

## SECTION 455 STRUCTURES FOUNDATIONS

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### A. GENERAL

#### **455-1 General Requirement.**

The Contractor may examine available soil samples and/or rock cores obtained during the soil boring operations at the appropriate District Materials Office.

**455-1.1 Protection of Existing Structures:** When the Plans require excavation or foundation construction operations in close proximity to existing structures, take all reasonable precautions to prevent damage to such structures. The requirements described herein apply to all types of structures (on or off the right-of-way) that may be adversely affected by foundation construction operations (including phase construction) due to vibrations, ground loss, ground heave, or dewatering. Protect utilities as described in the applicable provisions of Section 7.

Survey and monitor structures for settlement in a manner approved by the Engineer, recording elevations to 0.001 foot. Employ a qualified Specialty Engineer to inspect and document the condition of structures prior to and after construction of excavations and foundation construction. Inspect and monitor the following structures:

- (1) as shown in the Plans.
- (2) within a distance of ten shaft diameters or the estimated depth of drilled shaft excavation, whichever is greater.
- (3) within a distance of three times the depth of other excavations.
- (4) within 200 feet of sheet pile installation and extraction operations
- (5) for projects with pile driving operations, inspect and document the condition of all structures within a distance, in feet, of pile driving operations equal to 0.25 times the square root of the impact hammer energy, in foot-pounds. Survey and monitor for settlement all structures within a distance, in feet, of pile driving operations equal to 0.5 times the square root of the impact hammer energy, in foot-pounds.

Obtain the Engineer's approval of the number and location of monitoring points.  
Record elevations:

- (1) before beginning construction,
- (2) daily during the driving of any casings, piling, or sheeting,
- (3) weekly for two weeks after stopping pile driving,
- (4) during excavation,
- (5) during blasting,
- (6) or as directed by the Engineer.

Notify the Engineer of any movements detected and immediately take any remedial measures required to prevent damage to the existing structures.

The Department will make the necessary arrangements to provide right of way entry to the existing structures.

Adequately document the condition of the structures and all existing cracks with descriptions and pictures. Prepare two reports documenting the condition of the structures: one report before beginning foundation construction operations and a second report after completing foundation construction operations. The Department will take ownership of both reports. Do not perform pre-driving and post-driving surveys of the condition of bridges owned by the Department except when shown in the Contract Documents.

When shown in the Contract Documents, employ a qualified Specialty Engineer to monitor and record vibration levels during the driving of casings, piling, sheeting, or blasting operations. Provide vibration monitoring equipment capable of detecting velocities of 0.1 inches per second or less.

Upon detecting settlement or heave of 0.005 feet, vibration levels reaching 0.5 inches per second, levels otherwise shown in the Contract Documents, or damage to the structure, immediately stop the source of vibrations, backfill any open excavations, and contact the Engineer for instructions.

When excavating for construction, the Contractor is responsible for evaluating the need for, design of, and providing any necessary precautionary features to protect adjacent structures from damage, including, but not limited to, selecting construction methods and procedures that will prevent damaging caving of the shaft excavation and monitoring and controlling the vibrations from construction activities, including driving of casings, driving of sheeting, and blasting. When sheeting and shoring are not detailed in the Plans, employ a qualified Specialty Engineer to design the sheeting and shoring, and to sign and seal the plans and specification requirements. Send these designs to the Engineer for his record before beginning construction.

When shown in the Contract Documents or when authorized by the Engineer, install the piling to the depth required to minimize the effects of vibrations or ground heave on adjacent structures by approved methods other than driving (preformed holes, predrilling, jetting, etc.). In the event the Department authorizes the use of preformed pile holes to meet this requirement, the Department will pay for this work as described in 455-5.9.3.

When shown in the Plans or directed by the Engineer, install a piezometer near the right of way line and near any structure that may be affected by lowering the ground water when dewatering is required. Monitor the piezometer and record the ground water elevation level daily. Notify the Engineer of any ground water lowering near the structure of 12 inches or more.

**455-1.2 Excavation:** Complete all excavation of the foundations prior to installing piles or shafts unless otherwise authorized by the Engineer. After completing pile/shaft installation, remove all loose and displaced materials from around the piles/shafts, leaving a clean, solid surface. Compact the soil surface on which concrete is to be placed or which will support the forming system for the concrete to support the load of the plastic concrete without settling or causing the concrete to crack, or as shown in the Contract Documents. The Engineer will not require the Contractor to compact for excavations made below water for seals or when the footing or cap or forming system (including supports) does not rest on the ground surface.

**455-1.2.1 Abutment (End Bent) Fill:** Place and compact the fill before installing end-bent piling/shafts, except when:

- (1) driving specified test piling in end bents or,
- (2) the Plans show uncased piles through proprietary retaining wall fills.

When installing piles/shafts or casing prior to placing fill, take necessary precautions to prevent displacement of piles/shafts during placing and compacting fill materials within 15 feet of the piles/shafts or casing. Reference and check the position of the piles/shafts or casing at three approximately equal intervals during construction of the embankment.

Place embankment material in 6 inch loose lifts in the 15 foot area around the piles/shafts or casing. Compact embankment material within the 15 foot area adjacent to the piles/shafts or casing to the required density with compaction equipment weighing less than 1,000 pounds. When installing piles/shafts prior to the completion of the surrounding fills, do not cap them until placing the fills as near to final grade as possible, leaving only the necessary working room for construction of the caps.

Provide permanent casings installed prior to placement of the fill, for all drilled shafts through mechanically stabilized fills (for example, behind proprietary retaining walls) for shafts installed after fill placement. Install temporary casings through the completed conventional fill when permanent casings are not required.

Provide permanent casings, if required, before the fill is placed extending a sufficient distance into the existing ground to provide stability to the casings during construction of the abutment fill.

**455-1.3 Cofferdams:** Construct cofferdams as detailed in the Plans. When cofferdams are not detailed in the Plans, employ a qualified Specialty Engineer to design cofferdams, and to sign and seal the plans and specification requirements. Send the designs to the Engineer for his records before beginning construction.

Provide a qualified diver and a safety diver to inspect the conditions of the foundation enclosure or cofferdam when the Contract Documents require a seal for construction. Equip these divers with suitable voice communications, and have them inspect the foundation enclosure and cofferdam periphery including each sheeting indentation and around each piling or drilled shaft to ensure that no layers of mud or other undesirable materials were left above the bottom of seal elevation during the excavation process. Also have the divers check to make sure the surfaces of the piles or drilled shafts are sufficiently clean to allow bond of the concrete down to the minimum bottom of seal elevation. When required, ensure that there are no mounds of stone, shell, or other authorized backfill material left after placement and grading. Assist the Engineer as required to ensure that the seal is placed as specified and evaluate the adequacy of the foundation soils or rock. Correct any deficiencies found by the divers. Upon completion of inspection by the divers, the Department may also elect to inspect the work before authorizing the Contractor to proceed with subsequent construction operations. Furnish the Engineer a written report by the divers indicating the results of their underwater inspection before requesting authorization to place the seal concrete.

**455-1.4 Vibrations on Freshly Placed Concrete (Drilled Shafts and Piers):** Ensure that freshly placed concrete is not subjected to vibrations greater than 1.5 inches per second from pile driving and/or drilled shaft casing installation sources located within the greater dimension of three shaft diameters (measured from the perimeter of the shaft closest to the vibration source) or 30 feet (from the nearest outside edge of freshly placed concrete to the vibration source) until that concrete has attained its final set as defined by ASTM C403 except as required to remove temporary casings before the drilled shaft elapsed time has expired.

## **455-2 Static Compression Load Tests.**

**455-2.1 General:** Employ a professional testing laboratory, or Specialty Engineer with prior load test experience on at least three projects, to conduct the load test in compliance with these Specifications, to record all data, and to furnish reports of the test results to the Engineer except when the Contract Documents show that the Department will supply a Geotechnical Engineer to provide these services.

Perform the load test by applying a load up to the load required in the Contract Documents or to the failure load, whichever occurs first.

Do not apply test loads to piles sooner than 48 hours (or the time interval shown in the Plans) after driving of the test pile or reaction piles, whichever occurs last.

Allow up to four weeks after the last load test for the analysis of the load test data and to provide all the estimated production tip elevations. If the Contractor is willing to construct production foundation elements in areas designated by the Engineer, tip elevations will be determined in these areas beginning seven days after the receipt of the load test data which represents the designated area.

Do not begin static load testing of drilled shafts until the concrete has attained a compressive strength of 3,400 psi. The Contractor may use high early strength concrete to obtain this strength at an earlier time to prevent testing delays.

Load test piles/shafts in the order directed by the Engineer. The Department will furnish certain load test equipment and/or personnel when shown in the Plans. Inspect all equipment to be furnished by the Department at least 30 days prior to use, and notify the Engineer of any equipment that is not in satisfactory operating condition. The Department will consider any necessary repairs ordered by the Engineer to place the equipment in satisfactory operating condition as Unforeseeable Work. Provide the remainder of the equipment and personnel needed to conduct the load tests. Unless shown otherwise in the Contract Documents, provide all equipment, materials, labor, and technical personnel required to conduct the load tests, including determination of anchor reaction member depths. In this case, provide a loading apparatus designed to accommodate the maximum load plus an adequate safety factor.

While performing the load test, provide safety equipment, and employ safety procedures consistent with the latest approved practices for this work. Include with these safety procedures, adequate support for the load test plates and jack to prevent them from falling in the event of a release of load due to hydraulic failure, test pile/shaft failure, or any other cause.

Include in the bid the cost of transporting load test equipment and instrumentation supplied by the Department from their storage location to the job site and back. Handle these items with care. The Contractor is responsible for the safe return of these items. After completion of the static load tests, return all Department furnished equipment in satisfactory operating condition. Repair all damage to the test equipment furnished by the Department to the satisfaction of the Engineer. Clean all areas of rust on structural steel items, and recoat those areas in accordance with Section 560. Return all load test equipment supplied by the Department within 30 days after completing the load tests.

The Contractor is responsible for the equipment from the time it leaves its storage area until the time it is returned. During this time, insure the equipment against loss or damage for the replacement cost thereof (the greater of \$150,000 or the amount shown in the Plans) or for the full insurable value if replacement cost insurance is not available.

Notify the Engineer at the preconstruction conference, or no later than 30 days before beginning test pile installation, of the proposed testing schedule so that items supplied by

the Department may be reserved. Notify the Department at least ten working days before pick-up or return of the equipment. During pick-up, the Department will complete a checklist of all equipment placed in the Contractor's possession. The Department will later use this checklist to verify that the Contractor has returned all equipment. Provide personnel and equipment to load or unload the equipment at the Department's storage location. Provide lifting tongs or nylon slings to handle Department owned test girders. Do not perform cutting, welding, or drilling on Department owned girders, jacks, load cells, or other equipment.

**455-2.2 Loading Apparatus:** Provide an apparatus for applying the vertical loads as described in one of the following:

(1) As shown and described in the Contract Documents.

(2) As supplied by the Contractor, one of the following devices designed to accommodate a load at least 20% higher than that shown in the Contract Documents or described herein for test loads:

(a) Load Applied by Hydraulic Jack Acting Against Weighted Box or Platform: Construct a test box or test platform, resting on a suitable support, over the pile, and load it with earth, sand, concrete, pig iron, or other suitable material with a total weight greater than the anticipated maximum test load. Locate supports for the weighted box or platform at least 6 feet or three pile/shaft diameters, whichever is greater, measured from the edge of the pile or shaft to the edge of the supports. Insert a hydraulic jack with pressure gauge between the test pile or shaft and the underside of the reaction beam, and apply the load to the pile or shaft by operating the jack between the reaction beam and the top of the pile or shaft.

(b) Load Applied to the Test Pile or Shaft by Hydraulic Jack Acting Against Anchored Reaction Member: Construct reaction member anchorages as far from the test piles/shafts as practical, but in no case closer than the greater of 3 pile/shaft diameters or 6 feet from the edge of the test pile/shaft. Attach a girder(s) of sufficient strength to act as a reaction beam to the upper ends of the anchor piles or shafts. Insert a hydraulic jack with pressure gauges between the head of the test pile/shaft and the underside of the reaction beam, and apply the test load to the pile/shaft by operating the jack between the reaction beam and the pile/shaft head.

If using drilled shafts with bells as reaction member anchorages, locate the top of the bell of any reaction shaft anchorage at least three shaft diameters below the bottom of the test shaft.

(c) Combination Devices: The Contractor may use a combination of devices (a) and (b), as described above, to apply the test load to the pile or shaft.

(d) Other systems proposed by the Contractor and approved by the Engineer: When necessary, provide horizontal supports for loading the pile/shaft, and space them so that the ratio of the unsupported length to the minimum radius of gyration of the pile does not exceed 120 for steel piles, and the unsupported length to the least cross-section dimension does not exceed 20 for concrete piles or drilled shafts. Ensure that horizontal supports provide full support without restraining the vertical movement of the pile in any way.

When required by the Contract Documents, apply a horizontal load to the shaft either separately or in conjunction with the vertical load. Apply the load to the test shaft by hydraulic jacks, jacking against Contractor provided reaction devices. After receiving the Engineer's approval of the proposed method of load application, apply the horizontal load in increments, and relieve it in decrements as required by the Contract Documents.

**455-2.2.1 Modified Quick Test:**

(a) Loading Procedure: Apply vertical loads concentric with the longitudinal axis of the tested pile/shaft to accurately determine and control the load acting on the pile/shaft at any time. Place the load on the pile/shaft continuously, in increments equal to approximately 5% of the maximum test load specified until approaching the failure load, as indicated by the measuring apparatus and/or instruments. Then, apply increments of approximately 2.5% until the pile/shaft “plunges” or attains the limiting load. The Engineer may elect to stop the loading increments when he determines the Contractor has met the failure criteria or when a settlement equal to 10% of the pile/shaft width or diameter is reached. Apply each load increment immediately after taking and verifying the complete set of readings from all gauges and instruments. Apply each increment of load within the minimum length of time practical, and immediately take the readings. Complete the addition of a load increment and the completion of the readings within 5 to 15 minutes. The Engineer may elect to hold the maximum applied load up to one hour.

Remove the load in decrements of about 10% of the maximum test load. Remove each decrement of load within the minimum length of time practical, and immediately take the readings. Complete the removal of a load decrement and the taking of the readings within 5 to 15 minutes. The Engineer may also require up to two reloading cycles with five loading increments and three unloading decrements. Record the final recovery of the pile/shaft until movement is essentially complete for a period up to one hour after the last unload interval.

(b) Failure Criteria and Nominal Resistance: Use the criteria described herein to establish the failure load. The failure load is defined as the load that causes a pile/shaft top deflection equal to the calculated elastic compression plus 0.15 inches plus 1/120 of the pile/shaft minimum width or the diameter in inches for piles/shafts 24 inches or less in width, and equal to the calculated elastic compression plus 1/30 of the pile/shaft minimum width or diameter for piles/shafts greater than 24 inches in width. Consider the nominal resistance of any pile/shaft so tested as either the maximum applied load or the failure load, whichever is smaller.

**455-2.3 Measuring Apparatus:** Provide an apparatus for measuring movement of the test piles/shafts that consists of all of the following devices:

(1) Wire Line and Scale: Stretch a wire as directed by the Engineer between two supports located at a distance at least:

(a) 10 feet from the center of the test pile but not less than 3.5 times the pile diameter or width.

(b) 12 feet from the centerline of the shaft to be tested but not less than three shaft diameters.

Locate the wire supports as far as practical from reaction beam anchorages. At over-water test sites, the Contractor may attach the wire line as directed by the Engineer to the sides of the service platform. Mount the wire with a pulley on one support and a weight at the end of the wire to provide constant tension on the wire. Ensure that the wire passes across the face of a scale mounted on a mirror attached to the test pile/shaft so that readings can be made directly from the scale. Use the scale readings as a check on an average of the dial readings. When measuring both horizontal and vertical movement, mount separate wires to indicate each movement, horizontal or vertical. Measure horizontal movements from two reference wires set normal to each other in a horizontal.

(2) Wooden Reference Beams and Dial Gauges: Attach wooden reference beams as detailed in the Plans or approved by the Engineer to independent supports. For piles, install the greater of 3.5 times the pile diameter or width or 10 feet from the centerline of the test pile. For drilled shafts, install at the greater of three shaft diameters or 12 feet from the centerline of

the shaft to be tested. Locate the reference beam supports as far as practical from reaction beam anchorages. For over-water test sites, the Contractor may attach the reference beams as directed by the Engineer between two diagonal platform supports. Attach dial gauges, with their stems resting either on the top of the pile/shaft or on lugs or similar reference points on the pile/shaft, to the fixed beams to record the movement of the pile/shaft head. Ensure that the area on the pile/shaft or lug on which the stem bears is a smooth surface which will not cause irregularities in the dial readings.

For piles, the minimum acceptable method for measuring vertical movement is two dial gauges, each with 0.001 inch divisions and with 2 inch minimum travel, placed at 180 degrees or at the diagonal corners of the pile.

For shafts, ensure that three dial gauges, each with 0.001 inch divisions and with 2 inch minimum travel, placed at 120 degree intervals around the shaft, are the minimum acceptable method for measuring vertical movement. Ensure that four dial gauges, each with 0.001 inch divisions and with 2 inch minimum travel, placed at 90 degree intervals are the minimum required for measuring horizontal movement.

(3) Survey Level: As a check on the dial gauges, determine the elevation of a point near the top of the test pile/shaft (on plan datum) by survey level at each load and unload interval during the load test. Unless approved otherwise by the Engineer, level survey precision is 0.001 foot. Alternately, the surveyor may read an engineer's 50 scale attached near the pile/shaft head. Determine the first elevation before applying the first load increment; make intermediate readings immediately before a load increment or an unload decrement, and after the final unload decrement that completely removes the load. Make a final reading at the time of the last recovery reading or as directed by the Engineer.

For over-water test sites, when shown in the Plans or directed by the Engineer, the Contractor shall drive an H pile through a 36 inch casing to provide a stable support for the level and to protect it against wave action interfering with level measurements. Provide a suitable movable jig for the surveyor to stand. Use a jig that has a minimum of three legs, has a work platform providing at least 4 feet width of work area around the casing, and is approved by the Engineer before use. The described work platform may be supported by the protective casing when approved by the Engineer.

#### **455-2.4 Load Test Instrumentation:**

(1) General: The intent of the load test instrumentation is to measure the test load on top of the pile/shaft and, when provided in the Contract Documents, its distribution between side friction and end bearing to provide evaluation of the preliminary design calculations and settlement estimates and to provide information for final pile/shaft length design. Ensure that the instrumentation is as described in the Contract Documents.

When requested by the Engineer, provide assistance during installation of any instrumentation supplied by the Department. Supply 110 V, 60 Hz, 30 A of AC electric power in accordance with the National Electric Code (NEC) to each test pile/shaft site during the installation of the instrumentation, during the load testing, and during any instrumented redrives ordered by the Engineer.

Place all of the internal instrumentation on the rebar cage before installation in the test shaft. Construct the rebar cage at least two days before it is required for construction of the test shaft. Provide assistance during installation of instrumentation supplied by the Department, including help to string, place, and tie the instrumentation and any assistance needed in moving or repositioning the cage to facilitate installation. Place the rebar cage in one

segment complete with its instrumentation. The Engineer may require multiple lift points and/or a suitable “stiffleg” (length of H pile or other suitable section) to get the cage in a vertical position without causing damage to the instrumentation. Successfully demonstrate the lifting and handling procedures before the installing instrumentation.

(2) Hydraulic Jack and Load Cell: Provide hydraulic jack(s) of adequate size to deliver the required test load to the pile/shaft unless shown otherwise in the Plans. Before load testing begins, furnish a certificate from a reputable testing laboratory showing a calibration of gauge readings for all stages of jack loading and unloading for jacks provided. Ensure that the jack has been calibrated within the preceding six months unless approved otherwise. Recalibrate the jack after completing load testing if so directed by the Engineer. Ensure that the accuracy of the gauge is within 5% of the true load.

Provide an adequate load cell approved by the Engineer that has been calibrated within the preceding six months. Provide an approved electrical readout device for the load cell. Before beginning load testing, furnish a certificate from a reputable testing laboratory showing a calibration of readings for all stages of loading and unloading for load cells furnished by the Contractor. Ensure that the accuracy of the load cell is within 1% of the true load.

If the Department supplies the Contractor with the jack and/or load cell, have the equipment calibrated and include the cost in the cost for static load test.

(3) Telltales: When shown in the Contract Documents, provide telltales that consist of an unstressed steel rod placed, with appropriate clearance and greased for reducing friction and corrosion, inside a constant-diameter pipe that rests on a flat plate attached to the end of the pipe at a point of interest shown in the Plans. Construct telltales in accordance with details shown in the Contract Documents. Install dial gauges reading to 0.001 inch with 1 inch minimum travel as directed by the Engineer to measure the movement of the telltale with respect to the top of the pile/shaft.

(4) Embedded Strain Gauges: When shown in the Contract Documents, provide strain gauges which shall be placed in the test shaft to measure the distribution of the load. Ensure that the type, number, and location of the strain gauges are as shown in the Plans or as directed by the Engineer. Use strain gauges that are waterproof and have suitable shielded cable that is unspliced within the shaft.

**455-2.5 Support Facilities:** Furnish adequate facilities for making load and settlement readings 24 hours per day. Provide such facilities for the instrumented area, and include lighting and shelter from rain, wind, and direct sunlight.

**455-2.6 Load Test Personnel Furnished by the Contractor:** Provide a certified welder, together with necessary cutting and welding equipment, to assist with the load test setup and to make any necessary adjustments during the load test. Provide personnel to operate the jack, generators, and lighting equipment, and also provide one person with transportation to assist as required during load test setup and conducting of the load tests. Provide qualified personnel, as determined by a Specialty Engineer or testing lab, required to read the dial gauges, take level measurements, and conduct the load test, except when the Contract Documents show that the Department will provide these personnel.

**455-2.7 Cooperation by the Contractor:** Cooperate with the Department, and ensure that the Department has access to all facilities necessary for observation of the conduct and the results of the test.

**455-2.8 Required Reports:** Submit a preliminary static load test report to the Engineer within five days after completing the load test. When the Contract Documents do not require

internal instrumentation, submit the final report within ten days after completing the load test. Furnish the final report of test results for internally instrumented shafts within 30 days after completing the load test. Include in the report of the load test the following information:

- (1) A tabulation of the time of, and the amount of, the load and settlement readings, and the load and recovery readings taken during the loading and unloading of the pile/shaft.
- (2) A graphic representation of the test results, during loading and unloading of pile/shaft top movement as measured by the average of the dial gauge readings, from wireline readings and from level readings.
- (3) A graphic representation of the test results, when using telltales, showing pile/shaft compression and pile/shaft tip movement.
- (4) The estimated failure and safe loads according to the criteria described herein.
- (5) Remarks concerning any unusual occurrences during the loading of the pile/shaft.
- (6) The names of those making the required observations of the results of the load test, the weather conditions prevailing during the load test, and the effect of weather conditions on the load test.
- (7) All supporting data including jack and load cell calibrations and certificates and other equipment requiring calibration.
- (8) When the Contract Document requires internal instrumentation of the pile/shaft, furnish all of the data taken during the load test together with instrument calibration certifications. In addition, provide a report showing an analysis of the results of axial load and lateral load tests in which soil resistance along and against the pile/shaft is reported as a function of deflection.

Provide the necessary report(s) prepared by the Specialty Engineer responsible for collection and interpretation of the data, except when the Contract Documents show that the Department will provide a Geotechnical Engineer.

