	4	1
$R_f = 1 - Dr/800$ [-]	Failure Ratio	0.92	0.94	0.90

Recommendation!

Numerical modeling of conical load tests

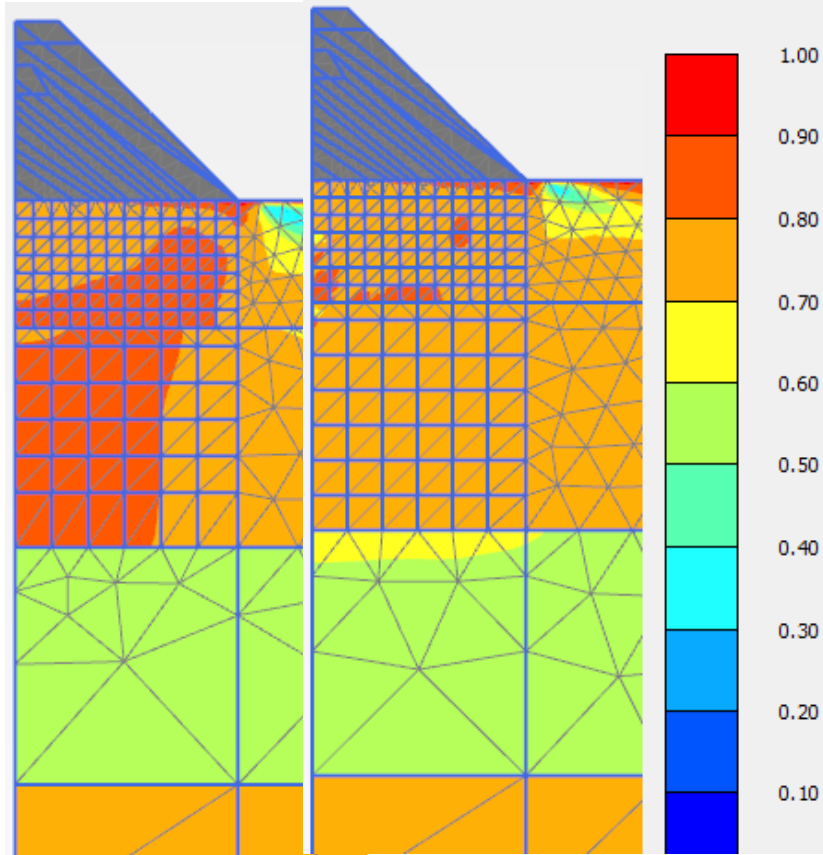


Contours of cartesian vertical strains at the end of conical loading:
HS model (left) and HSS model (right)



Contours of shear strains ($\approx 0.3\%$) induced by conical load testing:
HS model (left) and HSS model (right)

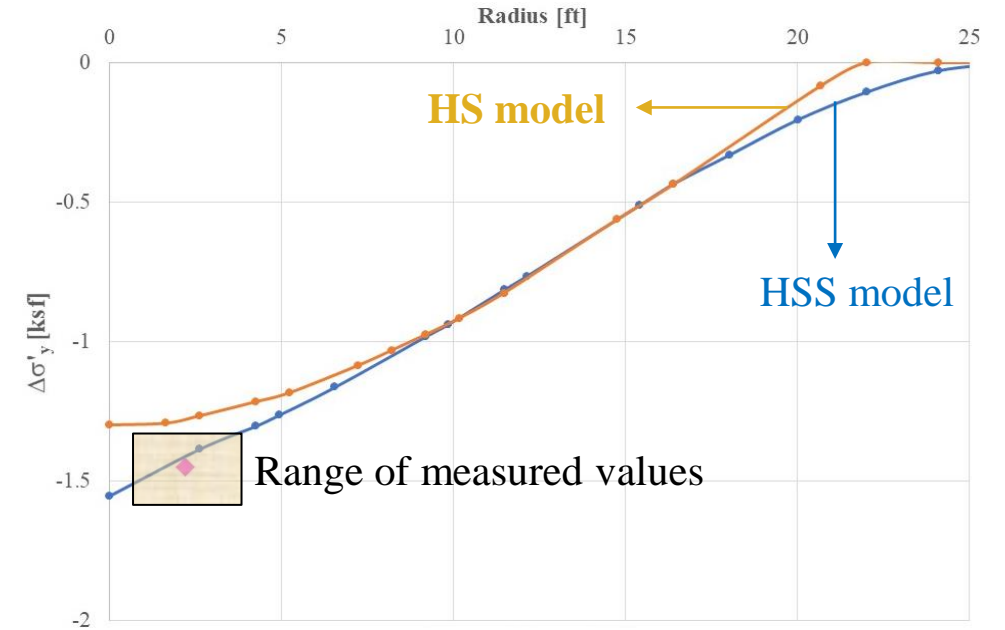
Numerical modeling of conical load tests



