

GUIDANCE FOR PART 1, CHAPTER 5

May 2026

This document provides guidance for the preparation of the Type 1 Categorical Exclusion Checklist, Type 2 Categorical Exclusion Determination Form, and environmental certifications. A sample checklist and form are also included as visuals of the information provided.

[**Preparing a Type 1 Categorical Exclusion Checklist**](#)

[**Sample Type 1 Categorical Exclusion Checklist**](#)

[**Sample Environmental Certification for FDOT Federal Project**](#)

[**Preparing a Type 2 Categorical Exclusion Determination Form**](#)

Preparing a Type 1 Categorical Exclusion Checklist

The ***Type 1 Categorical Exclusion Checklist*** is only prepared using SWEPT. There may be multiple Type 1 CEs prepared using the same Financial Management number such as emergency or push-button projects. SWEPT provides functionality to prepare these types of documents.

The CE number/activity type from **23 CFR § 771.117(c)**, **23 CFR § 771.117(d)**, or Other (for other federal agency CE Number) is selected at the beginning of the checklist. For projects that may fall under two or more actions, the CE designation that is most appropriate is identified. See [Part 1, Chapter 5, Categorical Exclusion](#) for the C-list and D-list options.

When completing the checklist, selection of certain items may require consultation with OEM and during this coordination it may be determined that the project cannot be completed as a Type 1 CE. When these items are selected on the checklist a notice appears stating that by checking this box, coordination with OEM is required.

Documentation of analysis, coordination, and results should be uploaded to SWEPT for the project file. This documentation should include the results of any desktop and/or field review, agency consultation, and any supporting documents and/or technical reports required to justify the responses on the checklist. It is important to document that the project will not have significant impacts and that environmental issues have been addressed.

Planning consistency for Type 1 CEs is met when the project is in the STIP. The Current STIP page is attached and included in the project file to document that the project has met planning requirements in **23 CFR Part 450**. If the project is not in the STIP, a simple explanation of why the project is not in the STIP is attached. More information on FHWA's planning consistency requirements can be found in the [FDOT/FHWA Consistency Guidance](#) and FDOT's [Planning Consistency for NEPA Practitioners](#).

The ***Type 1 Categorical Exclusion Checklist*** is approved by the District Environmental Manager or designee using SWEPT.

Sample Type 1 Categorical Exclusion Checklist

TYPE 1 CATEGORICAL EXCLUSION CHECKLIST

Financial Management No.: (Includes Related FM Numbers) _____

Additional Identifiers: _____

FAP No.: _____

CE Number: (Choose one option)

(c) _____

(d) _____ (Will be required for d-list projects to provide supporting documentation for all areas) or

Other _____ (Identify federal agency and CE Number) (Coordination is required with OEM)

Title: _____

Work Mix: _____

District: _____

County: _____

Local Agency Program (LAP) To be considered a LAP project, federal dollars must be programmed into the adopted Work Program

Yes No

LAP Agency (If Yes is selected for the LAP question enter the agency name and select it from the pull down menu.)

Is an Interchange Access Request (IAR) required for this project?

Yes No

Project Description:

Include project limits and brief description of the proposed scope of work.

Note: The items below consider the requirements described in 23 CFR § 771.117 (c) and (d) for Categorical Exclusions (CEs). The constraints of 23 CFR § 771.117(e) are addressed in this checklist for CEs identified as 23 CFR § 771.117 (c) (26), (27) and (28).

Directions for bulleted verifications below: District should consider if the project has any of the significant impacts described. If the project does not meet the criteria, STOP, this form does not apply. If the project does meet the criteria, check "verified" and proceed through the rest of the form.

- This action **will not** induce significant impacts to planned growth or land use for the area; travel patterns; involve significant air or water quality impacts; or cause substantial controversy on environmental grounds.

Verified

- (This statement will only appear if c26, c27, or c28 project is selected) The action will not cause changes in interchange access control; result in major traffic disruptions due to the construction of temporary access; or the closure of existing road, bridge, or ramps.

Verified

REST OF FORM NOT ACCESSIBLE UNTIL Verified IS CHECKED

1. Right of Way (ROW):

Within existing ROW

- Minor acquisition without relocation and/or displacement
- Any acquisitions of more than a minor amount of ROW or would result in any residential or non-residential displacements [Contact the Office of Environmental Management (OEM) and add date of coordination] *(This will only be an option if it is a (d) list project.)*
- State-owned conservation lands being acquired in the project area subject to review and approval by the Acquisition and Restoration Council *(If selected, a pop up will state, "Advise OEM of involvement with state-owned conservation lands")*

Comments:

2. Are there Community Effects?

- Yes No *(If yes is selected, the following will appear)*

2a. Does the project divide or substantially change the character of the community?

Comments:

2b. Does the project have the potential to affect emergency services or access to major community features?

Comments:

2c. Does the project have the potential to affect mobility? *[Examples may include pedestrian and bike facilities, intersection improvements, changes in median openings, etc.]*

Comments:

3. Impacts that would require a permit under the Clean Water Act, Section 404, 33 U.S.C. § 1344 and/or Section 10 of the Rivers and Harbors Act:

- No permit required
- Nationwide permit
- General Permit (SAJ 92)
- Standard Permit [Contact OEM and add date of coordination] *(If chosen, warning label will appear if it is c26, c27, or c28: This project has been identified as a c26, c27, or c28 project. Coordination with OEM is required)*

Comments:

Include the wetland finding and mitigation standard statement as appropriate in the comment box.