**455-2.9 Disposition of Loading Material:** After completing all load tests, clean, remove all rust and debris from Department equipment, repaint all areas having damage to the paint in accordance with Section 560, and return all load test equipment supplied by the Department to its designated storage area. Repair any structural damage to Department owned equipment to the satisfaction of the Engineer. Notify the Department at least ten working days in advance so that arrangements can be made to unload the equipment. Remove all equipment and materials, which remains the Contractor's property, from the site. Clean up and restore the site to the satisfaction of the Engineer.

**455-2.10 Disposition of Tested Piles/Shafts:** After completing testing, cut off the tested piles/shafts, which are not to be incorporated into the final structure, and any reaction piles/shafts at an elevation 24 inches below the finished ground surface. Take ownership of the cut-offs and provide areas for their disposal.

## **B. PILING**

### **455-3 Description.**

Furnish and install concrete, steel, or wood piling including driving, jetting, preformed pile holes, cutting off, splicing, dynamic load testing, and static load testing of piling.

#### 455-4 Classification.

The Department classifies piling as follows:

- (1) Treated timber piling.
- (2) Prestressed concrete piling.
- (3) Steel piling.
- (4) Test piling.
- (5) Sheet piling.
  - (a) Concrete sheet piling.
  - (b) Steel sheet piling.
- (6) Polymeric Piles (see Section 471 for requirements).

#### 455-5 General Requirements.

##### 455-5.1 Site Preparation:

**455-5.1.1 Predrilling of Pile Holes:** Predrilled pile holes are either starter holes to the depth described in this section or holes drilled through embankment/fill material down to the natural ground surface. When using low displacement steel piling such as structural shapes, drive them through the compacted fill without the necessity of drilling holes through the fill except when the requirements for predrilling are shown in the Plans. When using concrete or other high displacement piles, drill pile holes through fill, new or existing, to at least the elevation of the natural ground surface. Use the range of drill diameters listed below for square concrete piles.

|                            |                 |
|----------------------------|-----------------|
| 12 inch square piles ..... | 15 to 17 inches |
| 14 inch square piles ..... | 18 to 20 inches |
| 18 inch square piles ..... | 22 to 26 inches |
| 20 inch square piles ..... | 24 to 29 inches |
| 24 inch square piles ..... | 30 to 34 inches |
| 30 inch square piles ..... | 36 to 43 inches |

For other pile sizes, use the diameter of the drills shown in the Plans or approved by the Engineer. Accurately drill the pile holes with the hole centered over the Plan location of the piling. Maintain the location and vertical alignment within the tolerances allowed for the piling.

For predrilled holes required through rock or other hard (i.e. debris, obstructions, etc.) materials that may damage the pile during installation, predrill hole diameters approximately 2 inches larger than the largest dimension across the pile cross-section. Fill the annular space around the piles as described in 455-5.9.1 with clean A-3 sand or sand meeting the requirements of 902-3.3.

In the setting of permanent and test piling, the Contractor may initially predrill holes to a depth up to 10 feet or 20% of the pile length whichever is greater, except that, where installing piles in compacted fill, predrill the holes to the elevation of the natural ground surface. With prior written authorization from the Engineer, the Contractor may predrill holes to greater depths to minimize the effects of vibrations on existing structures adjacent to the work and/or for other reasons the Contractor proposes. Perform such work the Engineer allows but does not require at no expense to the Department. When the Engineer requires such work, the Department will pay for such work as Preformed Pile Holes as described in 455-5.9.

**455-5.1.2 Underwater Driving:** Underwater driving is defined as any driving through water which is above the pile head at the time of driving.

When conducting underwater driving, provide a diver equipped with voice communications to aid in placing the hammer back on the pile for required cushion changes or for subsequent redriving, to attach or recover instrumentation the Engineer is using, to inspect the condition of the pile, or for other assistance as required.

Select one of the following methods for underwater driving:

(a) Accomplish underwater driving using conventional driving equipment and piling longer than authorized so that the piling will extend above the water surface during final driving. When choosing this option, furnish a pile hammer that satisfies the requirements of this Section for use with the longer pile.

(b) Accomplish underwater driving using an underwater hammer that meets the requirements of this Section and is approved by the Engineer. When choosing this option, provide at least one pile longer than authorized at each pile group, extending above the water surface at final driving. At each group location, drive the longer pile first. The Engineer will evaluate the adequacy of the underwater driving system. The Engineer may use the pile tip elevation of the longer pile that the Contractor has driven and the Engineer has accepted, to evaluate the acceptability of the piles driven with the underwater hammer.

(c) Accomplish underwater driving using conventional driving equipment with a suitable approved pile follower. When choosing this option, provide at least one pile longer than required at each pile group, extending above the water surface at final driving. At each group location, drive the full length pile first without using the follower. The Engineer will evaluate the adequacy of the follower used for underwater driving. The Engineer may choose to perform a dynamic load test on the first pile the Contractor drives with the follower in each group. The Engineer may use the pile tip elevation of the longer pile, that the Contractor has driven and the Engineer has accepted, to evaluate the acceptability of the piles driven with the follower.

Prior to use, submit details of the follower for the Engineer's evaluation and approval along with the information required in 455-10. Include the weight, cross-section details, stiffness, type of materials, and dimensions of the follower.

**455-5.2 Pile Hammers:** All equipment is subject to satisfactory field performance. Use a variable energy hammer to drive concrete piles. Hammers will be rated based on the theoretical energy of the ram at impact. Supply driving equipment which provides the required resistance at a blow count ranging from 3 blows per inch (36 blows per foot) to 10 blows per inch (120 blows per foot) at the end of initial drive, unless approved otherwise by the Engineer after satisfactory field trial. When the Engineer determines the stroke height or bounce chamber pressure readings do not adequately determine the energy of the hammer, provide and maintain a device to measure the velocity of the ram at impact. Determine the actual hammer energy in the field so that it is consistent with the hammer energy used for each bearing capacity determination. When requested, furnish to the Engineer all technical specifications and operating instructions related to hammer equipment.

**455-5.2.1 Air/steam:** Variable energy air/steam hammers shall be capable of providing at least two ram stroke lengths. The short ram stroke length shall be approximately half of the full stroke for hammers with strokes up to 4 feet and no more than 2 feet for hammers with maximum strokes lengths over 4 feet. Operate and maintain air/steam hammers within the manufacturer's specified ranges. Use a plant and equipment for steam and air hammers with

sufficient capacity to maintain, under working conditions, the hammer, volume and pressure specified by the manufacturer. Equip the plant and equipment with accurate pressure gauges which are easily accessible to the Engineer. The Engineer will not accept final bearing on piles the Contractor drives with air/steam hammers unless the Contractor operates the hammers within 10% of the manufacturer's rated speed in blows per minute, unless otherwise authorized by the Engineer.

**455-5.2.2 Diesel:** Variable energy diesel hammers shall have at least three fuel settings that will produce reduced strokes. Operate and maintain diesel hammers within the manufacturer's specified ranges. Determine the rated energy of diesel hammers using measured ram stroke length multiplied by the weight of the ram for open end hammers and by methods recommended by the manufacturer for closed end hammers.

Provide the Engineer with a chart from the hammer manufacturer equating stroke and blows per minute for the open-end diesel hammer to be used. Also provide and maintain in working order for the Engineer's use an approved device to automatically determine and display ram stroke for open-end diesel hammers.

Equip closed-end (double acting) diesel hammers with a bounce chamber pressure gauge, in good working order, mounted near ground level so the Engineer can easily read. Also, provide the Engineer with a chart, calibrated to actual hammer performance within 30 days prior to initial use, equating bounce chamber pressure to either equivalent energy or stroke for the closed-end diesel hammer to be used.

**455-5.2.3 Hydraulic:** Variable energy hydraulic hammers shall have at least three hydraulic control settings that provide for predictable energy or equivalent ram stroke. The shortest stroke shall be a maximum of 2 feet for the driving of concrete piles. The remaining strokes shall include full stroke and approximately halfway between minimum and maximum stroke.

Supply hammer instrumentation with electronic read out, and control unit that allows the operator to read and adjust the hammer energy or equivalent ram stroke. When pressure measuring equipment is required to determine hammer energy, calibrate the pressure measuring equipment before use.

**455-5.2.4 Vibratory:** Vibratory hammers of sufficient capacity (force and amplitude) may be used to drive steel sheet piles and, with approval of the Engineer, to drive steel bearing piles a sufficient distance to get the impact hammer on the pile (to stick the pile). The Engineer will determine the allowable depth of driving using the vibratory hammer based on site conditions. However, in all cases, use a power impact hammer for the last 15 feet or more of the final driving of steel bearing piles for bearing determinations after all piles in the bent/pier have been driven with a vibratory hammer. Do not use vibrating hammers to install concrete piles, or to install support or reaction piles for a load test.

### **455-5.3 Cushions and Pile Helmet:**

**455-5.3.1 Capblock:** Provide a capblock (also called the hammer cushion) as recommended by the hammer manufacturer. Use commercially manufactured capblocks constructed of durable manmade materials with uniform known properties. Do not use wood chips, wood blocks, rope, or other material which permit excessive loss of hammer energy. Do not use capblocks constructed of asbestos materials. Obtain the Engineer's approval for all proposed capblock materials and proposed thickness for use. Maintain capblocks in good condition, and change them when charred, melted, or otherwise significantly deteriorated. The Engineer will inspect the capblock before driving begins and weekly or at appropriate intervals

determined by the Engineer based on field trial. Replace or repair any hammer cushion which loses more than 25% of its original thickness, in accordance with the manufacturer's instructions, before permitting further driving.

**455-5.3.2 Pile Cushion:** Provide a pile cushion that is adequate to protect the pile from being overstressed in compression and tension during driving. Use a pile cushion sized so that it will fully fill the lateral dimensions of the pile helmet minus one inch but does not cover any void or hole extending through the top of the pile. Determine the thickness based upon the hammer-pile-soil system. For driving concrete piles, use a pile cushion made from pine plywood or oak lumber. Alternative materials may be used with the approval of the Engineer. Obtain the Engineer's approval for all pile cushions. Do not use materials previously soaked, saturated or treated with oil. Maintain pile cushions in good condition and change when charred, splintered, excessively compressed, or otherwise deteriorated to the point it will not protect the pile against overstressing in tension and/or compression. Protect cushions from the weather, and keep them dry. Do not soak the cushions in any liquid. Replace the pile cushion, if during the driving of any pile, the cushion is either compressed more than one-half the original thickness or begins to burn. Provide a new cushion for each pile unless approved otherwise by the Engineer after satisfactory field trial.

Reuse pile cushions in good condition to perform all set-checks and redrives. Use the same cushion to perform the set-check or redrive as was used during the initial driving, unless this cushion is unacceptable due to deterioration, in which case use a similar cushion.

**455-5.3.3 Pile Helmet:** Provide a pile helmet suitable for the type and size of piling being driven. Use a pile helmet deep enough to adequately contain the required thickness of pile cushion and to assist in maintaining pile-hammer alignment. Use a pile helmet that fits loosely over the pile head and is at least 1 inch larger than the pile dimensions. Use a pile helmet designed so that it will not restrain the pile from rotating.

**455-5.4 Leads:** Provide pile leads constructed in a manner which offers freedom of movement to the hammer and that have the strength and rigidity to hold the hammer and pile in the correct position and alignment during driving. When using followers, use leads that are long enough and suitable to maintain position and alignment of the hammer, follower, and pile throughout driving.

**455-5.5 Followers:** Use followers only for underwater driving. Obtain the Engineer's approval for the type of follower, when used, and the method of connection to the leads and pile. Use followers constructed of steel with an adequate cross-section to withstand driving stresses. When driving concrete piles, ensure that the cross-sectional area of the follower is at least 18% of the cross-sectional area of the pile. When driving steel piles, ensure that the cross-sectional area of the follower is greater than or equal to the cross-sectional area of the pile. Provide a pile helmet at the lower end of the follower sized according to the requirements of 455-5.3.3. Use followers constructed that maintain the alignment of the pile, follower, and hammer and still allow the pile to be driven within the allowable tolerances. Use followers designed with guides adapted to the leads that maintain the hammer, follower, and the piles in alignment.

Use information from driving full length piles described in 455-5.1.2 compared to driving piles with the follower and/or dynamic load tests described in 455-5.13 to evaluate the adequacy of the follower and to establish the blow count criteria when using the follower.

**455-5.6 Templates and Ground Elevations:** Provide a fixed template, adequate to maintain the pile in proper position and alignment during driving with swinging leads or with

semi-fixed leads. Where practical, place the template so that the pile can be driven to cut-off elevation before removing the template. Ensure that templates do not restrict the vertical movement of the pile.

Supply a stable reference close to the pile, which is satisfactory in the opinion of the Engineer, for determination of the pile penetration. At the time of driving piles, furnish the Engineer with elevations of the original ground and template at each pile or pile group location. Note the highest and lowest elevation at each required location and the ground elevation at all piles.

**455-5.7 Water Jets:** Use jet pumps, supply lines, and jet pipes that provide adequate pressure and volume of water to freely erode the soil. Do not perform jetting without prior approval by the Engineer or unless allowed by the Plans.

Do not perform jetting in the embankment or for end bents. Where conditions warrant, with approval by the Engineer, perform jetting on the holes first, place the pile therein, then drive the pile to secure the last few feet of penetration. Only use one jet for prejetting or jetting through piles constructed with a center jet-hole. Use two jets when using external jets. When jetting and driving, position the jets slightly behind the advancing pile tip (approximately 3 feet or as approved by the Engineer). When using water jets in the driving, determine the pile bearing only from the results of driving after withdrawing the jets, except where using jets to continuously eliminate soil resistance through the scour zone, ensure that they remain in place as directed by the Engineer and operating during pile bearing determination. Where practical, perform jetting on all piles in a pile group before driving begins. When large pile groups or pile spacing and batter make this impractical, or when the Plans specify a jet-drive sequence, set check a sufficient number of previously driven piles in a pile group to confirm their capacity after completing all jetting.

**455-5.8 Penetration Requirements:** Measure the penetration of piles from the elevation of natural ground, scour elevation shown in the Plans, or the bottom of excavation, whichever is lower. When the Contract Documents show a minimum pile tip elevation or a minimum depth of penetration, drive the tip of the pile to this minimum elevation or this minimum penetration depth. In all such cases, the Engineer will accept the bearing of a pile only if the Contractor achieves the required bearing when the tip of the pile is at or below the specified minimum tip elevation or depth of penetration and below the bottom of the preformed or predrilled pile hole.

When the Plans do not show a minimum depth of penetration, scour elevation, or minimum tip elevation, ensure that the required penetration is at least 10 feet into firm bearing material or at least 20 feet into soft material unless otherwise permitted by the Engineer. If a scour elevation is shown in the Plans, achieve these penetrations below the scour elevation. The Engineer may accept a penetration between 15 feet and 20 feet when there is an accumulation of five consecutive feet or more of firm bearing material. Firm bearing material is any material offering a driving resistance greater than or equal to 30 tons per square foot of gross pile area as determined by the Dynamic Load Testing (455-5.11.4). Soft material is any material offering less than these resistances. The gross pile area is the actual pile tip cross-sectional area for solid concrete piles, the product of the width and depth for H piles, and the area within the outside perimeter for pipe piles and voided concrete piles.

Do not drive piles beyond practical refusal (20 blows per inch). To meet the requirements in this Subarticle, provide penetration aids, such as jetting or preformed pile holes, when piles cannot be driven to the required penetration without reaching practical refusal.

If the Contractor encounters unforeseeable, isolated obstructions that the Contractor cannot practically penetrate by driving, jetting, or preformed pile holes, and the Contractor must remove the pile to obtain the required pile penetration, the Department will pay the costs for such removal as Unforeseeable Work.

#### **455-5.9 Preformed Pile Holes:**

**455-5.9.1 Description:** Preformed pile holes serve as a penetration aid when all other pile installation methods fail to produce the desired penetration and when authorized by the Engineer to minimize the effects of vibrations on adjacent structures. Preformed pile holes are necessary when the presence of rock or strong strata of soils will not permit the installation of piles to the desired penetration by driving or a combination of jetting and driving, when determined necessary by the Engineer, or when authorized by the Engineer to minimize the effects of vibrations on adjacent existing structures. The Engineer may require preformed holes for any type of pile. Drive all piles installed in preformed pile holes to determine that the bearing requirements have been met.

For preformed holes which are required through material that caves during driving to the extent that the preformed hole does not serve its intended purpose, case the hole from the surface through caving material. After installing the pile to the bottom of the casing, remove the casings unless shown otherwise in the Plans. Determine bearing of the pile after removing the casing unless shown otherwise in the Plans. Fill all voids between the pile and soil remaining after driving through preformed holes with clean A-3 sand or sand meeting the requirements of 902-3.3, after the pile has achieved the required minimum tip elevation, unless grouting of preformed pile holes is shown in the Plans. If pile driving is interrupted during sand placement, drive the pile at least 20 additional blows after filling all of the voids between the pile and soil with sand at no additional compensation.

**455-5.9.2 Provisions for Use of Preformed Pile Holes:** The Department generally anticipates the necessity for preformed pile holes and includes directions in the Contract Documents. The Department will pay for preformed pile holes when the Contractor establishes that the required results cannot be obtained when driving the load bearing piles with specified driving equipment, or if jetting is allowed, while jetting the piles and then driving or while jetting the piles during driving.

**455-5.9.3 Conditions Under Which Payment Will Be Made:** The Department will make payment for preformed pile holes shown in the Plans, required by the Engineer or where the Contractor demonstrates that such work is necessary to achieve the required penetration of the pile. The Department considers, but does not limit to, the following conditions as reasons for preformed pile holes:

- (a) Inability to drive piles to the required penetration with driving and jetting equipment.
- (b) To penetrate a hard layer or layers of rock or strong stratum that the Engineer considers not sufficiently thick to support the structure.
- (c) To obtain greater penetration into dense (strong) material and into dense material containing holes, cavities or unstable soft layers.
- (d) To obtain penetration into a stratum in which it is desired to found the structure.
- (e) To minimize the effects of vibrations or heave on adjacent existing structures.
- (f) To minimize the effects of ground heave on adjacent piles.

**455-5.9.4 Construction Methods:** Construct preformed pile holes by drilling, or driving and withdrawing a suitable punch or chisel at the locations of the piles. Construct a hole that is equal to or slightly greater than the largest pile dimension for the entire length of the hole and of sufficient depth to obtain the required penetration. Carefully form the preformed hole by using a drill or punch guided by a template or other suitable device, and do not exceed the minimum dimensions necessary to achieve the required penetration of the pile. When the Plans call for grouting the preformed pile holes, provide the minimum dimension of the pile hole that is 2 inches larger than the largest pile dimension. Construct the holes at the Plan position of the pile and the tolerances in location, and ensure the hole is straight and that the batter is the same as specified for the pile. Loose material may remain in the preformed pile hole if the conditions in 455-5.9.3 are satisfied.

**455-5.9.5 Grouting of Pile Holes:** Grout preformed pile holes for bearing piles, when the Plans require grouting after driving. Clean the preformed pile holes, and fill them with cement grout as shown in the Plans. Use grout that has a minimum compressive strength of 3,000 psi at 28 days or as specified. Pump the grout through three or more grout pipes initially placed at the bottom of the preformed hole. The Contractor may raise the grout pipes when necessary to prevent clogging and to complete the grouting operations. Maintain the grout pipes below the surface of the previously placed grout. Continue grouting until the grout reaches the ground surface all around the pile. Provide divers to monitor grouting operations when the water depth is such that it is impractical to monitor from the ground surface. When grouting is shown in the Plans, include the cost in the price for piles. In the event that the Engineer determines the Contractor must grout and the required grouting is not shown in the Plans, the Department will pay for the grouting work as Unforeseeable Work.

**455-5.10 Bearing Requirements:**

**455-5.10.1 General:** Drive piles to provide the bearing capacities required for carrying the loads shown in the Plans. For all types of bearing piles, consider the driving resistance as determined by the methods described herein sufficient for carrying the specified loads as the minimum bearing which is accepted for any type of piles. Determine pile bearing using the method described herein or as shown in the Plans.

The Engineer may accept a driven pile when the pile has achieved minimum penetration, the blow count is generally increasing and the minimum required bearing capacity obtained for 24 inches of consecutive driving. At his discretion, the Engineer may also accept a driven pile when the minimum penetration is achieved and driving has reached practical refusal in firm material.

**455-5.10.2 Blow Count Criteria:** The Engineer will determine the number of blows required to provide the required bearing according to the methods described herein. Determine the pile bearing by computing the penetration per blow with less than 1/4 inches rebound averaged through 12 inches each of penetration. When it is considered necessary by the Engineer, determine the average penetration per blow by averaging the penetration per blow through the last 10 to 20 blows of the hammer.

**455-5.10.3 Practical Refusal:** Practical refusal is defined as 20 blows per inch with the hammer operating at the highest setting or setting determined by the Engineer and less than 1/4 inches rebound per blow. Stop driving as soon as the Engineer determines that the pile has reached practical refusal. The Engineer will generally make this determination within 2 inches of driving. When the required pile penetration cannot be achieved by driving without exceeding practical refusal, use other penetration aids such as jetting or preformed pile holes.

#### **455-5.10.4 Set-checks and Pile Redrive:**

(a) Set-checks: In the event that the Contractor has driven the pile to approximately 12 inches above cut-off without reaching the required resistance, the Engineer may require the Contractor to interrupt driving to perform a set-check. Provide an engineer's level or other suitable equipment for elevation determinations to determine accurate pile penetration during the set-checks. In the event the results of the initial set-checks are not satisfactory, the Engineer may direct additional set-checks. The Engineer may accept the pile as driven when a set-check shows that the Contractor has achieved the minimum required pile bearing and has met all other requirements of this Section.

(b) Pile Redrive: Pile redrive consists of redriving the pile after the following working day from initial driving to determine time effects, to reestablish pile capacity due to pile heave, or for other reasons determined by the Engineer. Redrive piles as directed by the Engineer.

(c) Uninstrumented Set-Checks and Uninstrumented Pile Redrive: The Engineer may consider the pile to have sufficient bearing resistance when the specified set-check criteria is met through the last 10 to 20 blows of the hammer at the specified minimum stroke and the total penetration is less than six inches with less than 1/4 inches rebound per blow. When the total penetration during a set-check or redrive is greater than six inches or pile rebound exceeds 1/4 inches per blow, the Engineer may consider the pile to have sufficient bearing resistance when the specified blow count criteria is achieved in accordance with 455-5.10.1.

(d) Instrumented Set-Checks and Instrumented Pile Redrive: When considered necessary by the Engineer, dynamic load tests will be used to determine whether the pile bearing is sufficient. The Engineer may consider the pile to have sufficient bearing resistance when dynamic measurements demonstrate the static pile resistance during at least one hammer blow exceeds the required pile resistance, the average static pile resistance during the next five hammer blows exceeds 95% of the required pile resistance and the static pile resistance during all subsequent blows exceeds 90% of the required pile resistance.

**455-5.10.5 Pile Heave:** Pile heave is the upward movement of a pile from its originally driven elevation. Drive the piles in an approved sequence to minimize the effects of heave and lateral displacement of the ground. Monitor piles previously driven in a pile group for possible heave during the driving of the remaining piles. When required by the Engineer, take elevation measurements to determine the magnitude of the movement of piles and the ground surface resulting from the driving process. Redrive all piles that have heaved 1/4 inches or more unless the Engineer determines that the heave is not detrimental to pile capacity. The Department will pay for all work in conjunction with redriving piles due to pile heave under the pile redrive item.