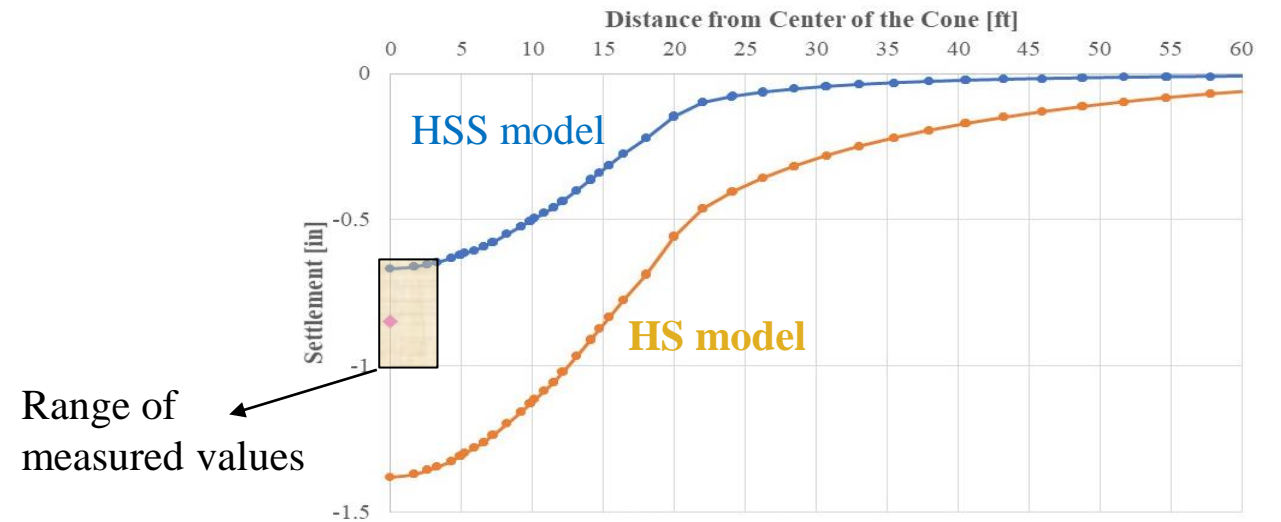
Contours of relative shear stresses (τ_{rel}) induced by conical load testing: *HS model* (left) and *HSS model* (right)