4. Bridge permits required from the United States Coast Guard (USCG):

- No Waterway Crossing
- No USCG Bridge permit required
- USCG Bridge permit [Contact OEM and add date of coordination] *(If chosen warning label will appear if it is c26, c27, or c28: This project has been identified as a c26, c27, or c28 project. Coordination with OEM is required)*

Comments:

5. The project involves a floodplain encroachment other than functionally dependent uses (e.g., bridges, wetlands) or actions that facilitate open space use (e.g., recreational trails, bicycle and pedestrian paths):

- No Floodplain Present/No Floodplain Impact
- Functionally Dependent Use or Facilitate Open Space Use
- Other Encroachment *[Contact OEM and add the date of coordination] (If chosen warning label will appear if it is c26, c27, or c28: This project has been identified as a c26, c27, or c28 project. Coordination with OEM is required)*

Comments:

6.a Does the project involve a Wild and Scenic River or Study River?

[There is involvement with a Wild and Scenic River or Study River if project activities are located within the river corridor (within one-quarter mile of the banks), across, or adjacent to (upstream, downstream, or on a tributary) the designated river segment]

- No, the project does not involve a river designated as a Wild and Scenic or Study River
- Yes, Northwest Fork of the Loxahatchee River in D4
- Yes, Wekiva River in D5
- Yes, St. Marys River in D2
- Yes, Kissimmee River in D1 and D5
- Yes, Little Manatee River in D7
- Yes, Myakka River in D1

(If Yes is chosen (except for the Myakka River) a warning label will appear if it is c26, c27, c28: This project has been identified as a c26, c27, or c28 project. Coordination with OEM is required)

(If Yes is selected, in the comment box summarize the results of coordination with OEM and NPS [for the Florida Department of Environmental Protection (FDEP) if the Myakka River]. Identify that there will be no direct or adverse effects on the values for which the river was designated. Any correspondence should be added to the project file in SWEPT.)

(If Yes is selected, a label will appear saying: Contact OEM and add the date of consultation)

Comments:

6b. Will the action involve a river on the Nationwide Rivers Inventory (NRI)?

[This information can be found in the Environmental Screening Tool or the NRI interactive map]

- No, the project will not involve a river on the NRI
- Yes, the project will involve, but will not affect a river segment on the NRI. *(Include details to support this determination. Any correspondence with NPS should be added to the project file in SWEPT)*
- Yes, the project will affect a river segment on the NRI, but will not have an adverse effect on the natural, cultural, or recreational values of the NRI River segment *[See Part 2, Chapter 12 of the PD&E Manual to determine if there is an adverse effect] (Include details to support this determination. Any correspondence with NPS should be added to the project file in SWEPT. If yes is selected, add the name of river and any details in the comment box. If the project will have an adverse effect on the natural, cultural, or recreational values of the NRI River segment, coordination with the NPS is required, and the project cannot be processed as a Type 1 CE.)*

Comments:

7. Section 7 of the Endangered Species Act (ESA) of 1973, as amended, and/or Magnuson-Stevens Fishery Conservation and Management Act (MSA):

[If the Programmatic Approach for Minor Transportation Projects applies, a Programmatic Approach Form should be included]

- No ESA listed species and/or Essential Fish Habitat (EFH) present
- ESA listed species and/or Essential Fish Habitat (EFH) present *(If selected, the following will appear)*
- Determination of No Effect *Identify species in text box.*
- Used key, no consultation required *Identify species in text box.*
- Consultation with the US Fish and Wildlife Service or National Marine Fisheries Service (ESA/EFH), results in: *(If selected, the following will appear)*
- May Affect, Not Likely to Adversely Affect *(upload concurrence letter)*
- May Affect, Likely to Adversely Affect *[Contact OEM and add the date of coordination] (If chosen warning label will appear if it is c26, c27, or c28: This project has been identified as a c26, c27, or c28 project. Coordination with OEM is required)*
- Emergency Consultation On-going

Comments:

8. Will the action impact any properties protected by Section 4(f) pursuant to 23 CFR § 774?

[If Section 4(f) applies, the Section 4(f) Form should be included]

- No potential Section 4(f) properties present
- Section 4(f) resources present or adjacent, but there is “no use” within the meaning of Section 4(f)
List resources and describe how “no use” determination was made in text box.
- Exception or Exemption *(attach description of type and Official with Jurisdiction (OWJ) concurrence) [See 23 CFR § 774.13] (If selected a pop up will provide a box to record the date of OEM coordination. Fill in date in order to proceed.) List resource(s) in text box.*
- de minimis* *(attach determination and OWJ concurrence) (If selected a pop up will provide a box to record the date of OEM coordination. Fill date in order to proceed.) List resource(s) in text box.*
- Programmatic or Individual Section 4(f) Evaluation required *(If selected a pop up will provide a box to record the date of OEM coordination. Fill date in order to proceed. Or, if it is c26, c27, or c28, a warning label will appear: This project has been identified as a c26, c27, or c28 project. Coordination with OEM is required)*