**455-5.10.6 Piles with Insufficient Bearing:** In the case that the Engineer determines that the safe bearing capacity of any pile is less than the required bearing capacity, the Contractor may splice the pile and continue driving or may extract the pile and drive a pile of greater length, or, if so ordered by the Engineer, drive additional piles until reducing the required bearing per pile to the determined bearing capacity of the piles already driven.

#### **455-5.11 Methods to Determine Pile Capacity:**

**455-5.11.1 General:** Dynamic load tests using Embedded Data Collector (EDC) equipment and the UF Method of analysis, or an externally mounted instrument system and signal matching analyses will be used to determine pile capacity for all structures or projects unless otherwise shown on the Plans. When necessary, the Engineer may require static load tests

to confirm pile capacities. When the Contract Documents do not include items for static load tests, the Engineer will consider all required static load testing Unforeseeable Work. Notify the Engineer two working days prior to placement of piles within the template and at least one working day prior to driving piles. Do not drive piles without the presence of the Engineer.

If the internally mounted system fails to communicate properly with the receiving system, allow the Engineer sufficient time to mobilize back-up equipment for performing dynamic load testing.

#### **455-5.11.2 Wave Equation:**

(a) General: Use Wave Equation Analysis for Piles (WEAP) programs to evaluate the suitability of the proposed driving system (including the hammer, follower, capblock and pile cushions) as well as to estimate the driving resistance, in blows per 12 inches or blows per inch, to achieve the pile bearing requirements and to evaluate pile driving stresses.

The Engineer may modify the scour resistance shown in the Plans if the dynamic load test is used to determine the actual soil resistance through the scour zone. Also, the Engineer may make modifications in scour resistance when the Contractor proposes drilling and/or jetting to reduce the soil resistance in the scour zone.

Use Wave Equation Analyses to show the hammer is capable of driving to a resistance equal to at least 2.0 times the factored design load plus the scour and down drag resistance (if applicable) shown in the Contract Documents, without overstressing the piling in compression or tension and without reaching practical refusal (20 blows per inch). Ensure that the hammer provided also meets the requirements described in 455-5.2.

(b) Required Equipment For Driving: Hammer approval is solely based on satisfactory field trial including dynamic load test results and Wave Equation Analysis. Supply a hammer system that meets the requirements described in the specifications based on the above analysis. Obtain approval from the Engineer for the pile driving system based on satisfactory field performance.

In the event piles require different hammer sizes, the Contractor may elect to drive with more than one size hammer or with a variable energy hammer, provided the hammer is properly sized and cushioned, will not damage the pile, and will develop the required resistance.

#### **(c) Maximum Allowed Pile Stresses:**

(1) General: The maximum allowed driving stresses for concrete, steel, and timber piles are given below. In the event Wave Equation analyses show that the hammer will overstress the pile, modify the driving system or method of operation as required to prevent overstressing the pile. In such cases provide additional cushioning or make other appropriate agreed upon changes. For penetration of weak soils by concrete piles, use thick cushions and/or reduced stroke to control tension stresses during driving.

(2) Concrete Piles: Use the wave equation to evaluate the proposed pile cushioning. Use the following equations to determine the maximum allowed pile stresses as predicted by the wave equation, and measured during driving when driving prestressed concrete piling:

$$s_{apc} = 0.7 f'_c - 0.75 f_{pe} \quad (1)$$

$$s_{apt} = 6.5 (f'_c)^{0.5} + 1.05 f_{pe} \quad (2a) \text{ for piles less than 50 feet long}$$

$$s_{apt} = 3.25 (f'_c)^{0.5} + 1.05 f_{pe} \quad (2b) \text{ for piles 50 feet long and greater}$$

$$s_{apt} = 500 \quad (2c) \text{ within 20 feet of a mechanical splice}$$

where:

$s_{apc}$  = maximum allowed pile compressive stress, psi

$s_{apt}$  = maximum allowed pile tensile stress, psi

$f'_c$  = specified minimum compressive strength of concrete, psi

$f_{pe}$  = effective prestress (after all losses) at the time of driving, psi, taken as 0.8 times the initial prestress force ( $f_{pe} = 0$  for dowel spliced piles).

(3) Steel Piles: Ensure the maximum pile compression and tensile stresses as predicted by the Wave Equation, and/or measured during driving are no greater than 0.9 times the yield strength ( $0.9 f_y$ ) of the steel.

(4) Timber Piles: Ensure the maximum pile compression and tensile stresses as predicted by the wave equation, and/or measured during driving are no greater than 3.6 ksi for Southern Pine and Pacific Coast Douglas Fir and 0.9 of the ultimate parallel to the grain strength for piles of other wood.

**455-5.11.3 Temporary Piles:** Submit for the Engineers review, an analysis signed and sealed by a Specialty Engineer which establishes the pile lengths for temporary piles, and submit for the Engineers approval, a Wave Equation analysis signed and sealed by a Specialty Engineer which establishes the driving criteria for temporary piles at least five working days prior to driving temporary production piles. The required driving resistance is equal to the sum of the factored design load plus the scour and down drag resistances shown in the Plans, divided by the appropriate resistance factor or the nominal bearing resistance shown in the Plans, whichever is higher.

The maximum resistance factor is 0.45 when only wave equation analysis is performed. However, a larger resistance factor may be applicable when additional testing is provided by the Specialty Engineer in accordance with Section 3.5.6 of Volume 1 of the FDOT Structures Manual.

**455-5.11.4 Dynamic Load Tests:** Dynamic load testing consists of estimating pile capacity by the analysis of electronic data collected from blows of the hammer during driving of an instrumented pile.

**455-5.11.5 Static Load Tests:** Static load testing consists of applying a static load to the pile to determine its capacity. Use The Modified Quick Test Procedure in accordance with 455-2.2.1.

**455-5.11.6 Fender Pile Installation:** For piles used in fender systems, regardless of type or size of pile, either drive them full length or jet the piles to within 2 feet of cutoff and drive to cutoff elevation to seat the pile. The Engineer will not require a specific driving resistance unless noted in the Plans. Use methods and equipment for installation that do not damage the piles. If the method or equipment used causes damage to the pile, modify the methods or equipment at no expense to the Department.

**455-5.11.7 Structures Without Test Piles:** For projects without test piles, the Engineer will dynamically test the first pile(s) in each bent or pier at locations shown in the Plans

to determine the blow count criteria for the remaining piles. When locations are not shown in the Plans, allow for dynamic load tests at 5% of the piles at each bent or pier (rounded up to the next whole number). If the Engineer requires additional dynamic load tests for comparison purposes, the Contractor will be paid for an additional dynamic load test as authorized by the Engineer in accordance with 455-11.5.

When using externally mounted instruments, allow the Engineer one working day after driving the dynamic load tested piles for the Engineer to complete the signal matching analyses and determine the driving criteria for the subsequent piles in the bent or pier.

#### **455-5.12 Test Piles:**

**455-5.12.1 Description:** Drive piles of the same cross-section and type as the permanent piles shown in the Plans, in order to determine any or all of the following:

- (a) the installation criteria for the piles.
- (b) the nature of the soil.
- (c) the lengths of permanent piles required for the work.
- (d) the driving resistance characteristics of the various soil strata.
- (e) the amount of work necessary to obtain minimum required pile penetration.
- (f) the ability of the driving system to do the work.
- (g) the need for point protection.

Because test piles are exploratory in nature, drive them harder (within the limits of practical refusal), deeper, and to a greater bearing resistance than required for the permanent piling. Except for test piles which are to be statically or Statnamically load tested, drive test piles their full length or to practical refusal. Splice test piles which have been driven their full length and have developed only minimal required bearing, and proceed with further driving.

As a minimum, unless otherwise directed by the Engineer, do not cease driving of test piles until obtaining the required bearing capacity continuously, where the blow count is increasing, for 10 feet unless reaching practical refusal first. For test piles which are to be statically or Statnamically load tested, ignore this minimum and drive these piles as anticipated for the production piles.

When test piles attain practical refusal prior to attaining minimum penetration, perform all work necessary to attain minimum penetration and the required bearing. Where practical, use water jets to break the pile loose for further driving. Where jetting is impractical, extract the pile and install a preformed pile hole through which driving will continue. The Department will consider the work of extracting the pile to be Unforeseeable Work.

When driving test piles other than low displacement steel test piles, have preforming equipment available at the site and water jets as specified in 455-5.7 when jetting is allowed, ready for use, before the test pile driving begins.

The Engineer may elect to interrupt pile driving up to four times on each test pile, two times for up to two hours and two additional times during the next working day of initial driving to determine time effects during the driving of test piles.

Install instruments on test piles when dynamic load tests are included in the Plans or when directed by the Engineer.

**455-5.12.2 Location of Test Piles:** Drive all test piles in the position of permanent piles at the designated locations. Ensure that all test piles designated to be statically

load tested are plumb. In the event that all the piles are battered at a static load test site, the Engineer will designate an out-of-position location for driving a plumb pile for the static load test.

**455-5.12.3 Equipment for Driving:** Use the same hammer and equipment for driving test piles as for driving the permanent piles. Also use the same equipment to redrive piles.

**455-5.13 Dynamic Load Tests:** The Engineer will take dynamic measurements during the driving of piles designated in the Plans or authorized by the Engineer. Install instruments prior to driving and assist the Engineer in monitoring all blows delivered to the pile. All test piles will have dynamic load tests. The Engineer will perform dynamic load tests to evaluate any or all of the following:

1. Evaluate suitability of Contractor's driving equipment, including hammer, capblock, pile cushion, and any proposed follower.
2. Determine pile capacity.
3. Determine pile stresses.
4. Determine energy transfer to pile.
5. Determine distribution of soil resistance.
6. Evaluate soil variables including quake and damping.
7. Evaluate hammer-pile-soil system for Wave Equation analyses.
8. Evaluate pile installation problems.
9. Other.

Either install EDCs in the piles in accordance with Design Standards, Index No. 20602 or attach instruments (strain transducers to measure force and accelerometers to measure acceleration) with bolts to the pile for dynamic load testing.

Make each pile to be dynamically tested with externally attached instruments available to drill holes for attaching instrumentation and for wave speed measurements. Support the pile with timber blocks placed at appropriate intervals. Ensure that the pile is in a horizontal position and does not contact adjacent piles. Provide a sufficient clear distance at the sides of the pile for drilling the holes. The Engineer will furnish the equipment, materials, and labor necessary for drilling holes and taking the wave speed measurements. If the Engineer directs dynamic load testing, instrumented set-checks or instrumented redrives, provide the Engineer safe access to the top of the piles for drilling the attachment holes. After placing the leads provide the Engineer reasonable means of access to the piles to attach the instruments and for removal of the instruments after completing the pile driving.

The Engineer will monitor the stresses in the piles with the dynamic test equipment during driving to ensure the Contractor does not exceed the maximum allowed stresses. If necessary, add additional cushioning, replace the cushions, or reduce the hammer stroke to maintain stresses below the maximum allowable. If dynamic test equipment measurements indicate non-axial driving, immediately realign the driving system. If the cushion is compressed to the point that a change in alignment of the hammer will not correct the problem, add cushioning or change the cushion as directed by the Engineer.

Drive the pile to the required penetration and resistance or as directed by the Engineer. Dynamic load testing of a pile may average up to two hours longer than for driving an uninstrumented pile.

When directed by the Engineer, perform instrumented set-checks or redrives. Do not use a cold diesel hammer for a set-check or redrive unless in the opinion of the Engineer it is

impractical to do otherwise. Generally, warm up the hammer by driving another pile or applying at least 20 blows to a previously driven pile or to timber mats placed on the ground.

For steel production piles, the Engineer may accept instrumented set-checks or redrives for the purpose of meeting the requirements for 100% dynamic testing.

#### **455-5.14 Pile Lengths:**

**455-5.14.1 Test Pile Length:** Provide the length of test piles shown in the Plans or as directed by the Engineer.

#### **455-5.14.2 Production Pile Length**

**455-5.14.2.1 Structures With Test Piles:** When test pile lengths are shown in the Plans, the production pile lengths are based on information available during design and are approximate only. The Engineer will determine final pile lengths in the field which may vary significantly from the lengths or quantities shown in the Plans.

**455-5.14.2.2 Structures Without Test Piles:** Authorized lengths are provided as Production Pile Order Lengths in the Pile Data Table in the Structure Plans. Use these lengths for furnishing the permanent piling for the structure.

**455-5.14.3 Authorized Pile Lengths:** The authorized pile lengths are the lengths determined by the Engineer based on all information available before the driving of the permanent piles, including, but not limited to, information gained from the driving of test piles, dynamic load testing, static load testing, supplemental soil testing, etc. When authorized by the Department, soil freeze information obtained during set checks and pile redrives may be used to determine authorized pile lengths for sites with extreme soil conditions. The Contractor may elect to provide piling with lengths longer than authorized to suit his method of installation or schedule. When the Contractor elects to provide longer than authorized pile lengths, the Department will pay for the furnished length as either the originally authorized length or the length between cut-off elevation and the final accepted pile tip elevation, whichever is the longer length.

Within five working days after driving all the test piles, completing all load tests, completing all redrives, and receiving all test reports, the Engineer will furnish the Contractor an itemized list of authorized pile lengths. Use these lengths for furnishing the permanent piling for the structure. If the Contractor is willing to start his pile driving operations in zones consisting of at least four test piles designated by the Engineer, and if the Contractor so requests in writing at the beginning of the test pile program, the Department will furnish pile lengths for these designated phases within five working days after driving all the test piles, completing all load tests, completing all redrives, and receiving all test reports for those designated zones. The Engineer will furnish the driving criteria for piles within three working days of furnishing pile lengths.

On multiple phase projects, the Engineer will not furnish pile lengths on subsequent phases until completing the piling on initial phases.

#### **455-5.15 Allowable Driving Tolerances:**

**455-5.15.1 General:** Meet the tolerances described in this Subarticle to the piles that are free standing without lateral restraint (after the template is removed). After the piles are driven, do not move the piles laterally to force them to be within the specified tolerances. The Contractor may move battered piles laterally to overcome the dead load deflections caused by the pile's weight. When this is necessary, submit calculations signed and sealed by a Specialty Engineer to the Engineer that verify the amount of dead load deflection prior to moving any piles.

**455-5.15.2 Position:** Ensure that the final position of the pile head at cut-off elevation is no more than 3 inches laterally in the X or Y coordinate from the Plan position indicated in the Plans.

**455-5.15.3 Axial Alignment:** Ensure that the axial alignment of the driven piles does not deviate by more than 1/4 inches per foot from the vertical or batter line indicated in the Plans.

**455-5.15.4 Elevation:** Ensure that the final elevation of the pile head is no more than 1-1/2 inches above, or more than 4 inches below, the elevation shown in the Plans, however in no case shall the pile be embedded less than 8 inches into the cap or footing.

For fender piles, cut off piles at the elevation shown in the Plans to a tolerance of plus 0.0 inches to minus 2.0 inches using sawing or other means as approved by the Engineer to provide a smooth level cut.

**455-5.15.5 Deviation From Above Tolerances:** When the Contractor has failed to meet the above tolerances, the Contractor may propose a redesign to incorporate out of tolerance piles into pile caps or footings, at no expense to the Department. Ensure the Contractor's Engineer of Record performs any redesign and signs and seals the redesign drawings and computations. Do not begin any proposed construction until the redesign has been reviewed for acceptability and approved by the Engineer.

**455-5.16 Disposition of Pile Cut-offs, Test Piles, and Load Test Materials:**

**455-5.16.1 Pile Cut-offs:**

(a) Steel Piling: Unless shown otherwise in the Plans, the Department will retain ownership of cut-off sections, or portions of cut-off sections, and unused piling 20 feet long or longer that are not damaged. Deliver them to the Department's nearest maintenance yard. Ensure that sections of piles delivered to the maintenance yard are straight and undamaged. Cut off the damaged portions prior to delivery. Take ownership of cut-off sections less than 20 feet long. Remove them from the job, and dispose of them.

(b) Other Pile Types: Upon completion of all work under the Contract in connection with piling, unless shown otherwise in the Plan, take ownership of any unused cut-off lengths remaining, and remove them from the right-of-way. Provide areas for their disposal.

**455-5.16.2 Test Piles:** Where so directed by the Plans or the Engineer, cut off, or build-up as necessary, test piles, and leave them in place as permanent piles. Extract and replace test piles driven in permanent position and found not suitable for use due to actions of the Contractor at no expense to the Department. Pull, or cut off at an elevation 2 feet below the ground surface or bottom of proposed excavation, test piles driven out of permanent position, and dispose of the removed portion of the test pile.

When test piles are required to be driven in permanent pile positions, the Contractor may elect to drive the test pile out of position, with the approval of the Engineer, provided that a replacement pile is furnished and driven by the Contractor at no expense to the Department in the position that was to be occupied by the test pile. Under this option, the Department will pay for the test pile in the same manner as if it were in permanent position.

Unless otherwise directed in the Plans or by the Engineer, retain ownership of test piles that are pulled or cut off and provide areas for their disposal.

**455-6 Timber Piling.**

**455-6.1 Description:** Drive timber piles constructed of round timber of the kind and dimensions specified in the Plans at the locations and to the elevations shown in the Plans, or as directed by the Engineer.

**455-6.2 Materials:** Meet the timber piling requirements of Section 953. Treat the piles according to the applicable provisions of Section 955. Treat all cuts and drilled holes in accordance with 470-3.

**455-6.3 Preparation for Driving:**

**455-6.3.1 Caps:** Protect the heads of timber piles during driving, using a cap of approved type, that will distribute the hammer blow over the entire cross-section of the pile. When necessary cut the head of the pile square before beginning pile driving.

**455-6.3.2 Collars:** Provide collars or bands to protect piles against splitting and brooming at no expense to the Department.

**455-6.3.3 Shoes:** Provide piles shod with metal shoes, of a design satisfactory to the Engineer, at no expense to the Department. Shape pile tips to receive the shoe and install according to the manufacturer's directions.

**455-6.4 Storage and Handling:** Store and handle piles in the manner necessary to avoid damage to the piling. Take special care to avoid breaking the surface of treated piles. Do not use cant dogs, hooks, or pike holes when handling and storing the piling.

**455-6.5 Cutting Off:** Saw off the tops of all timber piles at the elevation indicated in the Plans. Saw off piles which support timber caps to the exact plane of the superimposed structure so that they exactly fit it. Withdraw and replace broken, split, or misplaced piles.

**455-6.6 Build-ups:** The Engineer will not permit splices or build-ups for timber piles. Extract piles driven below Plan elevation and drive a longer pile.

**455-6.7 Pile Heads:**

**455-6.7.1 Piles with Timber Caps:** On piles wider than the timber caps, dress off to a slope of 45 degrees the part of the pile head projecting beyond the sides of the cap. Coat the cut surface with the required preservative over which place a sheet of copper, of a weight of 10 ounces per square foot or greater, meeting the requirements of ASTM B370. Provide a cover measuring at least 4 inches more in each dimension greater than the diameter of the pile. Bend the cover down over the pile and fasten the edges with large head copper nails or three wraps of No. 12 copper wire.

**455-6.7.2 Fender and Bulkhead Piles:** First paint the heads of fender piles and of bulkhead piles with preservative and then cover with copper as provided above for piles supporting timber caps.

**455-7 Prestressed Concrete Piling.**

**455-7.1 Description:** Provide prestressed concrete piles that are manufactured, cured, and driven in accordance with the requirements of the Contract Documents. Provide piles full length without splices when transported by barge or the pile length is less than or equal to 120 feet. When piles are transported by truck and the pile length exceeds 120 feet but is less than the maximum length for a three point pick-up according to Design Standards, Index No. 20600, and splicing is desired, provide minimal splices. Include the cost of the splices in the cost of the pile.

**455-7.2 Manufacture:** Fabricate piles in accordance with Section 450. When EDCs will be used for dynamic load testing, supply and install in square prestressed concrete piles in accordance with Design Standards, Index No 20602. Ensure the EDCs are installed by personnel approved by the manufacturer.

**455-7.3 Storage and Handling:**

**455-7.3.1 Time of Driving Piles:** Drive prestressed concrete piles at any time after the concrete has been cured in accordance with Section 450, and the concrete compressive strength is equal to or greater than the specified 28 day compressive strength.

**455-7.3.2 Storage:** Support piles on adequate dunnage both in the prestress yard and at the job site in accordance with the locations shown in the Standard Indexes to minimize undue bending stresses or creating a sweep or camber in the pile.

**455-7.3.3 Handling:** Handle and store piles in the manner necessary to eliminate the danger of fracture by impact or of undue bending stresses in handling or transporting the piles from the forms and into the leads. In general, lift concrete piles by means of a suitable bridge or slings attached to the pile at the locations shown in the Standard Indexes. Construct slings used to handle piles of a fabric material or braided wire rope constructed of six or more wire ropes which will not mar the corners or the surface finish of the piles. Do not use chains to handle piles. During transport, support concrete piles at the lifting locations shown in the Standard Indexes or fully support them throughout 80% or more of their length. In handling piles for use in salty or brackish water, exercise special care to avoid damaging the surface and corners of the pile. If an alternate transportation support arrangement is desired, submit calculations, signed and sealed by the Specialty Engineer, for approval by the Engineer prior to transporting the pile. Calculations must show that the pile can be transported without exceeding the bending moments calculated using the support locations shown in the Plans.

**455-7.4 Cracked Piles:** The Engineer will reject any pile that becomes cracked in handling to the point that a transverse or longitudinal crack extends through the pile, shows failure of the concrete as indicated by spalling of concrete on the main body of the pile adjacent to the crack, or which in the opinion of the Engineer will not withstand driving stresses. The Engineer will not reject any pile for the occasional minor surface hairline cracking caused by shrinkage or tensile stress in the concrete from handling.

Do not drive piling with irreparable damage, which is defined as any cracks that extend through the pile cross-sectional area that are, or will be, below ground or water level at the end of driving. Such cracks are normally evidenced by emitting concrete dust during their opening and closing with each hammer blow. Remove and replace broken piles or piles cracked to the extent described above at no expense to the Department. The Engineer will accept cracks less than 0.005 inches which do not extend through the pile. Using approved methods, cut off and splice or build-up to cut-off elevation piles with cracks greater than 0.005 inches at the pile head or above ground or water level, and piles with cracks above ground or water level which extend through the cross-sectional area of the pile. The Engineer, at his discretion, may require correction of pile damage or pile cracks by cutting down the concrete to the plane of sound concrete below the crack and rebuilding it to cut-off elevation, or the Engineer may reject the pile. Extract and replace rejected piles that cannot be repaired, at no expense to the Department.

Take appropriate steps to prevent the occurrence of cracking, whether due to handling or driving. When cracking occurs during driving, take immediate steps to prevent additional cracking by using thicker cushions or reducing the ram stroke length. Revise handling and transporting equipment and procedures as necessary to prevent cracking during handling and transportation.

**455-7.5 Preparation for Transportation:** Cut any strands protruding beyond the ends of the pile flush with the surface of the concrete using an abrasive cutting blade before transporting the piles from the casting yard.

Cut and patch the metal lifting devices in accordance with 450-9.2.1.

**455-7.6 Method of Driving:** Unless otherwise directed, drive piles by a hammer or by means of a combination of water jets and hammer when jetting is allowed. When using jets in combination with a hammer, withdraw the jets and drive the pile by the hammer alone to secure final penetration and to rigidly fix the tip end of the pile. Keep jets in place if they are being used to continuously eliminate the soil resistance in the scour zone.

