

FLORIDA DEPARTMENT OF TRANSPORTATION

METHODOLOGY FOR DETERMINING DBE GOAL (49 CFR 26.45) FEDERAL TRANSIT ADMINISTRATION FEDERAL FISCAL YEARS 2021-2023

OVERALL GOAL

The overall goal for the Florida Department of Transportation's (FDOT) Disadvantaged Business Enterprise (DBE) program for FTA assisted contracts is established on a tri-annual basis with adjustments each year to reflect changed circumstances if needed. The overall goal for federal fiscal years 2021-2023 has been set at **24.30%** utilizing the methodologies described in 49 CFR Part 26.

METHODOLOGY

In setting the goal for FDOT, it is required that the goal setting process begin with a base figure for the relative availability of DBEs. The overall goal must be based on demonstrable evidence of the availability of ready, willing, and able DBEs relative to all businesses ready, willing, and able to participate on FTA assisted contracts.

FDOT has reviewed the alternatives listed in 49 CFR Part 26 and selected the DBE Directory and Census Bureau 2017 County Business Patterns data as the best approach for FTA goal development.

Step One

Determine the weight of each type of work by NAICS Code:

	NAICS Code	Project	Amount of DOT funds on project:	% of total DOT funds (weight)
1)	236220	Support Facilities - Building construction	\$195,870.00	0.0105
2)	238990	Support Facilities - Other specialty trades	\$17,198,673.00	0.9187
3)	237310	Sitework - Highway construction	\$148,811.00	0.0079
4)	238210	Systems - Signals, crossings	\$1,176,981.00	0.0629
	Total FTA-Assisted Contract Funds		\$18,720,335.00	1

Step Two

Determine the relative availability of DBE's by NAICS Code:

	NAICS Code	Project	Number of DBEs available to perform this work	Number of all firms available (including DBEs)	Relative Availability	
1)	236220	Support Facilities - Building construction	274	491	0.5580	
2)	238990	Support Facilities - Other specialty trades	276	686	0.4023	
3)	237310	Sitework - Highway construction	210	288	0.7292	
4)	238210	Systems - Signals, crossings	106	1645	0.0644	
	Combined Totals		866	3110	0.2785	Overall availability of DBEs

Step 3

(Weight) x (Availability) = Weighted Base Figure

	NAICS Code	Project	Weight	x	Availability	Weighted Base Figure
1)	236220	Support Facilities - Building construction	0.01046	x	0.55804	0.0058
2)	238990	Support Facilities - Other specialty trades	0.91872	x	0.40233	0.3696
3)	237310	Sitework - Highway construction	0.00795	x	0.72917	0.0058
4)	238210	Systems - Signals, crossings	0.06287	x	0.06444	0.0041
	Total					0.3853
	Expressed as a % (*100)					38.53%

Step 4

Obtain FDOT percentages met for the previous three years. Determine median percentage of the three years and add to the new base figure, then divide by two to determine new weighted base figure for the 2021-2023 period.

DBE Percentages reported to FTA through TrAMS for 2018-2020:

Fiscal Year	Initial Date Submitted for Regional Review	10I. Overall Percentage of total dollars to DBEs	Average for the year:
2018	30-May-2018	9.77%	
2018	27-Nov-2018	10.34%	10.06%
2019	31-May-2019	4.96%	
2019	22-Nov-2019	6.13%	5.55%
2020	01-Jun-2020	16.23%	
2020	02-Dec-2020	27.62%	21.93%

Sum of Median and Base Weight Figure(38.53% + 10.06%): 48.59%

New Rounded Weighted Base Figure(48.59% divided by 2): 24.30%

RACE NEUTRAL METHODS

FDOT shall meet the overall goal of 24.30% through the use of race neutral methods. The DBE Liaison Officer will work closely with the Transit Office to monitor DBE participation in order to determine if mid-course corrections are needed.

Race neutral methods will include:

1. Providing technical assistance and other services to DBEs with special emphasis on marketing.
2. Providing assistance in overcoming limitations such as inability to obtain bonding or financing.
3. Providing the name, phone number and email address for additional information concerning a contract.
4. Continuing DBE supportive services program to develop and improve business management, record keeping, and financial and accounting capability for DBEs.
5. Working very closely with new start-up firms, particularly in fields in which DBE participation has been historically low.
6. Assisting DBEs to develop their capability to utilize emerging technology and conduct business through electronic media.
7. Working with prime contractors, consultants, the Florida Transportation Builders Association, and the Florida Institute of Consulting Engineers to encourage the use of DBEs.

PUBLIC PARTICIPATION

On June 24, 2020, the Florida Department of Transportation (FDOT) had the first of four “kick-off” meetings with both DBE and non-DBE contractors & consultants, regarding the 2021-2023 FHWA and FTA DBE goal before it was published. Due to the State’s COVID-19 pandemic guidance, large in person meetings were discouraged. All kick-off meetings were done virtually through Microsoft Teams, while the three noticed public meetings were all done virtually as Go to Meeting Webinars. On June 29, 2020, the Equal Opportunity Office published its first notice to the public regarding the posting of the 2021 Federal Highway & Federal Transit DBE goals and methodology and the ability for the public to view both draft documents and to make comments or ask questions through the dedicated email address, 2021DBEGoal@dot.state.fl.us, directly to the EOO. The notice also detailed how to register and attend the three “open to the public” Webinars. The notice was posted on the FDOT Infonet public announcement site, the Florida Administrative Register, the FDOT Facebook page and the [EOO page](#) on the FDOT website.

Three virtual public meeting webinars were noticed. The meetings were: July 20th, 21st, and 22nd. The time for the three meetings was 6:00pm. In addition to announcing these open evening webinars in the four kick-off meetings, they were also noticed by the placement of public notice advertisements in the following minority and majority newspapers: La Gaceta (minority – Tampa); Florida Sentinel Bulletin (minority – Tampa); Miami Herald (majority – Miami, Ft. Lauderdale); Tallahassee Democrat (majority – Tallahassee); El Nuevo Herald (minority – Miami); Tampa Bay Times (majority – Tampa)

FDOT’s two Supportive Service Providers, CEI and the Council, who are contracted by FDOT to assist DBE’s, SBE’s and primes sent emails to their respective database lists. Through the kick-off meetings, virtual public meetings and targeted emails, approximately 2,000 firms were notified about the public meetings. Time for questions and answers was provided after each of the webinar presentations. No comments or questions were received that would necessitate a change to the proposed goal in this document.