Comments:

9. Historic and/or Archaeological Resources protected under Section 106 of the National Historic Preservation Act (NHPA) and 36 Code of Federal Regulations Part 800:

Pursuant to the Section 106 Programmatic Agreement, include appropriate documentation. *[If the Section 106 Programmatic Agreement applies, the Section 106 PA Form should be included]*

- Finding of No Potential to Cause Effect *(If selected a pop up will state "If the undertaking is a type of activity that does not have the potential to cause effects on historic properties, assuming such historic properties were present, FDOT has no further obligations under Section 106 in accordance with 36 CFR § 800.3(a)(1). These actions are defined as non-construction related activities. For example, purchasing equipment, planning, and design all fall under this portion of the regulation and do not require any further obligations under Section 106. All construction-related actions with a federal nexus must comply with 36 CFR §§ 800.4 to 800.6 including any maintenance, new construction, and all construction related actions. Questions about applicability of 36 CFR § 800.3(a)(1) should be referred to OEM.")*
- Finding of No Historic Properties Affected
- Finding of No Adverse Effect
- Finding of Adverse Effect *[Contact OEM and add the date of coordination] (If chosen warning label will appear if it is c26, c27, or c28: This project has been identified as a c26, c27, or c28 project.)*
- Emergency Consultation On-going

Comments:

10. Noise considerations:

- The project does not require a Noise Analysis
- The project does require Noise Analysis *(provide appropriate documentation):*
 - Noise abatement is not warranted
 - Noise abatement is warranted *(Provide decision)*

Comments:

11. Contamination considerations:

- The project was evaluated *(provide brief summary in text box and attach appropriate documentation)*

Comments:

12. Planning Consistency

This Project was reviewed for fiscal constraint and determined to have committed, available or reasonably available funds for the implementation of all the phase(s) of the Project within the time period anticipated for completion of the Project. *[23 CFR Part 450]. Documentation must be submitted. Documentation is either the current STIP pages or a simple explanation of why the project is not in the STIP.*

13. Project Commitment Record

Does this project have commitments?

- Yes *(If selected a pop up will state "If the PCR is available, use the Upload button below to attach it. After approval of the Type 1 CE, the PCR may be uploaded on the project page under the Commitments folder.")*
- No

IMPORTANT: *If during the preparation of the form, an answer requires discussion with OEM, contact your OEM District Project Delivery Coordinator.*

The environmental review, consultation, and other actions required by applicable federal environmental laws for this project are being, or have been, carried out by the Florida Department of Transportation (FDOT) pursuant to 23 U.S.C. § 327 and a Memorandum of Understanding dated May 26, 2022, and executed by the Federal Highway Administration and FDOT.

This project has been reviewed and has been verified to meet the conditions of a Type 1 CE.

Signature: _____ Date: _____
District Environmental Manager or designee

The following is a list of any supporting activities (e.g., field reviews, as appropriate, etc.), reports, or technical studies that were prepared and are included in the project file that were necessary to support the conclusions reached on the checklist: *This section auto-populates based on the studies and supporting documents attached in SWEPT.*

Sample Environmental Certification For FDOT Federal Project

ENVIRONMENTAL CERTIFICATION FOR FDOT FEDERAL PROJECT

Financial Management No. _____

Additional Identifiers: _____

FAP No. _____

Related Financial Management No. _____

Title: _____

Work Mix: _____

District: _____

County: _____

Project Description: _____

This project is a Categorical Exclusion under 23 CFR § 771.115:

- A Type 1 Categorical Exclusion approved on _____
- A Type 2 Categorical Exclusion approved on _____

The Environmental Document for this project is a (check one):

- A Finding of No Significant Impact under 23 CFR § 771.121 approved on _____
- A Final Environmental Impact Statement/Record of Decision under 23 CFR § 771.124 approved on _____
- A Record of Decision under 23 CFR § 771.127 approved on _____

A Re-evaluation in accordance with 23 CFR § 771.129 was (check one):

- Approved on _____
- Not required

Signature: _____ Date: _____

District Environmental Manager or designee

The environmental review, consultation, and other actions required by applicable federal environmental laws for this project are being, or have been, carried out by the Florida Department of Transportation (FDOT) pursuant to 23 U.S.C. 327 and a Memorandum of Understanding dated May 26, 2022, and executed by the Federal Highway Administration and FDOT.

Preparing a Type 2 Categorical Exclusion Determination Form

A Type 2 CE is prepared using the ***Type 2 Categorical Exclusion Determination Form*** in SWEPT. Once the form is completed, it is saved as a PDF which becomes the Type 2 CE document (Environmental Document), hereafter referred to as Type 2 CE.

The Type 2 CE displays information differently than it is displayed on the form. The selections made in the form determine the information that is included in the Type 2 CE. Certain selections in the form will prompt a standard statement to appear in the Type 2 CE.

Standard statements in the draft Type 2 CE documents (Word and PDF) are now highlighted like they are on the interactive form. Standard statements are presented in a purple box, with user entries shown in teal, and information still needed from the user marked in yellow. Once the Type 2 CE has been approved, the highlighting is removed.