**455-7.7 Extensions and Build-ups Used to Increase Production Lengths:**

**455-7.7.1 General:** Where splices and build-ups for concrete piles are necessary, construct splices and build-ups in accordance with Design Standards, Index No. 20601.

These requirements are not applicable to specially designed piling. Make splices for special pile designs as shown in the Plans.

**455-7.7.2 Extensions to be Driven or Those 21 feet or Longer:** Construct extensions to be driven or extensions 21 feet or longer in length in accordance with the details shown in the Plans and in a manner including the requirements, sequences, and procedures outlined below:

(a) Cast a splice section in accordance with Section 450 with the dowel steel in the correct position and alignment.

(b) Drill dowel holes using an approved steel template that will position and align the drill bit during drilling. Drill holes a minimum of 2 inches deeper than the length of the dowel to be inserted.

(c) Clean the drilled dowel holes by inserting a high pressure air hose to the bottom of the hole and blowing the hole clean from the bottom upward. Eliminate any oil, dust, water, and other deleterious materials from the holes and the concrete surfaces to be joined.

(d) Place forms around joints between the pile sections.

(e) Mix the adhesive components in accordance with the manufacturer's directions. Do not mix sand or any other filler material with the epoxy components unless it is prepackaged by the manufacturer for this specific purpose. Use adhesives meeting the requirements of Section 926 for Type B Epoxy Compounds.

(f) After ensuring that all concrete surfaces are dry, fill the dowel holes with the adhesive material.

(g) Insert the dowels of the spliced section into the adhesive filled holes of the bottom section and position the spliced section so that the axes of the two sections are in concentric alignment and the ends of the abutting sections are spaced 1/2 inches apart. The Contractor may use small steel spacers of the required thickness provided they have 3 inches or more of cover after completing the splice. Fill the space between the abutting sections completely with the adhesive.

(h) Secure the spliced sections in alignment until the adhesive is cured in accordance with the manufacturer's directions for the time appropriate with the prevailing ambient temperatures. Do not utilize the crane to secure the pile extension during the adhesive cure time. Utilize alignment braces to maintain the proper pile alignment during the epoxy cure time.

(i) After curing is completed, remove alignment braces and forms and clean and dress the spliced area to match the pile dimensions.

**455-7.7.3 Precast Reinforced Build-ups:** Construct precast reinforced build-ups in accordance with the requirements of this Subarticle, Section 346, and Section 400. Provide the same material for the form surfaces for precast build-ups as was used to form the prestressed piles. Use concrete of the same mix as used in the prestressed pile and dimension the cross-

section the same as piling being built up. Install build-ups as specified in 455-7.7.2(b) through 455-7.7.2(i). Apply to the build-ups the same surface treatment or sealant applied to the prestressed piles.

**455-7.8 Pre-Planned Splices:** Splices shall be made by the doweled splice method contained in the Standard Indexes or may be made using proprietary splices which are listed on the Department's Approved Product List (APL). Splice test piles in the same manner as the production piles. Include in the pile installation plan, the chosen method of splicing and the approximate locations of the splice. Generally, place the splice at approximately the midpoint between the estimated pile tip and the ground surface, considering scour if applicable. Stagger the splice location between adjacent piles by a minimum of 10 feet. Obtain the Engineer's approval prior to constructing any pile sections. Construct piles which are to be spliced using the doweled splice with preformed dowel holes in the bottom section and embedded dowels in the upper section.

When electing to use dowel splices, assist the Engineer in performing a dynamic load test on each dowel spliced pile to verify the splicing integrity at the end of driving. Replace any damaged pile splices in accordance with 455-11.2.7. Provide the Engineer 48 hours advance notification prior to driving piles with epoxy-bonded dowel splices.

Mechanical pile splices shall be capable of developing the following capacities in the pile section unless shown otherwise in the Plans and capable of being installed without damage to the pile or splice:

a) Compressive strength = (Pile Cross sectional area) x (28 day concrete strength)

b) Tensile Strength = (Pile Cross sectional area) x 900 psi

| Pile Size (inches) | Bending Strength (kip-feet) |
|--------------------|-----------------------------|
| 18                 | 245                         |
| 20                 | 325                         |
| 24                 | 600                         |
| 30                 | 950                         |

**455-7.9 Pile Cut-offs:** After the completion of driving, cut piles off which extend above the cut-off elevation with an abrasive saw. Make the cut the depth necessary to cleanly cut through the prestressed strands. Take ownership and dispose of cut-off sections not used elsewhere as allowed by this Section.

## **455-8 Steel Piling.**

**455-8.1 Description:** Furnish, splice, drive, and cut off structural steel shapes to form bearing piles. Include in this work the installation of bracing members of structural steel by bolting or welding, construction of splices and the filling of pipe piles with the specified materials.

**455-8.2 Material:** For the material in steel piles, pile bracing, scabs, wedges, and splices, meet the requirements of Section 962.

**455-8.3 Pile Splices:** Order and use the full authorized pile length where practicable. Do not splice to obtain authorized lengths less than 40 feet except when shown in the Plans. Locate all splices in the authorized pile length in portions of the pile expected to be at least 15 feet below the final ground surface after driving. When it is not practicable to provide authorized pile

lengths longer than 40 feet in a single length, use no more than one field splice per additional 40 feet of authorized pile length. Shop splices may be used to join single lengths of pile which are at least 20 feet in length. One shorter segment of pile may be used to achieve the authorized pile length when needed.

Where the pile length authorized is not sufficient to obtain the required bearing value or penetration, order an additional length of pile and splice it to the original length.

Make all splices in accordance with details shown in the Plans and in compliance with the general requirements of AWS D1.1 or American Petroleum Institute Specification 5L (API 5L).

**455-8.4 Welding:** Make all welded connections to steel piles by electric arc welding, in accordance with details shown in the Plans and in compliance with the general requirements of AWS D1.5. Electroslag welding is not permitted. Welds will be inspected by visual methods.

**455-8.5 Pile Heads and Tips:** Cut off all piles at the elevation shown in the Plans. If using a cutting torch, make the surface as smooth as practical.

Where foundation material is so dense that the Contractor cannot drive the pile to the required penetration and firmly seat it without danger of crumpling the tip, reinforce the tips with approved cast steel point protectors, as shown in the Plans or required by the Engineer. Construct point protectors in one piece of cast steel meeting the requirements of ASTM A27, Grade 65-35 heat treated to provide full bearing for the piles. Attach points by welding according to the recommendations of the manufacturer.

**455-8.6 Pile Bent Bracing Members:** Place structural steel sway and cross bracing, and all other steel tie bracing, on steel pile bents and bolt or weld in place as indicated in the Plans. Where piles are not driven into position in exact alignment as shown in the Plans, the Engineer may require the use of fills and shims between the bracing and the flanges of the pile. Furnish and place all fills and shims required to square and line up faces of flanges for cross bracing at no additional expense to the Department.

**455-8.7 Coating:** Coat exposed parts of steel piling, wedging, bracing, and splices in accordance with the provisions for coating structural steel as specified in Section 560.

**455-8.8 Storage and Handling:** While handling or transporting the piles from the point of origin and into the leads, store and handle in the manner necessary to avoid damage due to bending stresses. In general, lift steel piles by means of a suitable bridge or a sling attached to the pile at appropriate points to prevent damage. Lift the pile from the horizontal position in a manner that will prevent damage due to bending of the flanges and/or web.

**455-8.9 Filling Pipe Piles:** When required by the Plans, fill pipe piles with the specified materials. Use clean concrete sands and concrete meeting the requirements of Section 346. Place concrete in pipes containing water using methods in accordance with 455-15.9 with modified tremie and pump line sizes. Concrete may be placed directly into pipes which are dry. Construct and place reinforcement cages in accordance with 455-16. Reinforcement cages may be installed before concrete placement or after concrete placement is completed if proper alignment and position is obtainable.

#### **455-9 Sheet Piling.**

**455-9.1 Description:** Leave permanent piling in place as part of the finished work and generally remove temporary piling after each construction phase.

**455-9.2 Materials:** Meet the following requirements:

Concrete .....Section 346

Bar Reinforcement .....Section 931

Prestressing Reinforcement .....Section 933

Steel Sheet Piles\* .....Section 962

\*For temporary steel sheet piles meet the requirements specified in the Plans.

**455-9.3 Steel Sheet Piling:** Drive steel sheet piling and cut off true to line and grade. Install steel sheet piling with a suitable hammer. Remove and replace any section damaged during handling and installation at no additional expense to the Department.

**455-9.3.1 Method of Installation:** Where rock or strong material is encountered such that the sheet piles cannot be set to grade by driving, remove the strong material by other acceptable means, such as excavation and backfilling or by punching. When the Plans do not indicate the existence of rock or strong material, work of removing, drilling or punching the strong material or rock will be paid for as Unforeseeable Work.

**455-9.4 Concrete Sheet Piling:**

**455-9.4.1 Description:** Ensure that concrete sheet piling is of prestressed concrete construction and manufactured, cured, and installed in accordance with the requirements of the Contract Documents. Use these piles in bulkheads and abutments and at other locations as shown in the Plans.

**455-9.4.2 Manufacture of Piles:** Ensure that the piles are fabricated in accordance with Section 450.

**455-9.4.3 Method of Installation:** Jet concrete sheet piling to grade where practical. The Engineer will require a minimum of two jets. Provide water at the nozzles of sufficient volume and pressure to freely erode material adjacent to the piles. Where encountering rock or strong material, such that the sheet piles cannot be set to grade by jetting, remove the strong materials by other acceptable means, such as excavation and backfilling, drilling or by punching with a suitable punch. When the Plans do not indicate the existence of rock or strong material and the piles cannot be set by jetting, the Department will pay for the work of removing, drilling or punching the strong material or rock as Unforeseeable Work.

**455-9.4.4 Grouting and Caulking:** Concrete sheet piles are generally detailed to have tongues and grooves on their lower ends, and double grooves on their upper ends. Where so detailed, after installation, clean the grooves of all sand, mud, or debris, and fully grout the grooves. Use approved plastic bags (sheaths) which will meet the shape and length of the groove to be grouted to contain the plastic grout within the double grooves. Provide grout composed of one part cement and two parts sand. The Contractor may use clean local sand or sand meeting the requirements of Section 902 in this grout. In lieu of sand-cement grout, the Contractor may use concrete meeting the requirements of Section 347, using small gravel or crushed stone coarse aggregate. Deposit the grout through a grout pipe placed within a watertight plastic sheath (bag) extending the full depth of the double grooves and which, when filled, completely fills the slot formed by the double grooves.

**455-9.5 Storage and Handling:** Handle and store all sheet piles in a manner to prevent damage. Handle long sheet piles with fabric slings or braided wire rope constructed of six or more wire ropes placed at appropriate lift points to prevent damage due to excessive bending.

**455-10 Pile Installation Plan.**

**455-10.1 General:** Complete the Pile Driving Installation Plan form provided by the Engineer. Return the Pile Driving Installation Plan information to the Engineer at the preconstruction conference or no later than 30 days before driving the first pile. Ensure the Pile Driving Installation Plan information includes the following:

1. List and size of proposed equipment including cranes, barges, driving equipment, jetting equipment, compressors, and preformed pile hole equipment. Include manufacturer's data sheets on hammers.
2. Methods to determine hammer energy in the field for determination of pile capacity. Include in the submittal necessary charts and recent calibrations for any pressure measuring equipment.
3. Detailed drawings of any proposed followers.
4. Detailed drawings of templates.
5. Details of proposed load test equipment and procedures, including recent calibrations of jacks and required load cells.
6. Sequence of driving of piles for each different configuration of pile layout.
7. Proposed schedule for test pile program and production pile driving.
8. Details of proposed features and procedures for protection of existing structures.
9. Required shop drawings for piles, cofferdams, etc.
10. Methods and equipment proposed to prevent displacement of piles during placement and compaction of fill within 15 feet of the piles.
11. Methods to prevent deflection of battered piles due to their own weight and to maintain their as-driven position until casting of the pile cap is complete.
12. Proposed pile splice locations and details of any proprietary splices anticipated to be used.
13. Methods and equipment proposed to prevent damage to voided or cylinder piles due to interior water pressure.

**455-10.2 Acceptance of Equipment and Procedures:** All equipment and procedures are subject to satisfactory field performance. Make any required changes that may result from unsatisfactory field performance. The Engineer will give final acceptance after the Contractor makes necessary modifications. Do not make any changes in the driving system after acceptance without authorization of the Engineer. A hammer repaired on site or removed from the site and returned is considered to have its performance altered (efficiency increased or decreased), which is considered a change in the driving system and is subject to a dynamic load test in accordance with 455-5.13 at no additional compensation.

#### **455-11 Method of Measurement (All Piling).**

**455-11.1 Treated Timber Piling:** The quantity to be paid for will be the length, in feet, furnished, placed, and accepted according to the authorized lengths list, including any additions and excluding any deletions thereto, as approved by the Engineer.

##### **455-11.2 Prestressed Concrete Piling:**

**455-11.2.1 General:** The quantity to be paid for will be the length, in feet, of prestressed concrete piling furnished, driven and accepted according to the authorized lengths list, including any additions and excluding any deletions thereto, as approved by the Engineer.

**455-11.2.2 Furnished Length:** The furnished length of precast concrete piles will be considered as the overall length from head to tip. Final pay length will be based on the casting length as authorized in accordance with 455-5.14.3 subject to provisions of 455-11.2.3 through 455-11.2.8, 455-11.8, 455-11.9 and 455-11.13.

**455-11.2.3 Build-ups:** The lengths of pile build-ups authorized by the Engineer, measured from the plane of cutback or the joint between the sections, to head of build-up, will be included in the quantities of piling.

**455-11.2.4 Piles Requiring Cut-offs:** No adjustments in the length, in feet, of piling will be made if cut-offs are required after the pile has been driven to satisfactory bearing.

**455-11.2.5 Piles Driven Below Cut-off Elevation:** Where a pile is driven below cut-off elevation and satisfactory bearing is obtained so that no further driving is required, the length of pile will be measured from cut-off elevation to tip of the pile.

**455-11.2.6 Driving of Splice:** If a pile is driven below cut-off and satisfactory bearing is not obtained, and additional driving is required after construction of a satisfactory splice, an additional 10 feet of piling will be paid for the additional driving. This compensation for driving of splice, however, will not be allowed for test piles that are spliced and redriven.

**455-11.2.7 Replacing Piles:** In the event a pile is broken or otherwise damaged by the Contractor to the extent that the damage is irreparable, in the opinion of the Engineer, the Contractor shall extract and replace the pile at no additional expense to the Department. In the event that a pile is mislocated by the Contractor, the Contractor shall extract and replace the pile at no expense to the Department except when a design change proposed by the Contractor is approved by the Department as provided in 455-5.15.5.

In the event that a pile is driven below cut-off without obtaining the required bearing, and the Engineer elects to have the pile pulled and a longer pile substituted, it will be paid for as Unforeseeable Work. In the event a pile is damaged or mislocated, and the damage or mislocation is determined to be the Department's responsibility, the Engineer may elect to have the pile extracted, and it will be paid for as Unforeseeable Work. If the extracted pile is undamaged and driven elsewhere the pile will be paid for at 30% of the Contract unit price for Piling. When the Department determines that it is responsible for damaged or mislocated pile, and a replacement pile is required, compensation will be made under the item for piling, for both the original pile and replacement pile.

The Contractor may substitute a longer pile in lieu of splicing and building-up a pile. In this event, the Contractor will be paid for the original authorized length of the pile, plus any additional length furnished by the Contractor up to the authorized length of the build-up, as piling. The Contractor will be paid 30 feet of piling as full compensation for extracting the original pile.

**455-11.2.8 Underwater Driving:** When the Contractor selects one of the optional underwater driving methods, payment will be made by selecting the applicable method from the following:

(a) Using a pile longer than the authorized length: Payment for piling will be made only for the authorized length at that location unless the length of pile from cut-off elevation to the final tip elevation is greater than the authorized length, in which case payment for piling will be made from cut-off elevation to final tip elevation. No payment will be made for pile splice, when this option is selected, unless the pile is physically spliced and the splice is driven below cut-off elevation to achieve bearing. When making and driving a pile splice below cut-off elevation to achieve bearing, the length to be paid for piling will be the length between cut-off elevation and final pile tip elevation.

(b) Using an underwater hammer: Payment for piling and pile splices will be in accordance with 455-11.2.1 through 455-11.2.7 and 455-11.9.2. The Contractor shall furnish additional lengths required to provide the full length confirmation pile at no expense to the Department. Payment for piling for the full length confirmation pile will be the authorized length of the pile, unless the length driven below cut-off elevation is greater than the authorized length, in which case the length to be paid for will be the length between cut-off elevation and

the final tip elevation. Splices in confirmation piles will be paid for only when the splice is driven below cut-off elevation.

(c) Using a pile follower: When a pile follower is used with a conventional pile driving system, the method of payment will be the same as shown above in 455-11.9.2.

#### **455-11.3 Steel Piling:**

**455-11.3.1 General:** The quantity to be paid for will be the length, in feet, of steel piling furnished, spliced, driven and accepted, up to the authorized length, including any additions and excluding any deletions thereto as approved by the Engineer.

**455-11.3.2 Point Protectors:** The quantity to be paid for will be each for the total of point protectors authorized, furnished, and properly installed.

**455-11.4 Test Piles:** The quantity to be paid for of test piles of various types, will be the length, in feet, of test piling furnished, driven and accepted, according to the authorized length list, and any extensions thereof as approved by the Engineer.

Where a test pile is left in place as a permanent pile, it will be paid for only as test piles. Any extensions necessary to continue driving the pile for test purposes, as authorized by the Engineer, will be paid for as test piles. Other extensions of piles, additional length paid for splicing and build-ups will be included in the quantities of regular piling and will not be paid for as test piling.

**455-11.5 Dynamic Load Tests:** Payment will be based on the number of dynamic load tests as shown in the Plans or authorized by the Engineer, completed and accepted in accordance with the Contract Documents. No separate payment will be made for dynamic load tests used to evaluate the Contractor's driving equipment. This will generally be done on the first test pile or production pile driven on a project with each combination of proposed hammer and pile size and/or a separate pile to evaluate any proposed followers, or piles driven to evaluate proposed changes in the driving system. No payment will be made for dynamic load tests used to evaluate the integrity of a pre-planned epoxy-bonded dowel splice. Include all costs associated with dynamically testing production piles with epoxy-bonded dowel splices under Pay Item No. 455-34. No payment will be made for dynamic load tests on test piles.

Payment for attaching equipment to each production pile for dynamic load testing prior to initial driving and as authorized by the Engineer will be 20 feet of additional pile. No payment will be made for attaching dynamic testing equipment for set-checks or redrives.

**455-11.6 Steel Sheet Piling:** The quantity to be paid for will be the plan quantity area, in square feet, measured from top of pile elevation to the bottom of pile elevation and beginning and end wall limits as shown in the Plans with no allowance for variable depth surface profiles. Approved alternate support structures would be paid for as plan quantity computed for sheet pile. Sheet piling used in cofferdams and to incorporate the Contractor's specific means and methods, and not ordered by the Engineer, will be paid for as required in Section 125.

**455-11.7 Concrete Sheet Piling:** The quantity to be paid for will be the product of the number of such piles satisfactorily completed, in place, times their lengths in feet as shown in the Plans or authorized by the Engineer. This quantity will be based upon piles 2-1/2 feet wide.

When the Engineer approves, the Contractor may furnish the concrete sheet piling in widths wider than shown in the Plans; then the number of piles shall be the actual number of units completed times the width used divided by the width in the Plans.

**455-11.8 Pile Splices:** The quantity to be paid for authorized drivable splices and build-ups greater than 5 feet in length in concrete piling, and test piling, which are made for the purpose of obtaining authorized pile lengths longer than shown as the maximum length in the

Standard Indexes, for obtaining greater lengths than originally authorized by the Engineer, to incorporate test piling in the finished structure, for further driving of test piling, or for splices shown in the Plans, will be 30 feet of additional prestressed concrete piling under Pay Item No. 455-34.

For concrete piles and test piles, where the build-up is 5 feet or less in length, the quantity to be paid for will be 9 feet of prestressed concrete piling under Pay Item No. 455-34 as compensation for drilling and grouting the dowels and all other costs for which provision has not otherwise been made.

The quantity to be paid for authorized splices in steel piling and test piling for the purpose of obtaining lengths longer than the lengths originally authorized by the Engineer will be 20 feet of additional steel piling under Pay Item No. 455-35.

#### **455-11.9 Set-Checks and Redrives:**

**455-11.9.1 Set Checks/Test Piles:** There will be no separate payment for the initial four set-checks performed the day of and the working day following initial driving. For each additional set-check ordered by the Engineer and performed within the following working day of initial driving, an additional quantity of 10 feet of piling will be paid.

**455-11.9.2 Set Checks/Production Piles:** There will be no separate payment for the initial two set-checks performed the day of and the working day following initial driving. For each additional set-check ordered by the Engineer and performed within the following working day of initial driving, an additional quantity of 10 feet of piling will be paid.

**455-11.9.3 Redrives:** The quantity to be paid for will be the number of redrives, each, authorized by the Engineer. Payment for any pile redrive (test pile or production pile) ordered by the Engineer will consist of 20 feet of additional piling.

**455-11.10 Pile Extraction:** Piles authorized to be extracted by the Engineer and successfully extracted as provided in 455-11.2.7 will be paid for as described in 455-11.2.7. No payment for extraction will be made for piles shown in the Plans to be extracted or piling damaged or mislocated by the Contractor that are ordered to be extracted by the Engineer.

**455-11.11 Protection of Existing Structures:** The quantity to be paid for will be at the Contract lump sum price. When the Contract Documents do not include an item for protection of existing structures, the cost of settlement monitoring as required by these Specifications will be included in the cost of the structure; however, work in addition to settlement monitoring will be paid for as Unforeseeable Work when such additional work is ordered by the Engineer.

**455-11.12 Static Load Tests:** The quantity to be paid for will be the number of static load tests of the designated tonnages, each, as shown in the Plans or authorized by the Engineer, actually applied to piles, completed and accepted in accordance with the Plans and these Specifications.

**455-11.13 Preformed Pile Holes:** The quantity added to the payment for piling will be 30% of the length of completed preformed pile holes from existing ground or the bottom of any required excavation, whichever is lower, to the bottom of preformed hole acceptably provided, complete for the installation of the bearing piles, regardless of the type of pile (test pile or production pile) installed therein. Only those holes authorized to be paid for, as provided in 455-5.9.3, will be included in the measurement for payment. The Engineer will authorize payment for preformed pile holes only when the pile has been placed in proper position and has achieved the required penetration.

#### **455-12 Basis of Payment (All Piling).**

**455-12.1 Treated Timber Piling:** Price and payment will be full compensation for furnishing all materials, including collars, metal shoes, copper cover sheets, preservatives and tar, and for wrapping pile clusters with wire cable, where so shown in the Plans.

**455-12.2 Prestressed Concrete Piling:** Price and payment will be full compensation for the cost of furnishing and placing all reinforcing steel, predrilled holes, furnishing the material for and wrapping pile clusters with wire cable where so shown in the Plans and grouting of preformed pile holes when shown in the Plans.

**455-12.3 Steel Piling:** Price and payment will be full compensation for all labor, equipment, and materials required for furnishing and installing steel piling, including welding and painting as specified and the cost of predrilling pile holes described in 455-5.1.1. The cost of any sand or concrete fill and reinforcing steel in pipe piles will be included in the price for steel piling.

