

## Office of Inspector General

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## Standards

Audits and investigations are conducted in accordance with applicable:

- *Principles and Standards for Offices of Inspector General, Association of Inspectors General (AIG)*, commonly referred to as the "Green Book"
- *International Standards for the Professional Practice of Internal Auditing, The Institute of Internal Auditors (IIA)*, commonly referred to as the "Red Book"
- *Government Auditing Standards, United States Government Accountability Office (GAO)*, commonly referred to as the "Yellow Book"
- *Florida Inspectors General Standards Manual, Commission for Florida Law Enforcement Accreditation, Inc.* (Accreditation Standards)



Call when you . . .

- think someone is using FDOT property or people for personal gain.
- think someone is intentionally misleading FDOT for financial gain.
- think someone is receiving a benefit to "look the other way."
- need to report your suspicions of fraud or abuse.



### For more information on reporting fraud visit

[www.fdot.gov/ig/reportfraud](http://www.fdot.gov/ig/reportfraud)

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## Florida Department of Transportation



## Office of Inspector General

Our Mission - The Mission of the OIG is to provide independent and objective investigative and audit services that promote accountability, integrity, and efficiency within the Florida Department of Transportation and its partners



## Overview

**The Florida Inspector General Act of 1994 created an Office of Inspector General in each state agency “as a central point for coordination of and responsibility for activities that promote accountability, integrity, and efficiency in government.”**

Under the guidance of the Inspector General (IG), this office performs audits, investigations, and other engagements “for the purpose of promoting economy and efficiency in the administration of, or preventing and detecting fraud and abuse in, its programs and operations.”

### Mission

The Mission of the Office of Inspector General is to provide independent and objective investigative and audit services that promote accountability, integrity, and efficiency within the Florida Department of Transportation and its partners.

### Vision

Our Vision is to be a model Office of Inspector General, leading through a culture of accountability, diversity, and optimal performance.

### Values

Our Values are to be "One" Office of Inspector General, be safe, be professional, be accountable, be effective communicators, be innovative, be technology oriented, be office environment aware, be self-aware.

## Inspector General

**Under the Florida Inspector General Act, Section 20.055, Florida Statutes,** the IG’s responsibilities include the following major activities:

- Direct, supervise and coordinate audits, investigations, and management reviews;

- Promote economy and efficiency; prevent and/or detect fraud and abuse;
- Recommend corrective action concerning fraud, abuses, weaknesses, and deficiencies;
- Report expeditiously to the appropriate law enforcement agency whenever the IG has reasonable grounds to believe there has been a violation of criminal law; and
- Advise in the development of performance measures, standards, and procedures for evaluating agency programs.

Another function of the IG is to ensure an appropriate balance between audit, investigative, and other accountability activities. The office is comprised of three sections: Audit, Investigations, and Quality Assurance & Operations Support.

## Audit

**The Mission of the Audit Section is to promote integrity, accountability, and process improvement by providing objective, timely, and value-added audit services.** The Audit Section provides independent appraisals of the performance of Department programs and processes, including the appraisal of management’s performance in meeting the Department’s information needs while safeguarding its resources.

The Audit Section ensures costs proposed and charged to the Department through contracts and agreements with external entities are accurate, reasonable, and comply with applicable federal and state procurement regulations. Three units comprise the section: Contract Audit, Intermodal Audit, and Performance and Information Technology Audit.

## Investigations

**The Mission of the Investigations Section is to deter, detect, and investigate crimes or misconduct impacting the Department.** The focus of the Investigations Section is to pursue any attempt by Department employees, members of the public, contractors, subcontractors, or intermediaries to gain financial or other benefit to which they are not properly entitled. The section also investigates allegations of employee misconduct. These administrative and criminal investigations typically involve reports of conflict of interest, theft, and improper use of Department resources.

## Quality Assurance & Operations Support (QAOS)

**The Mission of the QAOS Section is to ensure the quality of audit and investigative products that fully comply with all professional and office standards and support the operations of the OIG.** This QAOS Section provides office-wide support by performing quality reviews, production management, publication of the annual report, and updating processes and procedures. This section is also responsible for coordinating administrative and technical needs of the office.

## External Audits Liaison

**The Mission of the External Audit Liaison is to coordinate and manage the audit recommendations, follow-up, and reporting process to ensure compliance with statutory requirements and Department procedures.** This includes entry, tracking, and reporting of open audit recommendations; facilitates communication and coordination with external agencies performing audits of the Department; and performs reviews and special projects as directed by the IG and/or Director of Audit.