The [**Type 2 CE QA/QC Checklist**](#) may be used as a tool to facilitate preparation of this form and ensure that applicable information is included. The checklist also includes a list of documents to be included as an Attachment (in the Appendix) vs. a Technical Material.

Cover Page: The Cover Page is only displayed on the Type 2 CE document, it is not shown on the form during form preparation. On the Project Information page of the form, information such as the project name or title [including bridge number(s), if appropriate]; project limits; District(s)/county(ies) involved; project numbers [ETDM Number (if applicable), Financial Management (FM) Number, and Federal-Aid Project Number] are automatically populated. There is also a place to identify the Project Manager and any Cooperating Agencies.

Upload any Cooperating Agency correspondence as Technical Materials. Participating Agencies may also be added. Cooperating and Participating Agencies are defined in [**Part 1, Chapter 3, Preliminary Environmental Discussion and Advance Notification**](#).

This information (except participating agencies) is displayed on the Cover Page of the Type 2 CE document along with the **NEPA** Assignment standard statement and the following statements:

This action has been determined to be a Categorical Exclusion, which meets the definition contained in 23 CFR § 771.115(b), and based on past experience with similar actions and supported by this analysis, does not involve significant environmental impacts.

Signature below constitutes Location and Design Concept Acceptance:

Once the form is completed and approved, the Cover Page of the Type 2 CE will display the signature of the Director of OEM or designee. This is an electronic signature that is added during the approval of the form in SWEPT.

Second Cover Page: When preparing the form, under District Contact Information, add the name, title, address, phone, and email address of the District Contact. This is typically the Project Manager, but may be a different District staff person. Also include the prime consulting firm and Consultant Project Manager information, if applicable.

Identify if the Florida Department of Environmental Protection (FDEP) has made a Federal Consistency Determination for the project and provide the date this was determined. The coastal zone consistency standard statement auto-populates if this determination was made during the Programming Screen and the project's ETDM Number has been previously entered into SWEPT.

If the Type 2 CE was not screened in the EST, the following standard statement is included if applicable.

Coastal zone consistency will be determined during the permitting process.

See [Part 2, Chapter 14, Coastal Zone Consistency](#) for more information.

District and Prime Consulting Firm contact information is displayed on the second Cover Page of the Type 2 CE document, along with the **Civil Rights Act of 1964** standard statement (required by [Part 2, Chapter 4, Community Impact Assessment](#)) and the coastal zone consistency standard statement (required by [Part 2, Chapter 14, Coastal Zone Consistency](#), if applicable).

The following statement is also included:

This document was prepared in accordance with the FDOT PD&E Manual.

Table of Contents: A Table of Contents is generated by SWEPT and only visible in the Type 2 CE document.

Section 1: Project Information

1.1 Project Description: In the Project Description box in the form, briefly describe the proposed action in terms of location, length, and termini of the project and typical section(s). Use appropriate engineering detail to show the number of lanes and their width, major structures, proposed capacity and safety improvements, estimated Right of Way (ROW) to be acquired, and construction year (if available). This description should also include existing conditions of the transportation facility, accommodations for pedestrians, bicyclists, navigational needs as applicable, and include a project location map. If the project description has been previously entered in SWEPT, the language for this box will be auto-populated in the form. See [Part 2, Chapter 1, Project Description, Purpose and Need and Planning Consistency](#).

1.2 Purpose and Need: Add the purpose and need to the form. Identify and describe the transportation need(s) and the purpose the project is intended to satisfy (e.g.,

provide system continuity, alleviate traffic congestion, and/or correct safety or roadway deficiencies). If the project was screened through the EST, update the purpose and need, as appropriate to reflect current project conditions. See [Part 2, Chapter 1, Project Description, Purpose and Need, and Planning Consistency](#) for detailed guidance on preparing the purpose and need.

The form then provides a button to upload documents that will be listed as Technical Materials. There is also a separate button to add attachments to be included in the Appendix.

1.3 Planning Consistency: Determine whether the project meets planning consistency by identifying if the entire project is funded for the next phase (e.g., Design, ROW, Construction), or if one segment is funded to completion. Follow the instructions provided in the form to prepare the planning consistency table and provide planning consistency information in the text box to support the table, if needed. This information is needed to verify that a project meets the planning consistency requirements in **23 CFR § 450** prior to being submitted to OEM for LDCA.

Next, attach supporting documentation that demonstrates project planning consistency in the SWEPT file. Acceptable documentation includes adopted pages from the Cost Feasible Plan of the Long Range Transportation Plan (CFP LRTP), the Transportation Improvement Program (TIP), and the Current State Transportation Improvement Program (STIP) pages. Documentation that snapshots the Work Program is not adequate documentation to demonstrate planning consistency.

For future phases not currently shown on the referenced plans and programs, this form should also document planned steps towards implementation, including the anticipated fiscal years. This should be coordinated with District Planning staff and may need to be documented in the appropriate plans and programs as well.

To address LRTP consistency for Type 2 CE projects that were not screened through the EST, coordinate with District Planning staff and verify that the project is represented in the LRTP summary sheet (e.g., general sidewalk, pedestrian improvement, and safety projects). The LRTP summary sheet shows projects that are not individually listed in the LRTP. Include the sheet as an attachment.