Bracing and other metal parts attached to or forming a part of piling or bracing and not otherwise classified, will be measured and paid for as provided in Section 460.

**455-12.4 Test Piles:** Price and payment will be full compensation for all incidentals necessary to complete all the work of this item except splices, build-ups, pile extractions and preformed pile holes authorized by the Engineer and paid for under other pay items or payment methods. The cost of all additional work not listed above necessary to ensure required penetration and attain required bearing of the test piles will be included in the price bid per foot of test pile, including driving and all other related costs.

#### **455-12.5 Dynamic Load Tests:**

**455-12.5.1 Dynamic Load Tests/ Test Piles:** All test piles will require dynamic load tests, and include all costs associated with dynamic load tests in the pay items for test piles.

**455-12.5.2 Dynamic Load Tests/ Production Piles:** Payment will be full compensation for all labor, equipment, materials, instrumentation and installation required to assist the Engineer in performing this work.

#### **455-12.6 Steel Sheet Piling:**

**455-12.6.1 Permanent Sheet Piling:** Price and payment will be full compensation for all labor, equipment, and materials required for furnishing and installing steel sheet piling including preformed holes and coating, but will not include furnishing and placing anchors when an anchored wall system is designed and detailed in the Plans. In such cases, furnishing and installing anchors will be paid for separately.

**455-12.6.2 Temporary Sheet Piling:** For critical temporary steel sheet pile walls, walls which are necessary to maintain the safety of the traveling public or structural integrity of nearby structures, roadways and utilities during construction, that are detailed in the Plans, price and payment will be full compensation for all labor, equipment, and materials required for furnishing and installing steel sheet piling including preformed holes when shown in the Plans, and including wales, anchor bars, dead men, soil anchors, proof tests, creep tests, and other incidental items when an anchored wall system is required. Removal of the sheet piling, anchors, and incidentals will be included in the cost per square foot for steel sheet piling (critical temporary). When the temporary steel sheet pile walls are not detailed in the Plans, the cost of furnishing and installation shall be incidental to cost of other related items and no separate payment shall be made. If the wall is not shown in the Plans, but deemed to be critical as determined by the Engineer, then a design shall be furnished by the Department and paid for separately under steel sheet piling (critical temporary).

**455-12.7 Concrete Sheet Piling:** Price and payment will be full compensation for furnishing all materials, including reinforcing steel, grouting, plastic filter fabric, preformed holes and installation.

**455-12.8 Preformed Pile Holes:** There is no separate pay item for preformed pile holes. Payment will be made as the unit price for piling of the applicable pile type. Payment will be full compensation for all labor, equipment, casings and materials required to perform this work.

**455-12.9 Protection of Existing Structures:** Price and payment will be full compensation for all labor, equipment, and materials required to perform this work.

**455-12.10 Point Protectors:** Price and payment will be full compensation for all labor, equipment, and materials required to perform this work.

**455-12.11 Static Load Tests:** Price and payment will be full compensation for all labor, equipment, and materials required to perform this work.

**455-12.12 Pile Cut-Off:** Anticipate all piles will require cutting-off, and include all costs associated with pile cut-off in the pay items for piling.

**455-12.13 Payment Items:** Payment will be made under:

|                   |                                               |
|-------------------|-----------------------------------------------|
| Item No. 455- 2-  | Treated Timber Piling - per foot.             |
| Item No. 455- 14- | Concrete Sheet Piling - per foot.             |
| Item No. 455- 18- | Protection of Existing Structures - lump sum. |
| Item No. 455- 34- | Prestressed Concrete Piling - per foot.       |
| Item No. 455- 35- | Steel Piling - per foot.                      |
| Item No. 455- 36- | Concrete Cylinder Piling - per foot.          |
| Item No. 455-119- | Test Loads - each.                            |
| Item No. 455-120- | Point Protection - each.                      |
| Item No. 455-133- | Sheet Piling - per square foot.               |
| Item No. 455-143- | Test Piles (Prestressed Concrete) - per foot. |
| Item No. 455-144- | Test Piles (Steel) - per foot.                |
| Item No. 455-145- | Test Piles (Concrete Cylinder) - per foot.    |

## **C. DRILLED SHAFTS**

### **455-13 Description.**

Construct drilled shaft foundations consisting of reinforced concrete drilled shafts without bell footings.

### **455-14 Materials.**

**455-14.1 Concrete:** For all concrete materials, meet the requirements of Section 346. Use concrete that is specified in the Plans.

**455-14.2 Reinforcing Steel:** Meet the reinforcing steel requirements of Section 415. Ensure that reinforcing steel is in accordance with the sizes, spacing, dimensions, and the details shown in the Plans.

### **455-15 Construction Methods and Equipment.**

#### **455-15.1 General Requirements:**

**455-15.1.1 Templates:** Provide a fixed template, adequate to maintain shaft position and alignment during all excavation and concreting operations, when drilling from a barge. Do not use floating templates (attached to a barge). The Engineer will not require a template for shafts drilled on land provided the Contractor demonstrates satisfactorily to the

Engineer that shaft position and alignment can be properly maintained. The Engineer will require a fixed template, adequate to maintain shaft position and alignment during all excavation and concreting operations, for shafts drilled on land when the Contractor fails to demonstrate satisfactorily that he can properly maintain shaft position and alignment without use of a template.

**455-15.1.2 Drilled Shaft Installation Plan:** At the preconstruction conference submit a drilled shaft installation plan for review by the Engineer. Final approval will be subject to satisfactory performance. Include in this plan the following details:

1. Name and experience record of drilled shaft superintendent or foreman in responsible charge of drilled shaft operations. Ensure the drilled shaft superintendent or foreman in responsible charge of the drilled shaft operations has a minimum of one year of experience of installing drilled shafts of the size and depth shown in the Plans and a minimum of three years experience in the construction of drilled shafts using the following methods:

- a. Mineral slurry,
- b. Casings up to the length shown in the Plans,
- c. Shaft drilling operations on water under conditions as shown in

the Plans.

2. List and size of proposed equipment, including cranes, drills, augers, bailing buckets, final cleaning equipment, desanding equipment, slurry pumps, core sampling equipment, tremies or concrete pumps, casings, etc.

3. Details of sequence of construction operations and sequence of shaft construction in bents or shaft groups.

4. Details of shaft excavation methods.

5. Details of slurry, including proposed methods to mix, circulate, desand, test methods, and proposed testing laboratory to document test results.

6. Details of proposed methods to clean shaft after initial excavation.

7. Details of shaft reinforcement, including methods to ensure centering/required cover, cage integrity during placement, placement procedures, cage support, and tie downs.

8. Details of concrete placement, including elapsed concrete placement times and proposed operational procedures for concrete tremie or pump, including initial placement, raising during placement, and overfilling of the shaft concrete. Provide provisions to ensure proper final shaft cutoff elevation.

9. Details of casing removal when removal is required, including minimum concrete head in casing during removal.

10. Required submittals, including shop drawing and concrete design mixes.

11. Details of any required load tests, including equipment and procedures, and recent calibrations for any jacks or load cells.

12. Proposed Cross-Hole Sonic Logging (CSL) Specialty Engineer to perform, log, analyze, and report the test results.

13. Methods and equipment proposed to prevent displacement of casing and/or shafts during placement and compaction of fill.

14. Provide the make and model of the shaft inspection device, if applicable.

15. Details of environmental control procedures used to prevent loss of slurry or concrete into waterways or other protected areas.

16. Proposed schedule for test shaft installation, load tests and production shaft installation.

17. Other information shown in the Plans or requested by the Engineer.

18. For drilled shafts for miscellaneous structures constructed using polymer slurry, identify the polymer slurry meeting the requirements of 455-15.8.2, the pH and viscosity ranges recommended by the manufacturer for the materials to be excavated and a description of the mixing method to be used. Submit the Material Safety Data Sheets (MSDS) for the product, and certifications that the polymer slurry and components meet the requirements of 455-15.8.2. Submit the contact information for the manufacturer's representative available for immediate contact during shaft construction and the representative's schedule of availability.

The Engineer will evaluate the drilled shaft installation plan for conformance with the Contract Documents. Within 20 days after receipt of the plan, the Engineer will notify the Contractor of any additional information required and/or changes that may be necessary in the opinion of the Engineer to satisfy the Contract Documents. The Engineer will reject any part of the plan that is unacceptable. Submit changes agreed upon for reevaluation. The Engineer will notify the Contractor within seven days after receipt of proposed changes of their acceptance or rejection. All equipment and procedures are subject to trial and satisfactory performance in the field.

Acceptance by the Engineer does not relieve the Contractor of the responsibility to perform the work in accordance with the Contract Documents. The installation plan is for the Contractor to explain the approach to the work and allow the Engineer an opportunity to comment on the equipment and procedures chosen before field operations begin. The Engineer's acceptance is not a guarantee that the chosen methods and equipment are capable of obtaining the required results, this responsibility lies with the Contractor.

**455-15.1.3 General Methods & Equipment:** Perform the excavations required for the shafts, through whatever materials encountered, to the dimensions and elevations shown in the Contract Documents, using methods and equipment suitable for the intended purpose and the materials encountered. Provide drilling tools with a diameter not smaller than the shaft diameter required in the Plans minus 1 inch. Provide equipment capable of constructing shafts supporting bridges to a depth equal to the deepest shaft shown in the Plans plus 15 feet or plus three times the shaft diameter, whichever is greater, except when the Plans require equipment capable of constructing shafts to a deeper depth. Provide equipment capable of constructing shafts supporting non-bridge structures, including mast arms, signals, signs and light supports to a depth equal to the deepest shaft shown in the Plans plus 5 feet.

Construct drilled shafts according to the Contract Documents using generally either the dry method, wet method, casing method, or permanent casing method as necessary to produce sound, durable concrete foundation shafts free of defects. Use the permanent casing method only when required by the Plans or authorized by the Engineer. When the Plans describe a particular method of construction, use this method except when permitted otherwise by the Engineer, after field trial. When the Plans do not describe a particular method, propose a method on the basis of its suitability to the site conditions and submit it for approval by the Engineer.

Set a suitable temporary removable surface casing from at least 1 foot above the ground surface to at least 1-1/2 shaft diameters below the ground surface to prevent

caving of the surface soils and to aid in maintaining shaft position and alignment. The Engineer may require predrilling with slurry and/or overreaming to the outside diameter of the casing to install the surface casing at some sites.

For drilled shafts installed to support mast arms, cantilever signs, overhead truss signs, high mast light poles or other miscellaneous structures, provide temporary surface casings from at least 1 foot above the ground surface to at least 5 feet below the ground surface. Do not use a temporary casing greater than the diameter of the reinforcing steel cage, plus 24 inches. Fill the oversized temporary casing with drilled shaft concrete at no additional expense to the Department. For miscellaneous structure foundations located within permanent sidewalks or within 5 feet of curb sections, provide temporary surface casings from no lower than the top of sidewalk to at least 5 feet below the ground surface.

For drilled shafts installed to support mast arms, cantilever signs, overhead truss signs, high mast light poles or other miscellaneous structures, fill the excavation with premixed mineral slurry meeting the requirements of 455-15.8.1 or polymer slurry meeting the requirements of 455-15.8.2 before the drill advances to the bottom of the temporary casing. Do not attempt to excavate the shaft using plain water or natural slurry. Do not attempt to excavate the shaft using dry construction method unless specifically indicated in the Plans.

**455-15.2 Dry Construction Method:** Use the dry construction method only at sites where the ground water table and soil conditions, generally stiff to hard clays or rock above the water table, make it feasible to construct the shaft in a relatively dry excavation and where the sides and bottom of the shaft are stable and may be visually inspected by the Engineer prior to placing the concrete.

In applying the dry construction method, drill the shaft excavation, remove accumulated seepage water and loose material from the excavation and place the shaft concrete in a relatively dry excavation.

Use the dry construction method only when shaft excavations, as demonstrated in a test hole, have 12 inches or less of seepage water accumulated over a four hour period, the sides and bottom remain stable without detrimental caving, sloughing, or swelling for a four hour period, and the loose material and water can be satisfactorily removed prior to inspection and prior to placing concrete. Use the wet construction method or the casing construction method for shafts that do not meet the requirements for the dry construction method.

**455-15.3 Wet Construction Method:** Use the wet construction method at all sites where it is impractical to provide a dry excavation for placement of the shaft concrete.

The wet construction method consists of drilling the shaft excavation below the water table, keeping the shaft filled with fluid (mineral slurry, natural slurry or water), desanding and cleaning the mineral slurry and final cleaning of the excavation by means of a bailing bucket, air lift, submersible pump or other approved devices and placing the shaft concrete (with a tremie or concrete pump extending to the shaft bottom) which displaces the water or slurry during concreting of the shaft excavation.

Where drilled shafts are located in open water areas, construct the shafts by the wet method using exterior casings extending from above the water elevation into the ground to protect the shaft concrete from water action during placement and curing of the concrete. Install the exterior casing in a manner that will produce a positive seal at the bottom of the casing so that there is no intrusion or extrusion of water or other materials into or from the shaft excavation.

Expandable or split casings that are removable are not permitted for use below the water surface.

**455-15.4 Temporary Casing Construction Method:** Use the temporary casing method at all sites where it is inappropriate to use the dry or wet construction methods without the use of temporary casings other than surface casings. In this method, the casing is advanced prior to excavation. When a formation is reached that is nearly impervious, seal in the nearly impervious formation. Proceed with drilling as with the wet method to the projected depth. Proceed with the placement of the concrete as with the dry method except withdraw the casing after placing the concrete. In the event seepage conditions prevent use of the dry method, complete the excavation and concrete placement using wet methods.

Where drilling through materials having a tendency to cave, advance the excavation by drilling in a mineral slurry. In the event that a caving layer or layers are encountered that cannot be controlled by slurry, install temporary removable casing through such caving layer or layers. The Engineer may require overreaming to the outside diameter of the casing. Take whatever steps are required to prevent caving during shaft excavation including installation of deeper casings. If electing to remove a casing and replace it with a longer casing through caving soils, backfill the excavation. The Contractor may use soil previously excavated or soil from the site to backfill the excavation. The Contractor may use other approved methods which will control the size of the excavation and protect the integrity of the foundation soils to excavate through caving layers.

Before withdrawing the casing, ensure that the level of fresh concrete is at such a level that the fluid trapped behind the casing is displaced upward. As the casing is withdrawn, maintain the level of concrete within the casing so that fluid trapped behind the casing is displaced upward out of the shaft excavation without mixing with or displacing the shaft concrete.

The Contractor may use the casing method, when approved by the Engineer, to construct shafts through weak caving soils that do not contribute significant shaft shear resistance. In this case, place a temporary casing through the weak caving soils before beginning excavation. Conduct excavation using the dry construction method where appropriate for site conditions and the wet construction method where the dry construction method is not appropriate. Withdraw the temporary casing during the concreting operations unless the Engineer approves otherwise.

**455-15.5 Permanent Casing Construction Method:** Use the permanent casing method when required by the Plans. In this method, place a casing to the prescribed depth before beginning excavation. If the Contractor cannot attain full penetration, the Engineer may direct the Contractor to excavate through the casing and advance the casing until reaching the desired penetration. In some cases the Engineer may require the Contractor to overream the outside diameter of the casing before placing the casing.

Cut the casing off at the prescribed elevation upon reaching the proper construction sequence and leave the remainder of the casing in place.

**455-15.6 Excavations:** When pilot holes and/or load tests are performed, the Engineer will use the pilot hole and/or load test results to determine the authorized tip elevations and/or the authorized installation criteria of the drilled shafts. Drilled shaft construction shall not begin until pilot hole and/or load test reports are approved by the Engineer. Shaft tip elevations based on pilot hole results and/or load tests may vary from the tip elevations presented in the Plans. Extend drilled shaft excavations deeper by extra depth excavation when the Engineer determines

the material encountered while drilling the shaft excavation is unsuitable and/or is not the same as anticipated in the design of the drilled shaft. In the absence of suitable strength tests or load tests to evaluate materials excavated, construct the shafts no higher than the tip elevations shown in the Plans.

**455-15.6.1 Pilot Hole:** When pilot holes are shown in the Plans core a pilot hole, prior to shaft excavation, in accordance with ASTM D2113 Standard Practice for Diamond Core Drilling for Site Excavation and the Department's Soils & Foundations Handbook using a double or triple wall core barrel through part or all of the shaft, to a depth of 3 times the diameter of the drilled shaft below the tip elevation shown in the Plans, as directed by the Engineer. The Engineer may require the Contractor to cut any core to a total depth below the bottom of the drilled shaft excavation of up to 5 times the diameter of the drilled shaft.

**455-15.6.2 Cores:** Take cores when shown in the Plans or directed by the Engineer to determine the character of the material directly below the shaft excavation. Provide equipment to retrieve the core from a depth of 5 times the diameter of the drilled shaft below the bottom of the drilled shaft excavation in accordance with ASTM D2113 Standard Practice for Diamond Core Drilling for Site Excavation. Cut the cores with an approved core barrel to a minimum depth of 3 times the diameter of the drilled shaft below the bottom of the drilled shaft excavation after completing the shaft excavation, as directed by the Engineer. The Engineer may require the Contractor to cut any core to a total depth below the bottom of the drilled shaft excavation of up to 5 times the diameter of the drilled shaft.

For cores or pilot holes, use only a double or triple wall core barrel designed:

(a) to cut a core sample from 4 inches to 6 inches in diameter, at least 5 feet in length, and,

(b) so that the sample of material cored can be removed from the shaft excavation and the core barrel in an undisturbed state, and

The Engineer will inspect the cores and determine the depth of required excavation. When considered necessary by the Engineer, take additional cores. Place the core samples in suitable containers, identified by shaft location, elevation from and to, and job number, and deliver to the Department within 48 hours after cutting. When called for in the Plans, substitute Standard Penetration Tests (SPT) for coring. In such cases, supply these tests at no additional cost per foot to the Department above that bid for core (shaft excavation).

Provide areas for the disposal of unsuitable materials and excess materials as defined in 120-5 that are removed from shaft excavations, and dispose of them in a manner meeting all requirements pertaining to pollution.

Furnish the additional drilled shaft concrete over the theoretical amount required to complete filling any excavations for shafts which are larger than required by the Plans or authorized by the Engineer, at no expense to the Department.

**455-15.7 Casings:** Ensure that casings are metal, of ample strength to withstand handling and driving stresses and the pressure of concrete and of the surrounding earth materials, and that they are smooth and water tight. Ensure that the inside diameter of casing is not less than the specified size of shaft except as provided below. The Department will not allow extra compensation for concrete required to fill an oversize casing or oversize excavation.

The Engineer will allow the Contractor to supply casing with an outside diameter equal to the specified shaft diameter (O.D. casing) provided he supplies additional shaft length at the shaft tip. Determine the additional length of shaft required by the following relationship:

$$\text{Additional Length} = \frac{(D_1 - D_2) L}{D_2}$$

where:

$D_1$  = casing inside diameter specified = shaft diameter specified

$D_2$  = casing inside diameter provided ( $D_2 = D_1$  minus twice the wall thickness).

$L$  = authorized shaft length below ground for temporary casing methods or below casing for permanent casing methods.

Bear all costs relating to this additional length including but not limited to the cost of extra excavation, extra concrete, and extra reinforcing steel.

Remove all casings from shaft excavations except those used for the Permanent Casing Method. Ensure that the portion of casings installed under the Permanent Casing Method of construction below the shaft cut-off elevation remains in position as a permanent part of the drilled shaft. The Contractor may leave casings if in the opinion of the Engineer the casings will not adversely affect the shaft capacity in place. When casings that are to be removed become bound in the shaft excavation and cannot be practically removed, drill the shaft excavation deeper as directed by the Engineer to compensate for loss of capacity due to the presence of the casing. The Department will not compensate for the casing remaining. The Department will pay for the additional length of shaft under Pay Item No. 455-88.

If temporary casing is advanced deeper than the minimum top of rock socket elevation shown in the Plans or actual top of rock elevation if deeper, withdraw the casing from the rock socket and overream the shaft. If the temporary casing cannot be withdrawn from the rock socket before final cleaning, extend the length of rock socket below the authorized tip elevation one-half of the distance between the minimum top of rock socket elevation or actual elevation if deeper, and the temporary casing tip elevation.

When the shaft extends above ground or through a body of water, the Contractor may form the portion exposed above ground or through a body of water, with removable casing except when the Permanent Casing Method is specified (see 455-23.7). When approved, the Contractor may form drilled shafts extending through a body of water with permanent or removable casings. However, for permanent casings, remove the portion of metal casings between an elevation 2 feet below the lowest water elevation or 2 feet below ground whichever is higher and the top of shaft elevation after the concrete is cured. Dismantle casings removed to expose the concrete as required above in a manner which will not damage the drilled shaft concrete. Dismantle removable casings in accordance with the provisions of 455-17.5.

Generally when removal of the temporary casing is required, do not start the removal until completing all concrete placement in the shaft. The Engineer will permit movement of the casing by rotating, exerting downward pressure, and tapping it to facilitate extraction, or extraction with a vibratory hammer. Extract casing at a slow, uniform rate with the pull in line with the axis of the shaft. Withdraw temporary casings while the concrete remains fluid.

When conditions warrant, the Contractor may pull the casing in partial stages. Maintain a sufficient head of concrete above the bottom of the casing to overcome the hydrostatic pressure of water outside the casing. At all times maintain the elevation of the

concrete in the casing high enough to displace the drilling slurry between the outside of the casing and the edge of the hole while removing the casing.

The Contractor may use special casing systems in open water areas, when approved, which are designed to permit removal after the concrete has hardened. Design special casings so that no damage occurs to the drilled shaft concrete during their removal.

#### **455-15.8 Slurry and Fluid in Excavation at Time of Concrete Placement:**

**455-15.8.1 Mineral Slurry:** When mineral slurry is used in an excavation, use only processed attapulgite or bentonite clays with up to 2% (by dry weight) of added polymer. Use mineral slurry having a mineral grain size such that it will remain in suspension and having sufficient viscosity and gel characteristics to transport excavated material to a suitable screening system. Use a percentage and specific gravity of the material to make the suspension sufficient to maintain the stability of the excavation and to allow proper placement of concrete. Ensure that the material used to make the slurry is not detrimental to concrete or surrounding ground strata. During construction, maintain the level of the slurry at a height sufficient to prevent caving of the hole. In the event of a sudden significant loss of slurry such that the slurry level cannot practically be maintained by adding slurry to the hole, backfill the excavation and delay the construction of that foundation until an alternate construction procedure has been approved.

Thoroughly premix the slurry with clean fresh water prior to introduction into the shaft excavation. Ensure that the percentage of mineral admixture used to make the suspension is such as to maintain the stability of the shaft excavation. The Engineer will require adequate water and/or slurry tanks when necessary to perform the work in accordance with these Specifications. The Engineer will not allow excavated pits on projects requiring slurry tanks without the written permission of the Engineer. Take the steps necessary to prevent the slurry from "setting up" in the shaft, including but not limited to agitation, circulation, and/or adjusting the composition and properties of the slurry. Provide suitable offsite disposal areas and dispose of all waste slurry in a manner meeting all requirements pertaining to pollution.

Provide a CTQP qualified drilled shaft inspector to perform control tests using suitable apparatus on the mineral slurry mixture to determine the following parameters:

(a) Freshly mixed mineral slurry: Measure the density of the freshly mixed mineral slurry regularly as a check on the quality of the suspension being formed using a measuring device calibrated to read within plus or minus 0.5 pound per cubic foot.