$$\tau_{rel} = \frac{\tau_{mob}}{\tau_{max}}$$

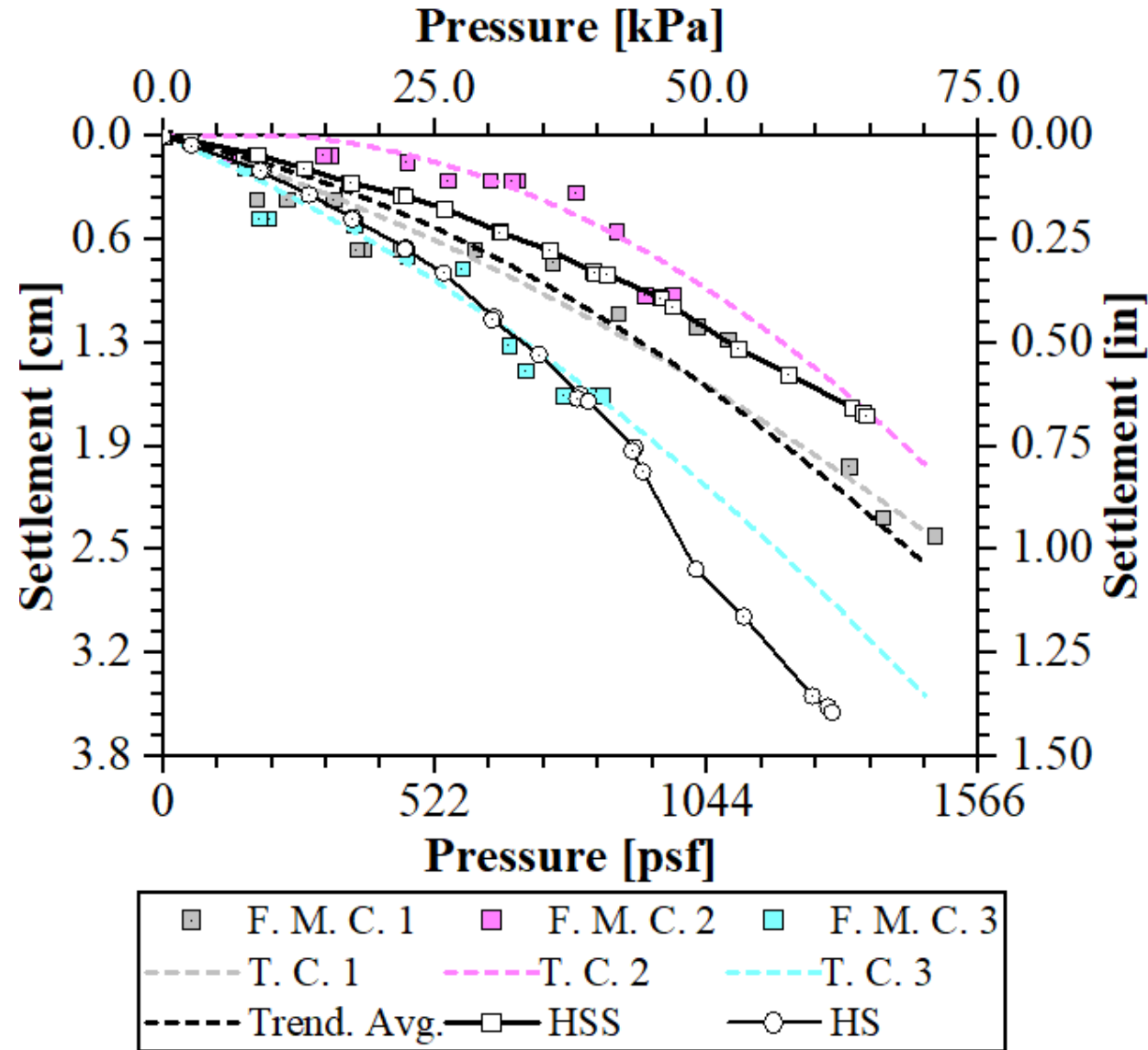
Pressure at ground surface



Settlement at ground surface



Numerical modeling of conical load tests



F. M. C. # = Field Measurement @ Cone #

T. C. # = Trendline @ Cone # - HSS = Hardening Soil Small model

HS = Hardening Soil model

Conclusions and recommendations

- Recommended correlations for E calculations:

 - Using CPTs: Buisman (1940), De Beer (1967), Bachelier and Parez (1965), Vesic (1970), Sanglerat et al. (1972), DeBeer (1974), Schmertmann (1970), and Schmertmann (1978).

 - Using SPTs: Webb (1969), Chaplin (1963), Papadopoulos (1982), Kulhawy and Mayne (1990), Webb (1969), Bowles (1996), FHWA 02-034.

 - Using DMTs: Lutenegeger et al. (1995) and Bowles (1996).

- Recommended procedures for S_i calculations:

 - D'Appolonia (1968), Schmertmann (1978, 1986), Bowles (1987), Mayne and Poulos (1999), Terzaghi et al. (1967), Meyerhof (1965, 1974), Peck et al. (1969, 1974).

- The conclusions drawn are only applicable to S_i . When S_c or S_s are expected (e.g., presence of clays or organics), those two components should be added and considered separately.

- U_e did not build-up in topmost layers, allowing the conical load sequence to take place by letting dissipation of U_e in the stressed soil layers. Thus, settlements measured were only S_i (not S_c or S_s).

- Conical load tests provide a good estimate of S_i and are easy, fast, and reliable. For shallow loadings, make sure expected mobilized strains are in the same order of magnitude as those mobilized during conical load testing.

Conclusions and recommendations

- For calculation of soil stiffness, the strain level in the soil due to applied loading is very important. Soils reduce their stiffness as a function of the mobilized strains. This dependency is key when computing ground deformations. Consider small-strain soil behavior!
- The geotechnical models reproduced well the conical load tests. Settlement at the centerline of the cone was better predicted with HSS. Results computed with HS overpredicted the overall measured response.
- Certain degree of conservatism was found when ignoring small strain soil behavior, as long as the input parameters are calibrated correctly! ... But for reliable predictions of ground deformations, small-strain stiffness and its degradation should be considered in the design.
- Do not use Mohr-Coulomb elastic-perfectly plastic soil models for the calculation of soil deformations since the stress-strain characteristics of soils at strain levels below 0.1% cannot be reproduced accurately with such models. If measured and computed values of soil deformations match using Mohr-Coulomb models is because of compensating errors!
- Soil model parameters in this project are useful for future use in Florida for the calculation of S_i , mostly in granular soils. See final report for correlations of parameters for HS and HSS models with D_r of granular soils.

Conclusions and recommendations

- Computed settlement troughs using HS and HSS had similar distributions, but max. settlements using HS doubled those computed with HSS. Higher strains computed using HS were a consequence of a reduced stiffness inherent in the constitutive formulation in relation to more accurate models like HSS that consider small strain soil behavior.
- Even though the estimation of soil parameters were based on correlations with commercially available field tests (mainly SPT, CPT, DMT), valuable information could also be obtained from other tests that provide information about small-strain soil behavior (e.g., “seismic” piezocones SCPTu or “small-strain” piezocones, and in the lab using bender elements).
- For projects involving multiple stress paths (e.g., excavations, installation of shallow/deep foundations, tunnels, etc.) that mobilize wide ranges of shear strains, Mohr-Coulomb-based models oversimplify soil behavior. More advanced constitutive soil models are recommended instead to capture more realistic features of soil response due to construction-induced loadings.
- Compressibility of soils with angular-shaped grains plus high carbonate contents can display a more compressible response than sands with rounded grains plus clean quartz. Granular shape and mineralogy can be used to understand why soil compressibility is low, medium, or high.

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