For projects with multiple segments, a table for each segment should be added in the SWEPT table function detailing each segment's limits, phases, costs, and timeframe. When a project is divided into segments, each segment should be described in the table. For example, if the project is 15 miles long and the first segment covers 5 miles, the remaining segments should be clearly defined. It is not sufficient to simply state that the remaining 10 miles may be segmented in the future. For additional clarity, a map showing project segments may also be included with the form. Additional information may also be added in the comment boxes.

More information is available on planning consistency in [Part 2, Chapter 1, Project Description, Purpose and Need, and Planning Consistency](#) as well as the

[FDOT/FHWA Consistency Guidance and Planning Consistency for NEPA Practitioners.](#)

The form provides a button to upload documents that will be listed as Technical Materials. There is also a separate button to add attachments to be included in the Appendix, as well as a button to add relevant commitments.

Section 2: Environmental Analysis Summary

A summary is automatically generated in the Type 2 CE document based on the responses to the questions when completing the form and reflects if impacts are Significant, Enhanced, or if there is No Involvement for each topic/resource. This is not shown when completing the form but is displayed when the Type 2 CE document PDF is created.

Section 3: Community Effects

When preparing this section, consider the Community Impact Assessment (CIA) topics in accordance with procedures in [Part 2, Chapter 4, Community Impact Assessment.](#) Identify if there were any significant community impacts. If there were significant impacts, then the project cannot proceed as a Type 2 CE. If there were no significant impacts, summarize the evaluation completed for the following topics, including minimization and anticipated enhancements:

3.1 Social: Summarize the potential for the project to affect community groups, neighborhoods, and variables of local community concern. Consider and describe the likelihood of impacts and discuss whether there any areas of controversy resulting directly or indirectly from the project. Refer to the public involvement section for more details on public involvement activities conducted for the project. If applicable, include a summary of information from the **CIA Technical Memorandum**, and include the report in the project file as Technical Material.

3.2 Economic: Summarize the project's potential effect on economic activity in the study area, local area, and region.

3.3 Land Use Changes: Summarize the potential for the project to induce secondary development or change existing land use patterns and discuss the use of agricultural lands that are not subject to the **Farmland Protection Policy Act (FPPA)**. Add existing and future land use maps as attachments, if applicable.

3.4 Mobility: Summarize the project's potential effect on mobility and accessibility in the study area with emphasis on non-driving population groups (i.e., elderly, young, disabled, and low-income individuals).

3.5 Aesthetic Effects: Summarize the project's aesthetic effects evaluation in accordance with [Part 2, Chapter 5, Aesthetic Effects.](#)

3.6 Relocation Potential: Identify if relocation potential is present or not present and add the **CSR** as Technical Material, if applicable. If relocations are anticipated, indicate the number and type of relocatees (residents, tenants, businesses, institutions or community facilities), and discuss the relocation impact to groups protected by nondiscrimination laws. If there is relocation potential, indicate whether comparable replacement housing is available. SWEPT will automatically generate a Right of Way and Relocation Assistance Program standard statement that will be included in the Type 2 CE document. A standard statement will also be generated if comparable replacement housing is not available in the area.

3.6 Farmland: Identify if project is exempt from the **FPPA**. If it is exempted, identify why, and if not, identify if there is involvement with farmland. If there is no involvement with farmland, identify if it is in urbanized areas or non-urbanized areas. If there is involvement with farmland, summarize project effects on farmlands and add the applicable farmland conversion rating form as an attachment. Applicable standard statements will be automatically generated as the preparer answers farmland resources questions in the form. Some of the standard statements require the preparer to add additional information specific to the project. The form will also prompt the preparer to attach the **Farmland Conversion Impact Rating Form** and include NRCS coordination documentation as Technical Material, as applicable. For guidance see [Part 2, Chapter 6, Farmland](#).

The form then provides a button to add project commitments applicable to the topics in **Section 3**. The form also provides buttons throughout **Section 3** to upload documents that will be listed as Technical Materials. There are separate buttons to add attachments for inclusion in the Appendix.

Section 4: Cultural Resources

Identify if there were any significant impacts to cultural resources. If there were, then the project cannot proceed as a Type 2 CE. If not, identify the presence of, and summarize the evaluation completed for the following topics/resources, including minimization and anticipated enhancements:

4.1 Section 106 of the National Historic Preservation Act: When completing this section of the form, indicate whether archaeological sites or historic resources were identified within the Area of Potential Effect (APE). If present, indicate whether the resources were found to meet the eligibility criteria for inclusion in the National Register of Historic Places (NRHP). If eligible, identify if there is an adverse effect to any of these resources. If there is at least one adverse effect on a resource, select “Adverse Effect” and describe all the resources and effects in the summary box. Include findings and summarize approvals and concurrence documents per [Part 2, Chapter 8, Archaeological and Historical Resources](#). Applicable standard statements will be automatically generated as the preparer answers cultural resources questions in the form. Some of the standard statements require the preparer to add additional information specific to the project. Add the **Notification**

Letter, Cultural Resources Assessment Survey (CRAS) Report, or Technical Memorandum as Technical Materials, if applicable. Include State Historic Preservation Officer (SHPO) concurrence letters and if applicable, the **Section 106 Memorandum of Agreement (MOA)** as attachments and add a **Section 106** resource map as necessary.