(b) Mineral slurry supplied to the drilled shaft excavation: Perform the following tests on the mineral slurry supplied to the shaft excavation and ensure that the results are within the ranges stated in the table below:

| Item to be measured | Range of Results at 68°F                                                                                                  | Test Method                                                        |
|---------------------|---------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------|
| Density             | 64 to 73 lb/ft <sup>3</sup><br>(in fresh water environment)<br>66 to 75 lb/ft <sup>3</sup><br>(in salt water environment) | Mud density balance:<br>FM 8-RP13B-1                               |
| Viscosity           | 30 to 40 seconds                                                                                                          | Marsh Cone Method:<br>FM 8-RP13B-2                                 |
| pH                  | 8 to 11                                                                                                                   | Electric pH meter or pH<br>indicator paper strips:<br>FM 8-RP13B-4 |
| Sand Content        | 4% or less                                                                                                                | FM 8-RP13B-3                                                       |

The Contractor may adjust the limits in the above table when field conditions warrant as successfully demonstrated in a test hole or with other methods approved by the Engineer. The Engineer must approve all changes in writing before the Contractor can continue to use them.

Perform tests to determine density, viscosity, and pH value to establish a consistent working pattern, taking into account the mixing process and blending of freshly mixed mineral slurry and previously used mineral slurry. Perform a minimum of four sets of tests to determine density, viscosity, and pH value during the first 8 hours mineral slurry is in use.

When the results show consistent behavior, discontinue the tests for pH value, and only carry out tests to determine density and viscosity during each four hours mineral slurry is in use. If the consistent working pattern changes, reintroduce the additional tests for pH value for the time required to establish consistency of the test values within the required parameters.

(c) The Department may perform comparison tests as determined necessary during the mineral slurry operations.

During construction, maintain the level of mineral slurry in the shaft excavation within the excavation and at a level not less than 4 feet above the highest expected piezometric water pressure along the depth of a shaft.

At any time the wet construction method of stabilizing excavations fails, in the opinion of the Engineer, to produce the desired final result, discontinue this method of construction, and propose modifications in procedure or alternate means of construction for approval.

#### **455-15.8.2 Polymer Slurry For Shafts For Miscellaneous Structures:**

Materials manufactured expressly for use as polymer slurry for drilled shafts may be used as slurry for drilled shaft excavations installed to support mast arms, cantilever signs, overhead truss signs, high mast light poles or other miscellaneous structures. A representative of the manufacturer must be on-site or available for immediate contact to assist and guide the construction of the first three drilled shafts at no additional cost to the Department. This representative must also be available for on-site assistance or immediate contact if problems are encountered during the construction of the remaining drilled shafts as determined by the Engineer. The Engineer will not allow polymer slurries during construction of drilled shafts for bridge foundations. Use polymer slurry only if the soils below the casing are not classified as organic, and the pH of the fluid in the hole can be maintained in accordance with the manufacturer's published recommendations. Submit the MSDS for the product, the

manufacturer's published mixing procedures, and the manufacturer's published range of values for pH and viscosity of the mixed slurry. Provide documentation that the polymer slurry and components meet the following requirements:

a. The polymer slurries to be used on the project and their waste products are classified as non-hazardous as defined by Resource Conservation and Recovery Act (RCRA) Subpart C rules, Table 1 of 40 CFR 261.24 Toxicity Characteristic.

b. Pull out tests demonstrate the bond between the bar reinforcement and the concrete is not materially affected by exposure to the slurry under typical construction conditions, over the typical range of slurry viscosities to be used.

c. Load tests demonstrate the bond between the concrete and the soil is not materially affected by exposure to the polymer slurry under typical construction conditions, over the typical range of polymer slurry viscosities to be used for the project versus affect of exposure to mineral slurry.

d. The method of disposal meets the approval of all federal, state and local regulatory authorities.

Perform the following tests on the polymer slurry in the shaft excavation and ensure that the results are maintained within the ranges stated in the table below:

| Mixed Polymer Slurry Properties |                                                                                             |                                                                    |
|---------------------------------|---------------------------------------------------------------------------------------------|--------------------------------------------------------------------|
| Item to be measured             | Range of Results at 68°F                                                                    | Test Method                                                        |
| Density                         | 62 to 65 lb/ft <sup>3</sup><br>(fresh water)<br>64 to 67 lb/ft <sup>3</sup><br>(salt water) | Mud density balance:<br>FM 8-RP13B-1                               |
| Viscosity                       | Range Published By The Manufacturer<br>for Materials Excavated                              | Marsh Cone Method:<br>FM 8-RP13B-2                                 |
| pH                              | Range Published By The Manufacturer<br>for Materials Excavated                              | Electric pH meter or pH<br>indicator paper strips:<br>FM 8-RP13B-4 |
| Sand Content                    | 0.5% or less                                                                                | FM 8-RP13B-3                                                       |

Polymer slurry may be mixed in the cased portion of the shaft in accordance with the manufacturer's published procedures.

During construction, maintain the level of the slurry at a height sufficient to prevent caving of the hole. At any time the wet construction method of stabilizing excavations fails, in the opinion of the Engineer, to produce the desired final result, discontinue this method of construction, and propose modifications in procedure or alternate means of construction for approval.

**455-15.8.3 Fluid In Excavation At Time Of Concrete Placement:** When any fluid is present in any drilled shaft excavation, including shafts to support miscellaneous structures, the applicable test methods and reporting requirements described in 455-15.8.1 apply to tests of fluid in the shaft prior to placing the concrete.

Take samples of the fluid in the shaft from within 1 inch of the base of the shaft and at intervals not exceeding 30 feet up the shaft, using an approved sampling tool designed to sample over a depth range of 12 inches or less. Take whatever action is necessary

prior to placing the concrete to bring the fluid within the specification and reporting requirements, outlined in the tables in 455-15.8.1, except as follows:

The Engineer will not require tests for pH or viscosity, nor require the fluid to meet the minimum density specified in 455-15.8.1 when slurry has not been introduced into the shaft excavation.

When using polymer slurry to support the excavation for drilled shafts installed to support mast arms, cantilever signs, overhead truss signs, high mast light poles or other miscellaneous structures, take whatever action is necessary prior to placing the concrete to bring the properties of the fluid within the ranges in 455-15.8.2.

Provide a CTQP qualified drilled shaft inspector to perform testing. The Department may also perform comparison tests. Provide equipment for such comparison tests when requested by the Engineer.

#### **455-15.9 Tremies and Pumps:**

**455-15.9.1 General:** The requirements of the applicable provisions of Section 400 will apply when using a tremie or a pump to place drilled shaft concrete.

**455-15.9.2 Dry Excavations:** Ensure that the tremie for depositing concrete in a dry drilled shaft excavation consists of a tube of solid construction, a tube constructed of sections which can be added and removed, or a tube of other approved design. The Contractor may pass concrete through a hopper at the top of the tube or through side openings as the tremie is retrieved during concrete placement. Support the tremie so that the free fall of the concrete is less than 5 feet at all times. If the free falling concrete causes the shaft excavation to cave or slough, control the movement of concrete by reducing the height of free fall of the concrete and/or reducing the rate of flow of concrete into the excavation.

**455-15.9.3 Wet Excavations:** Construct the tremie or pump line used to deposit concrete beneath the surface of water so that it is water-tight and will readily discharge concrete. Construct the discharge end of the tremie or pump line to prevent water intrusion and permit the free flow of concrete during placement operations. Ensure that the tremie or pump line has sufficient length and weight to rest on the shaft bottom before starting concrete placement.

During placement operations, ensure that the discharge end of the tremie or pump line is within 6 inches of the bottom of the shaft excavation until at least 10 feet of concrete has been placed. Ensure the discharge end of the tremie or pump line is continuously embedded at least 10 feet into the concrete after 10 feet of concrete has been placed and until the casing is overpoured sufficiently to eliminate all contaminated concrete. Ensure that the free fall of concrete into the hopper is less than 5 feet at all times. Support the tremie so that it can be raised to increase the discharge of concrete and lowered to reduce the discharge of concrete. Do not rapidly raise or lower the tremie to increase the discharge of the concrete. Maintain a continuous flow of concrete and a positive pressure differential of the concrete in the tremie or pump line at all times to prevent water or slurry intrusion into the shaft concrete.

#### **455-15.10 Excavation and Drilling Equipment:**

**455-15.10.1 General:** All shaft excavation is unclassified shaft excavation. The Engineer will require drilled shaft sidewall overreaming when inspections show it to be necessary. These terms are defined in 455-15.10.2, 455-15.10.3, and 455-15.10.4, respectively.

Use excavation and drilling equipment having adequate capacity, including power, torque, and crowd (downthrust), and excavation and overreaming tools of adequate design, size, and strength to perform the work shown in the Plans or described herein. When the material encountered cannot be drilled using conventional earth augers and/or

underreaming tools, provide special drilling equipment, including but not limited to rock augers, core barrels, rock tools, air tools, blasting materials, and other equipment as necessary to continue the shaft excavation to the size and depth required. In the event blasting is necessary, obtain all necessary permits. The Contractor is responsible for the effects of blasting on already completed work and adjacent structures. The Engineer must approve all blasting.

**455-15.10.2 Unclassified Shaft Excavation:** Unclassified shaft excavation is defined as all processes required to excavate a drilled shaft of the dimensions shown in the Contract Documents to the depth indicated in the Plans plus 15 feet or plus 3 shaft diameters, whichever is deeper, completed and accepted. Include in the work all shaft excavation, whether the material encountered is soil, rock, weathered rock, stone, natural or man-made obstructions, or materials of other descriptions.

**455-15.10.3 Unclassified Extra Depth Excavation:** Unclassified extra depth excavation is defined as all processes required to excavate a drilled shaft of plan dimensions which is deeper than the limits defined as unclassified shaft excavation.

**455-15.10.4 Drilled Shaft Sidewall Overreaming:** Drilled shaft sidewall overreaming is defined as the unclassified excavation required to roughen its surface or to enlarge the drilled shaft diameter due to softening of the sidewalls or to remove excessive buildup of slurry cake when slurry is used. Increase the shaft radius a minimum of 1/2 inch and a maximum of 3 inches by overreaming. The Contractor may accomplish overreaming with a grooving tool, overreaming bucket, or other approved equipment.

Meet the limit for depth of sidewall overreaming into the shaft sidewall material and the elevation limits between which sidewall overreaming is required.

**455-15.11 Inspection of Excavations:**

**455-15.11.1 Dimensions and Alignment:** Provide equipment for checking the dimensions and alignment of each permanent shaft excavation. Determine the dimensions and alignment of the shaft excavation under the observation and direction of the Department. Generally check the alignment and dimensions by any of the following methods as necessary:

(a) Check the dimensions and alignment of dry shaft excavations using reference stakes and a plumb bob.

(b) Check the dimensions and alignment of casing when inserted in the excavation.

(c) Insert a casing in shaft excavations temporarily for alignment and dimension checks.

(d) Insert a rigid rod or pipe assembly with several 90 degree offsets equal to the shaft diameter into the shaft excavation for alignment and dimension checks.

Insert any casing, rod or pipe assembly, or other device used to check dimensions and alignment into the excavation to full depth.

**455-15.11.2 Depth:** Generally reference the depth of the shaft during drilling to appropriate marks on the Kelly bar or other suitable methods. Measure final shaft depths with a suitable weighted tape or other approved methods after final cleaning.

**455-15.11.3 Shaft Inspection Device (SID):** When shown in the Plans, furnish all power and equipment necessary for the Engineer to inspect the bottom conditions of a drilled shaft excavation and to measure the thickness of bottom sediment or any other debris using a SID. Provide a means to position and lower the SID into the shaft excavation to enable the bell housing to rest vertically on the bottom of the excavation. Include all cost related to the inspection device in the cost of drilled shaft items.

Furnish a SID meeting the following requirements:

- (a) A remotely operated, high resolution, color video camera sealed inside a watertight bell housing.
- (b) Provides a clear view of the bottom inspection on a video monitor at the surface in real time.
- (c) Provides a permanent record of the entire inspection with voice annotation on a quality DVD with a resolution of not less than 720 x 480.
- (d) Provides a minimum field of vision of 110 square inches, with a graduated measuring device to record the depth of sediment on the bottom of the shaft excavation to a minimum accuracy of 1/2 inch and a length greater than 1-1/2 inches.
- (e) Provides sufficient lighting to illuminate the entire field of vision at the bottom of the shaft in order for the operator and inspector to clearly see the depth measurement scale on the video monitor and to produce a clear recording of the inspection.
- (f) Provides a compressed air or gas system to displace drilling fluids from the bell housing and a pressurized water system to assist in determination of bottom sedimentation depth

Obtain the Engineer's approval of the device in advance of the first inspection contingent on satisfactory field performance. Notify the Engineer for approval before a different device is used for any subsequent inspection.

**455-15.11.4 Shaft Cleanliness Requirements:** Adjust cleaning operations so a minimum of 50% of the bottom of each shaft will have less than 1/2 inches of sediment at the time of placement of the concrete. Ensure the maximum depth of sedimentary deposits or any other debris at any place on the bottom of the shaft excavation does not exceed 1-1/2 inches. The Engineer will determine shaft cleanliness by visual inspection for dry shafts, using divers or an inspection device or other methods the Engineer deems appropriate for wet shafts.

When using slurry, meet the requirements of 455-15.8 at the time of concrete placement.

**455-15.11.4.1 Exceptions for Shafts for Miscellaneous Structures:**

Ensure the depth of sedimentary deposits or other debris does not exceed 1 inch over the bottom of the shaft when installing drilled shafts to support mast arms, cantilever signs, overhead truss signs, high mast light poles or other miscellaneous structures.

**455-15.11.5 Time of Excavation:** Any unclassified excavation work lasting more than 36 hours (measured from the beginning of excavation for all methods except the Permanent Casing Method, which begins at the time excavation begins below the casing) before placement of the concrete requires overreaming the sidewalls to the depth of softening or removing excessive slurry cake buildup. Ensure that the minimum depth of overreaming the shaft sidewall is 1/2 inches and the maximum depth is 3 inches. Provide any overreaming required at no expense to the Department when exceeding the 36 hour limit unless the time limit is exceeded solely to accomplish excavating deeper than the elevation shown in the Plans as ordered by the Engineer. The Department will pay the Contractor for authorized overreaming resulting from softening or excessive filtercake buildup which is indicated by test methods employed by the Engineer during the initial 36 hour time period. The Department will pay the Contractor for authorized overreaming when excavating deeper than the elevation shown in the Plans as ordered by the Engineer exceeds the 36 hour time limit.

When using mineral slurry, adjust excavation operations so that the maximum time that slurry is in contact with the bottom 5 feet of the shaft (from time of drilling

to concreting) does not exceed 12 hours. If exceeding the 12 hour time limit, overream the bottom 5 feet of shaft at no additional expense to the Department prior to performing other operations in the shaft.

#### **455-16 Reinforcing Steel Construction and Placement.**

**455-16.1 Cage Construction and Placement:** Completely assemble and place as a unit the cage of reinforcing steel, consisting of longitudinal bars, ties, and cage stiffener bars, immediately after the Engineer inspects and accepts the shaft excavation and immediately prior to placing concrete. Tie all intersections of drilled shaft reinforcing steel with cross ties or “figure 8” ties. Use double strand ties, ties with larger tie wire, U-bolts, or similar when necessary. The Engineer will give final approval of the cage construction and placement subject to satisfactory performance in the field.

**455-16.2 Splicing Cage:** If the bottom of the constructed shaft elevation is lower than the bottom of the shaft elevation in the Plans, extend a minimum of one half of the longitudinal bars required in the upper portion of the shaft the additional length. Continue the tie bars for the extra depth, spaced on 2 foot centers, and extend the stiffener bars to the final depth. The Contractor may lap splice these bars or use unspliced bars of the proper length. Do not weld bars to the planned reinforcing steel unless shown in the Contract Documents.

For drilled shafts supporting mast arms, cantilever signs, overhead truss signs, high mast light poles or other miscellaneous structures, if the shaft cleaning operations result in excavating below the required tip elevation, the reinforcing steel cage does not need to be extended. The reinforcing steel cage may be spliced to rest on the bottom of the excavation or suspended in place from the top.

**455-16.3 Support, Alignment, and Tolerance:** Tie and support the reinforcing steel in the shaft so that the reinforcing steel will remain within allowable tolerances as specified in 455-20 and Section 415.

Use wheels or other approved noncorrosive spacing devices within 3 feet of the bottom, within 6 feet of the top, and intervals not exceeding 10 feet along the shaft to ensure concentric spacing for the entire length of the cage. Do not use block or wire type spacers. Use a minimum of one spacer per 30 inches of circumference of cage with a minimum of four at each level. Provide spacers at the bottom of the drilled shaft reinforcing cage as required to maintain the proper position of the cage.

Check the elevation of the top of the steel cage before and after placing the concrete. If the cage is not within the specified tolerances, correct, and do not construct additional shafts until receiving approval from the Engineer.

**455-16.4 Cross-Hole Sonic Logging (CSL) Tubes:** Install CSL access tubes full length in all drilled shafts from the tip of shaft to a point high enough above top of shaft to allow CSL testing, but not less than 30 inches above the top of the drilled shaft, ground surface or water surface, whichever is higher. Equally space tubes around circumference of drilled shaft. Securely tie access tubes to the inside of the reinforcing cage and align tubes to be parallel to the vertical axis of the center of the cage. Access tubes from the top of the reinforcing cage to the tip of the shaft shall be NPS 1-1/2 Schedule 40 black iron or black steel (not galvanized) pipe. Access tubes above the top of the reinforcing cage may be the same black iron or black steel pipe or Schedule 80 PVC pipe. Ensure that the CSL access tubes are free from loose rust, scale, dirt, paint, oil and other foreign material. Couple tubes as required with threaded couplers, such that inside of tube remains flush. Seal the bottom and top of the tubes with threaded caps. The tubes, joints and bottom caps shall be watertight. Seal the top of the tubes with lubricated, threaded

caps sufficient to prevent the intrusion of foreign materials. Stiffen the cage sufficiently to prevent damage or misalignment of access tubes during the lifting and installation of the cage. Exercise care in removing the caps from the top of the tubes after installation so as not to apply excess torque, hammering or other stress which could break the bond between the tubes and the concrete.

Provide the following number (rounded up to the next whole number of tubes) and configuration of cross-hole sonic logging access tubes in each drilled shaft based on the diameter of the shaft.

| Shaft Diameter         | Number of Tubes Required          | Configuration around the inside of Circular Reinforcing Cage |
|------------------------|-----------------------------------|--------------------------------------------------------------|
| 36 to 48 inches        | 4                                 | 90 degrees apart                                             |
| Greater than 48 inches | 1 tube per foot of Shaft Diameter | 360 degrees divided by the Number of Tubes                   |

Insert simulated or mock probes in each cross-hole-sonic access tube prior to concreting to ensure the serviceability of the tube. Fill access tubes with clean potable water and recap prior to concreting. Repair or replace any leaking, misaligned or unserviceable tubes as in a manner acceptable to the Engineer prior to concreting.

For drilled shaft foundations requiring anchor bolts, verify CSL access tubes will not interfere with anchor bolt installation before excavating the shaft. When CSL access tube locations conflict with anchor bolt locations, move the CSL access tube location plus or minus 2 inches along the inner circumference of the reinforcing cage. Notify the Engineer before excavating the shaft if the CSL access tube locations cannot be moved out of conflict with anchor bolt locations.

For drilled shafts supporting mast arms, cantilever signs, overhead truss signs, high mast light poles, or other miscellaneous structures, if the shaft cleaning operations result in excavating below the required tip elevation, the CSL tubes do not need to be extended. If the reinforcing steel cage is suspended in place from the top rather than resting on the bottom of the excavation, clearly mark the top of shaft location on each tube.

#### **455-17 Concrete Placement.**

**455-17.1 General:** Place concrete in accordance with the applicable portions of Sections 346 and 400, 455-15.2, 455-15.3, 455-15.4, 455-15.5, 455-15.8, 455-15.9, and the requirements herein.

Place concrete as soon as possible after completing all excavation, cleaning the shaft excavation, inspecting and finding it satisfactory, and immediately after placing reinforcing steel. Continuously place concrete in the shaft to the top of the casing. Continue placing concrete after the casing is full until good quality concrete is evident at the top of the casing. Place concrete through a tremie or concrete pump using approved methods. After the shaft is overpoured sufficiently to eliminate all contaminated concrete, additional concrete may be added to the shaft without the use of a tremie or pump in accordance with Section 400.

If the pressure head is lost during concrete placement for any reason, the Engineer may direct the Contractor to perform integrity testing at no expense to the Department.

Immediately after concreting, check the water levels in the CSL access tubes and refill as necessary. If tubes become unserviceable, core new holes in the drilled shaft as directed by the Engineer.

**455-17.2 Placement Time Requirements:** The elapsed time for placing drilled shaft concrete includes the concrete mixing and transit time, the concrete placement time, the time required to remove any temporary casing that causes or could cause the concrete to flow into the space previously occupied by the casing, and the time to insert any required column steel, bolts, weldments, etc. Maintain a minimum slump of 5 inches throughout the elapsed time. Use materials to produce and maintain the required slump through the elapsed time that meets the class of concrete specified. Provide slump loss tests that demonstrate to the Engineer that the concrete will maintain a 5 inch or greater slump for the anticipated elapsed time before beginning drilled shaft construction.

**455-17.3 Forms:** When the top of shaft elevation is above ground, form the portion of the shaft above ground with a removable form or another approved method to the dimensions shown in the Plans.

When the shaft extends above the ground through a body of water, the Contractor may form the portion through the water with removable forms except when the Permanent Casing Method is specified.

When approved, the Contractor may form the portion through the water with permanent forms, provided the forms are removed from 2 feet below the lowest water elevation to the top of shaft elevation.

**455-17.4 Riser Blocks:** The Contractor may cast a riser block of equal diameter as the column and of a maximum height of 6 inches at the top of the completed shaft. When this option is chosen, extend any dowel steel above the top of shaft an additional 6 inches.

**455-17.5 Curing:** Cure the top surface in accordance with the applicable provisions of Section 400, and construct any construction joint area as shown in the Plans. Protect portions of drilled shafts exposed to a body of water from the action of water by leaving the forms in place for a minimum of seven days after casting the concrete. The Contractor may remove forms prior to seven days provided the concrete strength has reached 2,500 psi or greater as evidenced by cylinder breaks.

**455-17.6 Non-Destructive Testing of Drilled Shaft Integrity:**

**455-17.6.1 Cross-Hole Sonic Logging (CSL) Tests:** Perform all CSL testing in accordance with ASTM D6760. Test all drilled shafts in bridge bents or piers considered nonredundant in the Plans, using CSL. For all other drilled shafts, perform CSL testing only on drilled shafts selected by the Engineer. The minimum number of shafts tested is the number of shafts indicated in the Plans. The Engineer may increase the number shafts tested as deemed necessary.

Engage a qualified Specialty Engineer to perform the CSL testing. The qualified CSL Specialty Engineer must have a minimum three years experience of CSL testing and have a Florida Licensed Professional Engineer supervising the collection and interpretation of data. The Contractor shall provide all necessary assistance to the CSL Specialty Engineer to satisfactorily perform the testing.

When a shaft contains four tubes, test every possible tube combination. For shafts with five or more tubes, test all pairs of adjacent tubes around the perimeter, and one-half of the remaining number of tube combinations, as chosen by the Engineer.

After acceptance of production shafts by the Engineer, remove all water from the access tubes or core holes and fill the tubes or core holes with a structural non-shrink grout meeting the requirements of Section 934.

If the Contractor determines at any time during the non-destructive testing and evaluation of the drilled shaft that the drilled shaft should be replaced, no further testing or evaluation of that shaft is required.

**455-17.6.1.1 Equipment:** Furnish CSL test equipment as follows:

1. Include ultrasonic transmitter and receiver probes for 1.5 inch I.D. pipe which produce measurements with consistent signal strength and arrival time in uniform, good quality concrete with all tube spacings on the project.
2. Include a microprocessor based data acquisition system for display, storage, and transfer of data. Graphically display first pulse Arrival Time (FAT) during data acquisition.
3. Electronically measure and record the relative position (depth) of the probes in the tubes with each CSL signal.
4. Print the CSL logs for report presentation.
5. Provide report quality plots of CSL measurements that identify each individual test.
6. Electronically store each CSL log in digital format, with shaft identification, date, time and test details, including the transmitter and receiver gain.

**455-17.6.1.2 Procedure:** Perform CSL testing between 72 hours and 25 calendar days of shaft concrete placement and after the concrete compressive strength exceeds 3,000 psi. Furnish information regarding the shaft, tube lengths and depths, construction dates, and other pertinent shaft installation observations and details to the Department at the time of testing. Verify access tube lengths and their condition in the presence of the Department, at least 24 hours prior to CSL testing. If the access tubes do not provide access over the full length of the shaft, repair the existing tube(s) or core additional hole(s), as directed by the Engineer, at no additional cost to the Department.

Pull the probes simultaneously, starting from the bottoms of the tubes, over an electronic depth measuring device. Perform the CSL tests with the source and receiver probes in the same horizontal plane. Continuously record CSL signals at depth intervals of 2.5 inches or less from the bottom of the tubes to the top of each shaft. Remove all slack from the cables prior to pulling to provide accurate depth measurements in the CSL records.

Report any anomalies indicated by longer pulse arrival times and significantly lower amplitude/energy signals to the Engineer and conduct further tests as required to evaluate the extent of possible defects. Conduct offset CSL measurements between all tube pair combinations in any drilled shafts with 30% or greater in velocity reduction. Record offset measurements with source and receiver vertically offset in the tubes. These measurements add four measurements per tube combination to the horizontal measurements described in this section. Offset measurements are described by the angle (in degrees) and direction the signal travels between the probes with respect to the horizontal plane: plus 45, plus 22.5 (source below receiver), and minus 45, minus 22.5 (source above receiver). Record offset measurements from the point where the higher probe is at least 5 feet below the velocity reduction to the point where the lower probe is at least 5 feet above the velocity reduction. Provide offset CSL logs and 3-D tomographic analysis of all CSL data at no additional cost to the Department in the event 30% or greater in velocity reductions are detected.

**455-17.6.1.3 Required Reports:** Present the CSL testing and analysis results to the Engineer in a report. Include CSL logs with analyses of first pulse arrival time (FAT) versus depth and pulse energy/amplitude versus depth. Present a CSL log for each tube pair tested with any defect zones identified on the logs and discussed in the test report as appropriate. When offset measurements are required, perform 3-D tomographic analysis using all offset data, and include color coded 3-D tomographic images in the report.

**455-17.6.1.4 Evaluation of CSL Test Results:** The Engineer will evaluate the observations during drilled shaft construction and CSL test results to determine whether or not the drilled shaft construction is acceptable. Drilled shafts with velocity reduction exceeding 30% are not acceptable without an engineering analysis.

**455-17.6.1.5 Coring and/or Repair of Drilled Shafts:** If the Engineer determines a drilled shaft is unacceptable based on the CSL tests and tomographic analyses, or observes problems during drilled shaft construction, core the shaft to allow further evaluation and repair, or replace the shaft. If coring to allow further evaluation of the shaft and repair is chosen, one or more core samples shall be taken from each unacceptable shaft for full depth of the shaft or to the depth directed by the Engineer. The Engineer will determine the number, location, and diameter of the cores based on the results of 3-D tomographic analysis of offset and horizontal CSL data. Keep an accurate log of cores. Properly mark and place the cores in a crate showing the shaft depth at each interval of core recovery. Transport the cores, along with five copies of the coring log to the Engineer. Perform strength testing by an AASHTO certified lab on portions of the cores that exhibit questionable concrete as determined by the Engineer. If the drilled shaft offset CSL testing, 3-D tomographic analyses and coring indicate the shaft is defective, propose remedial measures for approval by the Engineer. Such improvement may consist of, but is not limited to correcting defective portions of the shaft, providing straddle shafts to compensate for capacity loss, or providing a replacement shaft. Repair all detected defects and conduct post repair integrity testing using horizontal and offset CSL testing and 3-D tomographic imaging as described in this Section. Engage a Specialty Engineer to perform gamma-gamma density logging to verify the integrity of the shaft outside the reinforcing cage in the same locations offset CSL data was/is required. Submit all results to the Engineer within five days of test completion for approval. Perform all work described in this Section at no additional cost to the Department, and with no increase in contract time.

**455-17.6.2 Access for Thermal Integrity Testing:** Provide safe and secure access and assistance to the Engineer, when requested, for the purpose of evaluating drilled shaft integrity via internal temperature measurements using the Thermal Integrity Test Method as described herein. The Thermal Integrity Test Method is based on measuring the heat generation of hydrating cement. The analysis of measured temperature profiles requires knowledge of the concrete mix used and soil profile for the purposes of determining heat generation and soil insulation parameters. For typical drilled shaft concrete mixes, thermal testing should be performed between one and two days after shaft concreting.

Provide access to the Engineer for testing the shafts within 4 hours of the peak temperature generation, which is expected to occur between 24 hours and 48 hours after shaft concrete placement. Provide access to the Engineer for testing all drilled shafts in bridge bents or piers considered non-redundant in the Plans. Based on the observations during drilled shaft construction, the Engineer may test one or all drilled shafts in bridge bents or piers considered redundant in the Plans. For drilled shaft foundations supporting miscellaneous structures, only drilled shafts selected by the Engineer will be tested.

**455-17.6.2.1 Evaluation of Thermal Integrity Testing:** The Engineer will evaluate the observations during drilled shaft construction and the Thermal Integrity Test results within three working days of testing the shaft. If the shaft is selected for CSL testing, the evaluation will not be given to the Contractor before all CSL testing and analysis is complete and reported to the Engineer.

**455-17.6.2.2 Coring and/or Repair of Drilled Shafts:** If the Engineer determines a drilled shaft is unacceptable based on the Thermal Integrity Testing, core the shaft to allow further evaluation and repair, or replace the shaft in accordance with 455-17.6.1.5.

If repairs are performed, test in accordance with 455-17.6.1.5 and when requested, assist the Engineer in retesting the shaft(s) in accordance with 455-17.6.2.

#### **455-18 Test Holes.**

The Engineer will use the construction of test holes (method shafts) to determine if the methods and equipment used by the Contractor are sufficient to produce a shaft excavation meeting the requirements of the Contract Documents. During test hole excavations, the Engineer will evaluate the ability to control dimensions and alignment of excavations within tolerances; to seal the casing into impervious materials; to control the size of the excavation under caving conditions by the use of mineral slurry or by other means; to properly clean the completed shaft excavation; to construct excavations in open water areas; to determine the elevation of ground water; to place reinforcing steel and concrete meeting the requirements of these Specifications within the prescribed time frame; and to execute any other necessary construction operation. Revise the methods and equipment as necessary at any time during the construction of the test hole when unable to satisfactorily carry out any of the necessary operations described above or when unable to control the dimensions and alignment of the shaft excavation within tolerances.

Drill test holes out of permanent position at the location shown in the Plans or as directed by the Engineer. Ensure the diameter and depth of the test hole or holes are the same diameter and maximum depth as the production drilled shafts. Reinforce the test hole unless otherwise directed in the Contract Documents. Fill the test hole with concrete in the same manner production drilled shafts will be constructed. Backfill test holes which are not filled with concrete with suitable soil in a manner satisfactory to the Engineer. Leave concreted test holes in place, except remove the top of the shaft to a depth of 2 feet below the ground line. Use the same procedure for shafts constructed in water. Restore the disturbed areas at the sites of test holes drilled out of position as nearly as practical to their original condition. When the Contractor fails to demonstrate to the Engineer the adequacy of his methods or equipment, and alterations are required, make appropriate modifications and provide additional test holes at no expense to the Department. Include the cost of all test holes in the cost of the drilled shafts. Make no changes in methods or equipment after initial approval without the consent of the Engineer.

A separate test hole is not required for drilled shafts installed under mast arms, cantilever signs, overhead truss signs, high mast light poles or other miscellaneous structures. The first production shaft will serve as a test hole for determining acceptability of the installation method.

#### **455-19 Test Bells.**

Test bells are no longer used.

#### **455-20 Construction Tolerances.**

Meet the following construction tolerances for drilled shafts:

- (a) Ensure that the top of the drilled shaft is no more than 3 inches laterally in the

X or Y coordinate from the position indicated in the Plans.

(b) Ensure that the vertical alignment of the shaft excavation does not vary from the alignment shown in the Plans by more than 1/4 inches per foot of depth.

(c) After placing all the concrete, ensure that the top of the reinforcing steel cage is no more than 6 inches above and no more than 3 inches below plan position.

(d) Ensure that the reinforcing cage is concentric with the shaft within a tolerance of 1-1/2 inches. Ensure that concrete cover is a minimum of 4-1/2 inches unless shown otherwise in the Plans.

(e) All casing diameters shown in the Plans refer to I.D. (inside diameter) dimensions. However, the Contractor may use casing with an outside diameter equal to the specified shaft diameter if the extra length described in 455-15.7 is provided. In this case, ensure that the I.D. of the casing is not less than the specified shaft diameter less 1 inch. When approved, the Contractor may elect to provide a casing larger in diameter than shown in the Plans to facilitate meeting this requirement. When casing is not used, ensure that the minimum diameter of the drilled shaft is 1 inch less than the specified shaft diameter. When conditions are such that a series of telescoping casings are used, provide the casing sized to maintain the minimum shaft diameters listed above.

(f) Ensure that the top elevation of the drilled shaft concrete has a tolerance of plus 1 inch and minus 3 inches from the top of shaft elevation shown in the Plans.

(g) The dimensions of casings are subject to American Petroleum Institute tolerances applicable to regular steel pipe.

(h) Use excavation equipment and methods designed so that the completed shaft excavation will have a flat bottom. Ensure that the cutting edges of excavation equipment are normal to the vertical axis of the equipment within a tolerance of plus or minus 3/8 inches per foot of diameter.

#### **455-21 Drilled Shaft Excavations Constructed out of Tolerance.**

Do not construct drilled shaft excavations in such a manner that the concrete shaft cannot be completed within the required tolerances. The Contractor may make corrections to an unacceptable drilled shaft excavation by any combination of the following methods:

(a) Overdrilling the shaft excavation to a larger diameter to permit accurate placement of the reinforcing steel cage with the required minimum concrete cover.

(b) Increasing the number and/or size of the steel reinforcement bars.

When the tolerances are not met, the Contractor may propose a redesign to incorporate shafts installed out of tolerance into caps or footings. Incorporate shafts installed out of tolerance at no expense to the Department. Ensure the Contractor's Engineer of Record performs any redesign and signs and seals the redesign drawings and computations. Do not begin any proposed construction until the redesign has been reviewed for acceptability and approved by the Engineer.

Backfill any out of tolerance shafts in an approved manner when directed by the Engineer until the redesign is complete and approved. Furnish additional materials and work necessary, including engineering analysis and redesign, to effect corrections of out of tolerance drilled shaft excavations at no expense to the Department.

#### **455-22 Load Tests.**

When the Plans include load testing, perform all load tests in accordance with 455-2 or as shown in the Contract Documents.

#### **455-23 Method of Measurement.**

**455-23.1 Drilled Shafts:** The quantity to be paid for will be the length, in feet, of the reinforced concrete drilled shaft of the diameter shown in the Plans, completed and accepted. The length will be determined as the difference between the top of shaft elevation as shown in the Plans and the final bottom of shaft elevation as authorized and accepted. When the Contractor elects to provide outside diameter (O.D.) sized casing rather than inside diameter (I.D.) sized casing as allowed in 455-15.7, the pay quantity measured as described above will be multiplied by a factor (F) determined as follows:

$$F = \frac{2D_2 - D_1}{D_2}$$

where:

F= factor to adjust pay quantities to compensate for smaller shafts.

D<sub>1</sub>= casing inside diameter specified = shaft diameter specified.

D<sub>2</sub>= casing inside diameter provided (D<sub>2</sub> = D<sub>1</sub> minus twice the wall thickness).

**455-23.2 Drilled Shafts (Unreinforced):** The quantity to be paid for will be the length, in feet, of unreinforced concrete drilled shaft of the diameters shown in the Plans, completed and accepted. The length will be determined as the difference between the top of shaft elevation as shown in the Plans and the final bottom of shaft elevation as authorized and accepted. When the Contractor elects to use O.D. casing, the quantity as determined above will be multiplied by the factor "F" determined as described in 455-23.1.

**455-23.3 Unclassified Shaft Excavation:** The quantity to be paid for will be the length, in feet, of unclassified shaft excavation of the diameter shown in the Plans, completed and accepted, measured along the centerline of the shaft from the ground surface elevation after any required excavation per 455-1.2 to the plan bottom of shaft elevation authorized and accepted plus up to 15 feet or 3 shaft diameters, whichever is deeper, of additional excavation as authorized by the Engineer. When drilled shafts are constructed through fills placed by the Contractor, the original ground surface before the fill was placed will be used to determine the quantity of unclassified shaft excavation. When the Contractor elects to use O.D. casing, the quantity as determined above will be multiplied by the factor "F" determined as described in 455-23.1.

**455-23.4 Unclassified Extra Depth Excavation:** When excavation is required by the Engineer to extend more than 15 feet or 3 shaft diameters, whichever is deeper, below the bottom of the shaft elevation shown in the Plans, the work will be considered as Unforeseeable Work.

**455-23.5 Test Holes:** The cost of all test holes will be included in the cost of drilled shafts.

**455-23.6 Core (Shaft Excavation):** The quantity to be paid for will be the length, in feet, measured from the bottom of shaft elevation to the bottom of the core-hole, for each authorized core drilled below the shaft excavation, completed and accepted. When the Engineer authorizes pilot holes extending through part or all of the shaft, prior to excavation, to some depth below the shaft bottom, the quantity paid as core (shaft excavation) will be the length in feet, measured from the top elevation to the bottom elevation authorized by the Engineer, completed and

accepted. When SPT tests are substituted for coring or pilot holes as provided in 455-15.6, the quantity will be determined as described above in this Section.

**455-23.7 Casings:** The quantity to be paid for will be the length, in feet, of each size casing as directed and authorized to be used. The length will be measured along the casing from the top of the shaft elevation or the top of casing whichever is lower to the bottom of the casing at each shaft location where casing is authorized and used, except as described below when the top of casing elevation is shown in the Plans. Casing will be paid for only when the Permanent Casing Method is specified, when the Plans show a casing that becomes a permanent part of the shaft, or when the Engineer directs the Contractor to leave a casing in place which then becomes a permanent part of the shaft. No payment will be made for casings which become bound or fouled during shaft construction and cannot be practically removed. The Contractor shall include the cost of all temporary removable casings for methods of construction other than that of the Permanent Casing Method in the bid price for unclassified shaft excavation item.

When the Permanent Casing Method and the top of casing elevation are specified, the casing will be continuous from top to bottom. Authorization for temporary casing will not be given unless the Contractor demonstrates that he can maintain alignment of the temporary upper casing with the lower casing to be left in place during excavation and concreting operations. When artesian conditions are or may be encountered, the Contractor shall also demonstrate that he can maintain a positive water-tight seal between the two casings during excavation and concreting operations.

When the top of casing elevation is shown in the Contract Documents, payment will be from the elevation shown in the Plans or from the actual top of casing elevation, whichever is lower, to the bottom of the casing. When the Contractor elects to use an approved special temporary casing system in open water locations, the length to be paid for will be measured as a single casing as provided above.

**455-23.8 Protection of Existing Structures:** The quantity to be paid for will be at the lump sum price.

**455-23.9 Load Tests:** The quantity to be paid for will be the number and type of load tests conducted.

**455-23.10 Instrumentation and Data Collection:** The quantity to be paid for will be at the lump sum price.

**455-23.11 Cross-Hole Sonic Logging:** The quantity of the cross-hole sonic logging test set-ups to be paid for will be the number of drilled shafts accepted based on cross-hole sonic logging tests.

#### **455-24 Basis of Payment.**

**455-24.1 Drilled Shafts:** Price and payment will be full compensation for all drilled shafts, including the cost of concrete, reinforcing steel and cross-hole sonic logging tubes, including all labor, materials, equipment, and incidentals necessary to complete the drilled shaft. The cost of the reinforcing steel, including lap lengths, to accommodate shaft lengths longer than shown in the Plans is included in the cost of drilled shafts. Costs associated with repairing defects found in the drilled shaft shall be included in the cost of the drilled shaft.

**455-24.2 Drilled Shafts (Unreinforced):** Price and payment will be full compensation for all drilled shafts (unreinforced), including the cost of concrete and all labor, equipment, materials, and incidentals necessary to complete the drilled shaft.

**455-24.3 Unclassified Shaft Excavation:** Price and payment will be full compensation for the shaft excavation (except for the additional costs included under the associated pay items

for casing); removal from the site and disposal of excavated materials; restoring the site as required; cleaning and inspecting shaft excavations; using slurry as necessary; using drilling equipment; blasting procedures, special tools and special drilling equipment to excavate the shaft to the depth indicated in the Plans; and furnishing all other labor, materials, and equipment necessary to complete the work in an acceptable manner.

**455-24.4 Test Holes:** No separate payment will be made for test hole (method shaft). All cost of test holes will be included in the cost of drilled shafts.

**455-24.5 Core (Shaft Excavation):** Price and payment will be full compensation for drilling and classifying the cores/pilot hole, delivering them to the Department, furnishing drilled shaft concrete to fill the core/pilot hole, and all other expenses necessary to complete the work. When SPT tests are substituted for cores/pilot holes as provided in 455-15.6, they will be paid for at the price per foot for coring.

**455-24.6 Casings:** Price and payment will be full compensation for additional costs necessary for furnishing and placing the permanent casing in the shaft excavation above the costs attributable to the work paid for under associated pay items for unclassified shaft excavation.

**455-24.7 Protection of Existing Structures:** Price and payment will include all cost of work shown in the Plans or described herein for protection of existing structures. When the Contract Documents do not include an item for protection of existing structures, the cost of settlement monitoring as required by these Specifications will be included in the cost of the structure; however, work in addition to settlement monitoring will be paid for as Unforeseeable Work when such additional work is ordered by the Engineer.

**455-24.8 Load Tests:** Price and payment will include all costs related to the performance of the load test.

**455-24.9 Instrumentation and Data Collection:** Price and payment will include all labor, equipment, and materials incidental to the instrumentation and data collection, and, when required, the load test report.

**455-24.10 Cross-Hole Sonic Logging:** Price and payment will include all costs related to the performance of the CSL testing and incidentals to the cross-hole sonic test set-up.

**455-24.11 Payment Items:** Payment will be made under:

|                   |                                                 |
|-------------------|-------------------------------------------------|
| Item No. 455- 18- | Protection of Existing Structures - lump sum.   |
| Item No. 455- 88- | Drilled Shaft - per foot.                       |
| Item No. 455-107- | Casing - per foot.                              |
| Item No. 455-111- | Core (Shaft Excavation) - per foot.             |
| Item No. 455-119- | Test Loads - each.                              |
| Item No. 455-122- | Unclassified Shaft Excavation - per foot.       |
| Item No. 455-129- | Instrumentation and Data Collection - lump sum. |
| Item No. 455-142- | Cross-Hole Sonic Logging - each.                |

## **D. SPREAD FOOTINGS**

### **455-25 Description.**

Construct reinforced concrete spread footing foundations, including dewatering when necessary, excavating to the required limits, compacting the underlying soil as required, and constructing seals when required.

### **455-26 General Requirements.**

Meet the following requirements for all spread footings:

1. Perform excavations, including the removal of all material, of whatever nature, necessary for the construction of spread footings. As used herein, the term "soil" shall constitute any material, whether soil, rock, or other materials.
2. Slope excavations as required, or support them with sheeting, and shore them if necessary, to provide a safe excavation that is adequate for construction purposes and that will adequately protect any existing adjacent structures.
3. Ensure that the foundation soils are firm, stable, and, in the opinion of the Engineer, meet or exceed the design bearing and compressibility requirements before constructing the footings or any required seals. The Department may elect to use any type of tests to evaluate the foundation soils that is appropriate in the opinion of the Engineer. Cooperate with the Engineer in the evaluation of the foundation soils, and assist the Engineer as necessary to provide access to the site.
4. The elevation of the bottom of footings or seals and/or the depth of over-excavation shown in the Plans is approximate and the Engineer may order, in writing, such changes as may be necessary to secure a satisfactory foundation.
5. Place all spread footing concrete in the dry.

### **455-27 Protection of Existing Structures.**

Protect existing structures in accordance with 455-1.1. Also, if not otherwise provided in the Plans, evaluate the need for, design, and provide all reasonable precautionary features to prevent damage, including, but not limited to, the installation of sheet piling, shoring as necessary, maintenance of the water table beneath such structures as nearly as practical to existing conditions, and monitoring and controlling vibrations from construction activities including driving of sheeting or from blasting.

### **455-28 Dewatering.**

The Contractor is responsible for the design, installation, and operation of an adequate dewatering system to dewater excavations for spread footings. Use a well point or well system. Submit a dewatering plan to the Engineer for his records before beginning construction.

Use well points or wells where the piezometric water level is above an elevation 3 feet below the bottom of the excavation. Maintain the water table 3 feet or more below the maximum depth of excavation. Provide continuous dewatering until completing construction of the footing and backfill the excavation at least 3 feet above the piezometric water table elevation. Continue dewatering until the Engineer considers conditions safe to discontinue dewatering. In the event of a dewatering failure, assist the Engineer as required in determining the effects of such a failure on the foundation soils, and take whatever corrective measures are required at no additional expense to the Department. When the Engineer approves the discontinuing of dewatering, decrease the rate of pumping, allowing the water level to rise slowly. Use a rate, in feet per hour,

that the water table is allowed to rise equal to the total number of feet the water table was lowered, divided by ten hours or a rate of 1 foot per hour, whichever is less.

Install one piezometer well approximately every 15 feet of footing perimeter. Provide a minimum of two and a maximum of six piezometers at locations within 2 feet from the outside of the footing perimeter. Install piezometer wells to a depth at least 10 feet below the bottom of footing elevation or as directed by the Engineer. Measure water elevation in the piezometer wells prior to excavation and at 12-hour intervals between excavation and discontinuation of dewatering. Maintain the piezometers in working condition throughout the dewatering process, and repair or replace them when damaged at no expense to the Department.

#### **455-29 Excavations:**

If the excavation must be carried deeper than shown in the Plans to obtain a satisfactory foundation, the Engineer will revise the Plans in accordance with the following:

a. When the change in bottom elevation of the footing is 12 inches or less, the Engineer will keep the top of the footing at the elevation shown in the original Plans and will increase the thickness to obtain a satisfactory foundation.

b. When the change in elevation of the bottom of footing exceeds 12 inches, the Engineer will revise the Plans and lower the footing, thereby increasing the height of stem, to obtain a satisfactory foundation. Generally, the Engineer will also increase the thickness and width of footing over that shown in the original Plans.