It should be noted that while historic properties are also protected by **Section 4(f)**, archaeological sites that are listed in or eligible for listing in the NRHP under Criterion D (significant primarily for the information they contain) are not protected by **Section 4(f)**. **Section 4(f)**, however, does protect archaeological sites that are important for preservation in place. For additional guidance, see [Part 2, Chapter 7, Section 4\(f\) Resources](#).

4.2 Section 4(f) of the USDOT Act of 1966, as amended: If present, identify, by formal name, existing or proposed **Section 4(f)** protected resources (i.e., public parks, recreational areas, wildlife and waterfowl refuges, and public or private historic and archeological sites) within the project study area. Discuss any project-related impacts to these properties and specifically identify any ROW or other acquisition from these resources which may be required to complete the project in the summary. Identify if the project will have an **Individual Section 4(f) Evaluation**.

It should be noted that **Section 4(f)** documentation will be prepared, reviewed and approved separately or concurrently depending on the level of documentation necessary to address and resolve the issue. For guidance on determining **Section 4(f)** applicability, see [Part 2, Chapter 7, Section 4\(f\) Resources](#). If the SWEPT **Section 4(f) Tool** was used for the project, an option will be provided to include the output of the SWEPT **Section 4(f) Tool** as an attachment. Standard statements will be automatically generated in the form as applicable. Correspondence during this process should be included in the project file.

4.3 Section 6(f) of the Land and Water Conservation Fund Act of 1965: If present, identify, by formal name, all park and recreational properties funded wholly or in part under **Section 6(f)** of the **Land and Water Conservation Fund Act (LWCFCA)** within the project study area in the summary. Discuss any project-related impacts to these properties and specifically identify any ROW or other acquisition from these resources which may be required to complete the project. Standard statements will be automatically generated in the form as applicable. Correspondence during this process should be included in the project file. Add **Section 6(f)** supporting documentation. For guidance see [Part 2, Chapter 7, Section 4\(f\) Resources](#).

4.4 Recreational Areas and Protected Lands:

Identify if there are state-owned conservation lands in the project area subject to review and approval by the Acquisition and Restoration Council (ARC). For guidance see [Part 2, Chapter 23, State-owned Upland Conservation Land Coordination](#). If such lands are present in the project area, describe the resources and how the project may impact them in the summary box. This section also documents

involvement with recreational or public lands not previously described in the Type 2 CE. Include a summary of impacts and coordination with the OWJ and/or appropriate federal or state land managing agencies [e.g., National Parks Service (NPS), FDEP]. A standard statement will be automatically generated in the form as applicable. Correspondence during this process should be included in the project file.

If final decisions by the ARC are made at this point and the MOA is signed, they should be referenced and attached to the Type 2 CE and saved to the SWEPT project file. If ARC coordination is not finalized and/or the MOA is not yet signed, provide the status of the coordination thus far and indicate any specifics of when coordination will continue or be completed.

The form then provides a button to add project commitments applicable to the topics in **Section 4**. There are also buttons throughout **Section 4** to upload documents that will be listed as Technical Materials, and separate buttons to add attachments to be included in the Appendix.

Section 5: Natural Resources

Identify if there were any significant impacts to natural resources. If there were, then the project cannot proceed as a Type 2 CE. If there were not, add the **NRE** as a Technical Material. Standard statements will be automatically generated in the form as applicable. Identify the presence of, and summarize the evaluation completed for the following resources including minimization and anticipated enhancements:

5.1 Protected Species and Habitat: Identify if protected species and habitat are present in the project area. Summarize involvement with protected species and habitat, including a brief description of the analysis, mitigation and results of agency coordination or consultation if applicable. A standard statement will be automatically generated in the form as applicable. For guidance see [Part 2, Chapter 16, Protected Species and Habitat](#). Add concurrence letters from resource agencies as attachments (if required) along with a species and habitat map as necessary.

5.2 Wetlands and Other Surface Waters: Summarize involvement with wetlands or other surface waters. If present, identify the type of wetlands and other surface waters involved, their approximate acreage, and their overall functional value based on the Uniform Mitigation Assessment Methodology (UMAM), as appropriate in the summary. A standard statement will be automatically generated in the form as applicable. If needed, add a map of the wetlands and surface waters identifying the location as they relate to the project. The level of detail of the summary should be sufficient to clearly define wetland involvement, describe interagency coordination, and finalize the findings. If wetland impacts are anticipated, include a brief discussion of potential mitigation options and a wetlands finding in the summary. See [Part 2, Chapter 9, Wetlands and Other Surface Waters](#) for further guidance.

5.3 Essential Fish Habitat: Summarize the potential impacts to Essential Fish Habitat (EFH). If present, identify if there is involvement or if there are adverse effects, provide a summary of the evaluation and consultation with the National Marine Fisheries Service (NMFS) for impacts as appropriate per [Part 2, Chapter 17, Essential Fish Habitat](#). A standard statement will be automatically generated in the form as applicable. Add EFH correspondence and/or meeting minutes with NMFS as an attachment, if applicable. If consultation is in progress and not yet completed, select “Consultation in Progress”, insert the type of EFH and Habitat Areas of Particular Concern (HAPCs) in the standard statement or reference a table, and briefly explain why consultation has not been completed.

5.4 Floodplains: Determine if the 100-year floodplain is present within the project and summarize the project involvement with the floodplain based on the results of the floodplain analysis in accordance with [Part 2, Chapter 13, Floodplains](#). [Part 2, Chapter 13, Floodplains](#) provides a list of summary statements that are appropriate for different scenarios. Provide a summary of the **Location Hydraulics Report (LHR)** and/or **Bridge Hydraulic Report (BHR)**, as applicable. Add the **LHR** and/or **BHR** as Technical Material and include a floodplains map as an attachment as necessary. If the project involves a regulatory floodway, summarize the project’s consistency with the floodway and coordination with Federal Emergency Management Agency (FEMA) and local floodway management agencies in accordance with [Part 2, Chapter 13, Floodplains](#). A standard statement will be automatically generated in the form as applicable. If floodplain impacts are anticipated, include the floodplains finding in the summary.

5.5 Sole Source Aquifer: Identify if a Sole Source Aquifer is present in the project area. For guidance on making this determination see [Part 2, Chapter 11, Water Resources](#). If a Sole Source Aquifer is present, select the aquifer name and add any sole source aquifer coordination letters as Technical Materials, if applicable. If a **Sole Source Aquifer EPA Concurrence Email** was received, add it as an attachment. If not present, a standard statement will be automatically generated in the form and a comment box is available to add additional information. Identify any recharge zones in the comment box.

5.6 Water Resources: Summarize water resource involvement or impacts as evaluated and documented in the **Pond Siting Report (PSR)**, **Conceptual Drainage Design Report**, or **Stormwater Management Alternatives Report (SMART)** in accordance with [Part 2, Chapter 11, Water Resources](#). Summarize best management practices (BMPs) which will be implemented to address potential water quality and stormwater impacts during construction. Add the **PSR** and other applicable technical reports as Technical Materials.

5.7 Aquatic Preserves: Identify if there are any Aquatic Preserves in the project area and if they will be impacted. Provide the name and potential involvement (i.e., water quality impacts, in water work, ROW needs) in the summary. Applicable standard statements will be automatically generated as the preparer answers questions related

to aquatic preserves in the form. If an aquatic preserve is present but will not be impacted, the applicable standard statement will prompt the preparer to enter the name of the aquatic preserve. For guidance see [Part 2, Chapter 11, Water Resources](#). Add any FDEP Aquatic Preserves coordination letters as attachments, if applicable and any other supporting documentation as Technical Materials.

5.8 Outstanding Florida Waters: Identify if there are any Outstanding Florida Waters in the project area and if present, provide the name and potential involvement (i.e., water quality impacts, in water work, ROW needs) in the summary. If Outstanding Florida Waters are not present, a standard statement will be automatically generated in the form. For guidance see [Part 2, Chapter 11, Water Resources](#).

5.9 Wild and Scenic Rivers: Identify if a protected river is present in the project area. If present, identify if the river is impacted or not impacted. If not impacted, identify if the river is a Federally Designated Wild and Scenic River, Study River, river on the Nationwide Rivers Inventory, or a Florida Wild and Scenic River. Applicable standard statements will be automatically generated as the preparer answers questions related to Wild and Scenic Rivers in the SWEPT form. Some of the standard statements will prompt the preparer to add the name of the protected river. In the comment box, identify the name of the river, include details to support the determination that impacts are not anticipated, and identify if there are any other protected rivers present in the project limits. If they are impacted, identify any Federally Designated Wild and Scenic Rivers, Study Rivers, rivers on the Nationwide Rivers Inventory, or Florida Wild and Scenic Rivers by name, and provide detail in the summary comment box. See [Part 2, Chapter 12, Wild and Scenic Rivers](#) for further guidance. Add any NPS letters, FDEP correspondence, or other coordination as Technical Materials, if applicable. Project involvement with a Florida Wild and Scenic River (Myakka River) is documented and any correspondence with FDEP is summarized, referenced as Technical Material, and saved in the SWEPT project file.

5.10 Coastal Barrier Resources: Identify if coastal barrier resources are present in the project area. A standard statement will be automatically generated in the form as applicable. If present, use the comment box to summarize impacts to Coastal Barrier Resources protected under the *Coastal Barrier Resources Act (CBRA)* and *Governor's Executive Order 81-105*. For guidance see [Part 2, Chapter 15, Coastal Barrier Resources](#). Briefly summarize the consultation process and final determination in the summary. Include any letters from the U.S. Fish and Wildlife Service (USFWS) concerning Coastal Barrier Resources as an attachment, if applicable.

The form then provides a button to add project commitments applicable to the topics in **Section 5**. There are also buttons throughout **Section 5** to upload documents that will be listed as Technical Materials, and separate buttons to add attachments to be included in the Appendix.