**455-29.1 Dry Excavations:** Dry excavations are excavations that can be completed without the need to lower the piezometric water level. Perform dry excavations when the piezometric water level at the time of construction is and, in the opinion of the Engineer, will remain at least 3 feet below the bottom of the authorized excavation or over-excavation. Demonstrate to the Engineer that a stable excavation can be made without dewatering. Make adequate provisions to divert surface runoff and to collect and remove any water entering the excavation.

Excavate to the bottom of footing, to the over-excavation limits shown in the Plans, or as directed by the Engineer. Save any suitable materials for backfill. Provide areas for the disposal of all unsuitable materials, and dispose of them in a satisfactory method. Compact the foundation soils below the footing as shown in the Plans or described herein before constructing the footing.

**455-29.2 Dewatered Excavations:** Dewatered excavations are excavations made after first lowering the piezometric water level with wellpoints or wells. Perform dewatering as described in 455-28. Excavate in the dry after lowering of the water table.

When dewatering is required, the Contractor may excavate within 3 feet of the ground water table before dewatering begins if the dewatering system is operating and the Contractor has demonstrated that the water level has been lowered to and maintained at acceptable limits. Where large excavations require stage lowering of the water table (additional wellpoint systems installed at lower elevations), the Contractor may continue excavating as long as the water elevation is maintained at least 3 feet below the excavation.

Ensure that surface runoff is diverted from the excavation. Compact the foundation soils as shown in the Plans or as described herein before constructing the footing.

**455-29.3 Wet Excavations:** Wet excavations are excavations made below the existing water table without prior dewatering. When the Plans show a cofferdam and seal, perform the excavation in the wet. Maintain the water level during excavation at or above the water level outside the cofferdam.

Place the seal directly upon the foundation soils or rock when using wet excavations. Do not compact foundation soils for wet excavations. Ensure that the foundation soils or rock are disturbed as little as practical. Remove all materials that are determined by the Engineer to be loose or disturbed before placing the seal concrete.

#### **455-30 Fill or Backfill.**

In all excavations, including over-excavations below the footing, use only fill or backfill materials considered Select in accordance with Design Standards, Index No. 505. Ensure the material is free of rubble, debris, or rocks that would prevent uniform placement and compaction. Ensure the material below the top of the footing is free of Recycled Asphalt Pavement (RAP). Perform sampling and testing in accordance with 120-10.1.4, except replace AASHTO T99, Method C with FM 1-T180, Method D.

#### **455-31 Compaction and Density Requirements.**

Compact the bottom of the excavation with suitable equipment. Compact the soil beneath footing excavation (whether dug to the bottom of footing or over-excavated) to a density not less than 95% of the maximum density as determined by FM 1-T180, Method D for a minimum depth of 2 feet below the bottom of the excavation or to the depth shown in the Plans before backfilling begins. For every 500 ft of excavation or isolated compaction operation, perform two Quality Control (QC) density tests with a 12 inch depth of measurement: one QC density test with the guage placed at an elevation of 1 foot below the bottom of the excavation and one QC density test with the guage placed at the bottom of the excavation in accordance with FM 1-T238. Compact the backfill in footing excavations which have been over-excavated to a density not less than 95% of the maximum density as determined by FM 1-T180, Method D. Ensure that the maximum lift thickness after compaction does not exceed 6 inches. For every 500 ft of backfill or isolated compaction operation, perform at least one QC density test. The Engineer will conduct one density verification test per every 4 QC test, with a minimum of one density test below the bottom of the excavation and one density test in the backfill. Verification comparison criteria and resolution procedures will be in accordance with 120-10.4 except replace AASHTO T99, Method C with FM 1-T180, Method D.

For compaction, use an approved heavy vibratory roller with a static drum weight of at least 4 tons. Compact each lift to the required density. Also, compact the final lift below the footing with a suitable sled vibratory compactor to remove any upper disturbance caused by the drum roller. When conditions require use of smaller compaction equipment, obtain the Engineer's approval for the equipment, and reduce the lift thickness to achieve the required density.

Perform backfilling to the original ground surface, finished grade, or subgrade as required by the Plans in the immediate vicinity by approved mechanical compactors weighing less than 1,000 pounds. The Contractor may compact backfill located more than 15 feet away from the exterior periphery of the footing with heavier compactors. Do not place backfill on the footing until the Engineer has given permission and until the concrete is at least seven days old.

When the plans indicate spread footing abutments on mechanically stabilized earth (MSE) walls, place and compact the backfill material underneath the footing in accordance with the requirements of 548-8.5. Meet the density requirements of 548-9.4.

**455-32 Forming.**

Form spread footings if it cannot be demonstrated that the natural soil or rock is strong enough to prevent caving during construction. For forms, meet the applicable requirements of 400-5. When forms are not required, meet the requirements of 400-5.4.4.

**455-33 Materials.**

**455-33.1 Concrete:** Meet the requirements of Section 346.

**455-33.2 Reinforcing Steel:** Meet the requirements of Section 415. For spread footing reinforcing steel, use Grade 60.

**455-34 Reinforcing Steel Placement.**

Place and fasten reinforcing steel for footings according to the applicable provisions of 415-5.

**455-35 Concrete Placement.**

**455-35.1 Placement:** Place all footing concrete in the dry and according to the applicable provisions of Section 400. Do not construct joints in footings.

**455-35.2 Finish:** After placing and consolidating the concrete, strike-off the top surface to the grades shown in the Contract Documents, leaving the surface smooth and free of undesirable cavities and other defects. Do not provide a special finish unless the footing will be visible after construction, in which case, meet the applicable provisions of Section 400.

**455-35.3 Curing:** Provide continuous-moisture-curing for footings. For cover materials, use clean sand, sawdust, or other materials meeting the approval of the Engineer. Continuously wet the cover materials for a period of 72 hours.

**455-36 Method of Measurement.**

**455-36.1 Protection of Existing Structures:** The quantity to be paid for, when included in the Contract Documents, will be at the Contract lump sum price.

**455-36.2 Dewatering:** The quantity to be paid for will be at the Contract unit price for each footing excavation, only at locations authorized by the Engineer and acceptably dewatered.

**455-36.3 Excavation:** No separate payment will be made for backfill or will separate payment be made for excavation above bottom of footing elevation. The cost of this work will be included in the Contract unit price for concrete (substructure). For footings with excavation (over-excavation) below the bottom of the footing elevation shown in the Plans, the cost of this excavation, backfilling, and compaction will be included in the Contract unit price for excavation for structures. The pay quantity will be the volume in cubic yards bounded by vertical planes 12 inches outside of the limits of the footing and parallel thereto and extending from the bottom of the footing elevation to the authorized bottom of over-excavation or within the pay limits shown in the Plans. If the elevation of a footing as shown in the Plans is changed to a higher or lower elevation, the Engineer will not consider such change as a material change to the original Contract Documents, a waiver of any condition of the Contract, or an invalidation of any of the provisions of the Contract.

**455-36.4 Reinforcing Steel:** The quantity to be paid for will be the total weight, in pounds, determined as described in Section 415.

**455-36.5 Concrete:** The quantity to be paid for will be the volume of the classes shown in the Plans, in cubic yards, determined as described in Section 400.

#### **455-37 Basis of Payment.**

**455-37.1 Protection of Existing Structures:** When separate payment for protection of existing structures is provided, price and payment will be full compensation for all work necessary to evaluate the need for, design of, and to provide the necessary features to protect existing structures, including all cost of work shown in the Plans or described herein for protection of existing structures.

When a separate payment for protection of existing structures is not provided, the cost of this work will be included in the cost of the structure.

**455-37.2 Dewatering:** Price and payment will be full compensation for all work related to the successful dewatering of footings, including installing, maintaining, and monitoring piezometer wells. Dewatering will be considered Unforeseeable Work when the Engineer determines that dewatering is required and the Plans do not include a dewatering item.

**455-37.3 Excavation:** Price and payment will be full compensation for all work related to over-excavating below the bottom of footing elevation, backfill, and compaction as specified.

**455-37.4 Reinforcing Steel:** Price and payment will be full compensation for all work required to furnish and place the steel as shown in the Plans and as specified herein.

**455-37.5 Concrete:** Price and payment will be full compensation for all work required to construct footings and seals as shown in the Plans and described herein.

No separate payment will be made for sheeting and shoring required for excavation and footing construction except when a separate pay item for sheeting and shoring is included in the Plans. The cost of all work not specifically mentioned in the other footing items will be included in the price per cubic yard for substructure concrete.

**455-37.6 Payment Items:** Payment will be made under:

|                   |                                               |
|-------------------|-----------------------------------------------|
| Item No. 125- 1-  | Excavation For Structures - per cubic yard.   |
| Item No. 400- 2-  | Class II Concrete - per cubic yard.           |
| Item No. 400- 3-  | Class III Concrete - per cubic yard.          |
| Item No. 400- 4-  | Class IV Concrete - per cubic yard.           |
| Item No. 400- 91- | Dewatering For Spread Footings - each.        |
| Item No. 415- 1-  | Reinforcing Steel - per pound.                |
| Item No. 455- 18- | Protection of Existing Structures - lump sum. |

### **E. STRUCTURES (OTHER THAN BRIDGE) FOUNDATIONS-AUGER CAST PILES**

#### **455-38 Description.**

Furnish and install auger cast piles used for structural support, other than bridge foundations.

#### **455-39 General Requirements.**

**455-39.1 Contractor's Operations:** Submit an Auger Cast Pile Installation Plan in accordance with 455-47. Prior to the start of production piles, demonstrate to the satisfaction of the Engineer, the dependability of the equipment, techniques, and source of materials by construction of a demonstration pile.

**455-39.2 Protection of Existing Structures:** Protect existing structures in accordance with 455-1.1.

#### **455-40 Materials.**

Meet the following material requirements:

|                                          |             |
|------------------------------------------|-------------|
| Portland Cement and Blended Cement ..... | Section 921 |
| Pozzolans and Slag .....                 | Section 929 |
| Fine Aggregate (Sand)* .....             | Section 902 |
| Admixtures .....                         | Section 924 |
| Water .....                              | Section 923 |
| Fluidifier .....                         | ASTM C 937  |

\* The Engineer will only permit Silica Sand except as provided in 902-5.2.3.

#### **455-41 Grout Mix Proportions.**

Use a grout mix consisting of a mixture of cementitious materials, admixtures, sand and water proportioned and mixed to produce a mortar capable of maintaining the solids in suspension without appreciable bleed water which may be pumped without difficulty and will fill open voids in the adjacent soils. The grout mix may also include a fluidifier if desired. Proportion these materials to produce a hardened grout of the required strength.

#### **455-42 Mixing and Pumping Cement Grout.**

Meet the following requirements:

1. Only use pumping equipment approved by the Engineer in the preparation and handling of the grout. Before using the mixers, remove all oil or other rust inhibitors from the mixing drums, stirring mechanisms, and other portions of the equipment in contact with the grout.

2. Use a quantity of water and mixing time that will produce a homogenous grout having an efflux of not less than 21 seconds, when tested with a flow cone in accordance with ASTM D6449. Reject loads with efflux of less than 21 seconds. Notify the production facility to adjust the mix design. Calibrate the flow cone in accordance with ASTM D 6449. Conduct the calibration initially before its first use and as directed by the Engineer, when there is a question of the flow cone's accuracy.

Technicians performing the efflux test must take the Auger Cast Pile course and pass the final examination to be qualified to test for any auger cast pile installations in the field. Assist the Engineer in verifying the technicians meet these requirements.

Conduct tests for efflux time at the beginning of each days grouting operation and as directed by the Engineer to ensure the specification requirements are met.

3. Mix the grout at least one minute. If agitated continuously, the grout may be held in the mixer or agitator for a period not exceeding 2.5 hours at grout temperatures below 70°F; two hours for temperatures from 70°F to 100°F. Do not place grout when its temperature exceeds 100°F. If there is a lapse in the operation of grout injection, recirculate the grout through the pump, or through the mixer drum or agitator.

4. Use mixers capable of combining components into a thoroughly mixed and uniform mass, free from balls or lumps and capable of discharging the concrete with a satisfactory degree of uniformity. The Engineer's approval of grout mixers and all other equipment will be contingent on proper performance during construction of the demonstration pile and subsequent production work.

5. Use a screen no larger than 3/4 inch mesh between the mixer and pump to remove large particles which might clog the injection system.

6. Use a positive displacement piston type grout pump equipped with a pressure gauge, capable of developing displacing pressures at the pump up to 350 psi.

7. Accurately monitor the volume and pressure of the grout flow. Test and calibrate the equipment during construction of the demonstration pile to demonstrate flow volume measurement accuracy of plus or minus 3% over the range of grouting pressures anticipated during this work. Provide a pump stroke counter in good working condition on the grout pump. Also calibrate the equipment any time the Engineer determines the grout pump performance may have changed.

#### **455-43 Testing Cement Grout.**

Prepare three 4 inches x 8 inches cylinders in accordance with ASTM C31, except pour grout in a single lift into cylinders molds without rodding, for each LOT. Plastic properties in accordance with ASTM C31 are not required. A LOT is defined as the lesser of 50 cubic yards of cement grout placed or one day of pile placement. Prepare one additional "hold" cylinder on the lot that is selected by the Engineer for Verification. Provide curing facilities for all QC and Verification test cylinders in accordance with ASTM C31. Test the cylinders at 28 days, in accordance with ASTM C39. The Engineer will also cast three verification cylinders and one "hold" cylinder from one of every four consecutive lots, randomly selected. The Engineer will compare QC and Verification results in accordance with Section 346. If the results do not compare, the Engineer will initiate a Resolution Investigation in accordance with Section 346

Personnel making/curing concrete cylinders shall be certified as ACI Concrete Field Testing Technician Grade I. Personnel performing tests on hardened properties of concrete, such as strength determination of cylinders or beams, they shall be certified as ACI Concrete Strength Testing Technician.

All low strength cement grout accepted by the Engineer will be subject to reduced payment as follows: \$0.80 per cubic yard for each 10 psi of strength test value below the specified minimum strength. The Engineer will use the average compressive strength of the LOT tests for the computation of this pay reduction.

The Engineer will compute the volume of grout for which the reduction will be applied as 115% of the theoretical volume of the auger cast pile diameter required in the Contract Documents. Reduction in pay will be applied to the entire length of all piles containing low strength cement grout, in any quantity. The quantity of cement grout affected by the price reduction may exceed the quantity of cement grout contained in the LOT.

When separate payment for auger grouted piles is provided, the dollar reduction will be equated to an equivalent length of pile not to exceed the total pile length constructed utilizing the subject LOT based on the following formula:

$$PLR = RC/UC$$

Where: PLR = Equivalent Pile Length Reduction in feet

RC = Total Reduction in payment, dollars

UC = Unit Cost of pile, dollars /foot

When a cement grout acceptance strength test falls more than 500 psi below the specified minimum strength perform one of the following:

1. Remove and replace the cement grout represented by the LOT in question at no additional cost to the Department; or,
2. Submit a structural analysis performed by the Contractor's Engineer of Record.

If the results of the analysis, approved by the Department, indicate adequate strength to serve the intended purpose with adequate durability, the concrete may remain in place.

Otherwise, remove and replace the LOT of concrete in question at no additional cost to the Department.

#### **455-44 Pile Installation.**

Meet the following requirements:

1. Locate the piles as shown on the drawings.
2. Should soft, compressible muck, organics, clay or other unsuitable materials (non A-1, A-3, A-2-4 or limestone materials) be encountered, remove the unsuitable material to a maximum depth of 5 feet and a maximum diameter about the pile centerline, not to exceed 1/2 of the distance to the adjacent pile. Backfill with clean granular backfill materials (A-1, A-3, A-2-4), placed and compacted in maximum 12 inch lifts to at least 95% of maximum dry density as determined by AASHTO T180. Complete this work to the Engineer's satisfaction prior to auger cast pile construction. Should more than 5 feet or excessive quantities of unsuitable material be encountered, immediately advise the Engineer and proceed with the work as directed by the Engineer.
3. Provide continuous auger flighting from the auger head to the top of auger with no gaps or other breaks, uniform in diameter throughout its length, and of the diameter specified for the piles less a maximum of 3%. Provide augers with a distance between flights of approximately half the diameter of the auger.
4. Use augers with the grout injection hole located at the bottom of the auger head below the bar containing the cutting teeth, and with pile auger leads containing a bottom guide.
5. Construct piles of the length and diameter shown on the drawings.
6. Clearly mark the auger leads to facilitate monitoring of the incremental drilling and grout placement. Provide individual foot marks with 5 foot increments highlighted and clearly visible. Provide a clear reference mark on the moving auger assembly to facilitate accurately monitoring the vertical movement of the auger.
7. Place piles by rotating a continuous flight hollow shaft auger into the ground at a continuous rate that prevents removal of excess soil. Stop advancement after reaching the predetermined depth.
8. Should auger penetration to the required depth prove difficult due to hard materials/refusal, the pile location may be predrilled, upon approval of the Engineer, through the obstruction using appropriate drilling equipment, to a diameter no larger than 1/2 the prescribed finish diameter of the auger cast pile. Commence auger cast pile construction immediately upon predrilling to minimize ground loss and soil relaxation. Should non-drillable material be encountered preventing placement to the depth required, immediately advise the Engineer and proceed with the work as directed by the Engineer. Refusal is defined as the depth where the penetration of the standard auger equipment is less than 12 inches per minute.
9. Plug the hole in the bottom of the auger while being advanced into the ground. Remove the plug by the grout or with the reinforcing bar.
10. Pump the grout with sufficient pressure as the auger is withdrawn to fill the auger hole, preventing hole collapse and to cause the lateral penetration of the grout into soft or porous zones of the surrounding soil. Prior to commencing withdrawal of the auger, establish a head of at least 5 feet of grout by pumping a volume of grout equivalent to 5 feet of pile volume. Maintain this head of at least 5 feet of grout above the injection point around the perimeter of the

auger to displace and remove any loose material from the hole. Maintain positive rotation of the auger at least until placement of the grout.

11. Once the grout head has been established, greatly reduce the speed of rotation of the auger and commence extraction at a rate consistent with the pump discharge. Maintain extraction at a steady rate to prevent a locked-in auger, necking of the pile, or a substantially reduced pile section. Ensure grout starts flowing out from the hole when the cutting head is at least 5 feet below the ground surface. Place a minimum volume of grout in the hole of at least 115% of the column of the auger hole from a depth of 5 feet to the tip. Place a minimum volume of grout in the hole of at least 105% of the column of the auger hole from the ground surface to a depth of 5 feet. Do not include any grout needed to create surplus grout head in the volume of grout placed into the hole. If the grout does not flow out from the hole when the cutting head is at least 5 feet below the ground surface, redrill the pile under the direction of the Engineer. If grouting is interrupted for any reason, reinsert the auger by drilling at least 5 feet below the tip of the auger when the interruption occurred, and then regROUT.

Use this method of placement at all times. Do not depend on the stability of the hole without the earth filled auger. Place the required steel reinforcement while the grout is still fluid, but no later than 1/2 hour after pulling of the auger.

12. Assume responsibility for the grout volume placed. If less than 115% of the theoretical volume of grout is placed in any 5 foot increment (105% in the top 5 foot increment), reinstall the pile by advancing the auger 10 feet or to the bottom of the pile if that is less, followed by controlled removal and grout injection.

13. Furnish and install the reinforcing steel and anchoring bolts as shown in the Contract drawings.

14. Use reinforcement that is without kinks or nonspecified bends, free of mud, oil or other coatings that could adversely affect the bond. Make splices in reinforcement as shown on the Contract drawings, unless otherwise approved by the Engineer. The steel cage shall be installed into the grout by its own weight or manually. Do not use a mechanical equipment or tool to impact the steel cage or to force it into the grout.

15. Leave any temporary supports of/for items placed into a grouted pile (reinforcement template, anchor bolt template, precast column supports, etc.) in place for a minimum of 12 hours after completion of the pile. Do not place wall panels or other significant loads, before the grout has set a minimum of seven days or reached the 28 day strength.

#### **455-45 Construction Tolerances.**

Locate piles as shown on the drawings, or as otherwise directed by the Engineer. Locate pile centers to an accuracy of plus or minus 3 inches. Ensure that the top of pile elevation is within an accuracy of plus or minus 3 inches of the plan elevation.

#### **455-46 Unacceptable Piles.**

Repair or replace unacceptable piles, as directed by the Engineer, at no cost to the Department. Unacceptable piles are defined as piles that fail for any reason, including but not limited to the following: piles placed out of position or to improper elevation; piles with reduced cross section, contaminated grout, lack of grout consolidation (honeycombed), or deficient grout strength; and piles with reinforcement, anchor devices or other components cast or placed into the fluid grout out of position.

#### **455-47 Auger Cast Pile Installation Plan.**

At the preconstruction conference, but no later than 30 days before auger cast pile construction begins, submit an auger cast pile installation plan for approval by the Engineer. Provide the following detailed information on the plan:

1. Name and experience record of auger cast pile superintendent or foreman in responsible charge of auger cast pile operations. Place a person in responsible charge of day to day auger cast pile operations who possesses satisfactory prior experience constructing auger cast piles similar to those described in the Contract Documents. The Engineer will give final approval subject to satisfactory performance in the field.
2. List and size of the proposed equipment, including cranes, augers, concrete pumps, mixing equipment etc., including details of proposed pump calibration procedures.
3. Details of pile installation methods.
4. Details of reinforcement placement and method of centering in pile, including details of all temporary supports for reinforcement, anchor bolts, precast columns, etc.
5. Details of how and by whom the grout volumes will be determined, monitored and documented.
6. Required submittals, including shop drawings and concrete grout design mixes.
7. Other information shown in the Plans or requested by the Engineer.

#### **455-48 Inspection and Records.**

The Engineer will monitor pile installation.

#### **455-49 Method of Measurement.**

**455-49.1 Protection of Existing Structures:** The quantity to be paid for, when included in the Contract Documents, will be at the Contract lump sum price.

**455-49.2 Auger Cast Pile:** The quantity to be paid for will be at the Contract unit price per foot between tip and required pile top elevations for all piles completed and accepted.

#### **455-50 Basis of Payment.**

**455-50.1 Protection of Existing Structures:** When separate payment for protection of existing structures is provided, price and payment will be full compensation for all work necessary to evaluate the need for, design of, and to provide the necessary features to protect the existing structures, including all cost of work shown in the Plans or described herein for protection of existing structures.

When a separate payment for protection of existing structures is not provided, the cost of settlement monitoring will be included in the cost of the structure. Work ordered by the Engineer for protection of existing structures, other than settlement monitoring, will be paid for as Unforeseeable Work.

**455-50.2 Auger Cast Piles:** Price and payment will be full compensation for all labor, materials, and incidentals for construction of auger cast piles of the sizes and depths indicated on the Contract drawings or otherwise required under this Contract. Price and payment will also include the removal and proper disposal off site of all spoil from the auger operation and all excess grout displaced from the auger hole, unless otherwise approved by the Engineer. Work to remove and replace unsuitable material when necessary as specified in 455-44 will be considered Unforeseeable Work.

**455-50.3 Payment Items:** Payment will be made under:

Item No. 455- 18-      Protection of Existing Structures - lump sum.

Item No. 455-112- Auger Grouted Piles - per foot.