Section 6: Physical Resources

Identify if there were any significant impacts to physical topics/resources. If there were, then the project cannot proceed as a Type 2 CE. If not, summarize the evaluation completed for the following topics/resources including minimization and anticipated enhancements:

6.1 Highway Traffic Noise: Identify if it is a Type I or Type III project pursuant to **23 CFR Part 772** and **Section 335.17, F.S.** Standard statements will be automatically generated in the form as applicable. Summarize the results of noise impacts documented in the **Noise Study Report (NSR)**. The summary should include locations with the predicted noise impacts that have feasible and reasonable abatement, and locations with impacts that have no feasible or reasonable noise abatement alternative per [Part 2, Chapter 18, Highway Traffic Noise](#). Include the **NSR** as Technical Material and a noise contour map may be attached, if applicable.

6.2 Air Quality: Complete the automatically generated standard statement language as prompted. Identify if a screening model was performed, then summarize the potential project impact on air quality in accordance with [Part 2, Chapter 19, Air Quality](#). Add the **Air Quality Technical Memorandum** as Technical Material, if applicable.

6.3 Contamination: Identify if contamination is present within the project area. Standard statements will be automatically generated in the form as applicable. Summarize project involvement with contamination (based on Level I evaluation) in accordance with [Part 2, Chapter 20, Contamination](#). In the summary, include discussion of known or potentially contaminated sites within or near the project area, measures taken to avoid or minimize impact to contaminated sites, and sites that will require additional investigation (Level II or Level III investigation). Include the **Contamination Screening Evaluation Report (CSER)** as Technical Material and add a potential contamination site map as an attachment, if applicable.

6.4 Utilities and Railroads: Summarize the project's involvement with utilities and/or railroads in accordance with [Part 2, Chapter 21, Utilities and Railroads](#). Standard statements will be automatically generated in the form as applicable. Add any railroad coordination and/or **Utilities Assessment Package** as Technical Materials.

6.5 Construction: Summarize the measures that will be taken to minimize potential construction impacts in accordance with [Part 2, Chapter 3, Engineering Analysis](#).

At the end of **Section 6**, the form provides a button to add project commitments applicable to physical resource topics. Buttons are provided throughout **Section 6** to upload documents that will be listed as Technical Materials, as well as separate buttons to add attachments to be included in the Appendix.

Section 7: Engineering Analysis Support

A standard statement will be automatically generated in the form. If not previously included in the file, use the Find Technical Materials button in Section 7 to upload the document that includes the engineering analysis to support the Type 2 CE [e.g., **PER**, **Bridge Development Report (BDR)**, **Bridge Replacement Report (BRR)**] as Technical Material. If the document has already been uploaded, use the Find Technical Materials button to link the document to the Type 2 CE and complete the standard statement. For guidance on preparing the Engineering Analysis, see [Part 2, Chapter 3, Engineering Analysis](#).

Section 8: Permits

Identify if there are environmental permits anticipated for the project. If none are anticipated, a standard statement will be automatically generated in the form. If permits are needed, select the appropriate permit types listed on the form and indicate status (e.g., to be acquired, application submitted, permit received). Local or other permit types may also be added if needed for the project. Additional information regarding permits can also be documented in the comments box. For guidance on environmental permits see [Part 1, Chapter 12, Environmental Permits](#). This section is displayed in the Type 2 CE document as a list of permits that are anticipated for the project.

Section 9: Public Involvement

Add the **Public Involvement Plan (PIP)** as Technical Material. In cases where a **Community Awareness Plan (CAP)** was prepared, include a document explaining why a **CAP** was prepared instead of a **PIP**. Summarize the public involvement activities, including those outside of the public hearing (e.g., kickoff meetings, public information meetings, newsletters, flyers, and small group meetings). Identify if the project meets the definition of major transportation improvement pursuant to **Section 339.155(5), F.S.** and follow the form instructions to document the public hearing, or the process of providing opportunity for a public hearing. An option to add more than one public hearing is provided. The **Public Hearing Transcript** and **Public Hearing Certification, Form 650-050-56** should be added as attachments to be included in the Appendix, when applicable. Written statements from the public, both submitted at the public hearing or during the public hearing comment period, are included in the **Summary of Public Involvement (SPI)**. The draft Type 2 CE displayed for the public hearing should be saved as Technical Material. For more information on public involvement activities, see [Part 1, Chapter 11, Public Involvement](#).

The form then provides a button to add documents that will be listed as Technical Materials. There is also a separate button to add attachments to be included in the Appendix.

Section 10: Commitments

In the Commitments section of the form, add commitments not already included when preparing previous sections of the form. Refer to [Part 2, Chapter 22, Commitments](#) for guidance on commitments. The Type 2 CE document displays a list of commitments that were entered into the form in SWEPT. Commitments should be transmitted to the next phase of project development in accordance with [Procedure No. 650-000-003, Project Commitment Tracking](#).

Section 11 Technical Materials:

This section contains a list of Technical Materials that have been prepared to support the Type 2 CE. They were uploaded to the project file when preparing previous sections of the form. The project file should contain the analytical documentation to support the project decisions. Technical Materials are not attached to the approved Type 2 CE, instead they are referenced.

Attachments:

This section contains a list of documents that are incorporated into the Type 2 CE. They are included as attachments, and are added when preparing each section of the form. These documents are included in the Appendix which is generated upon completion and opening of the Type 2 CE document.

Once completed, the form is approved and electronically signed by the Director of OEM or designee. This signature appears on the Cover Page of the Type 2 CE document. Signing the Type 2 CE constitutes Location and Design Concept Acceptance (LDCA).