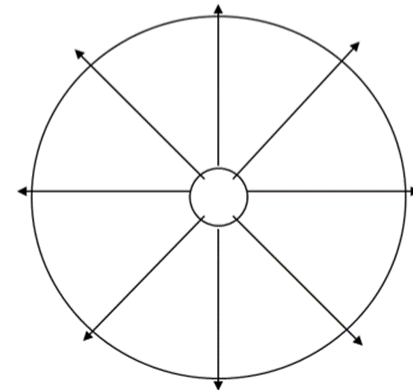
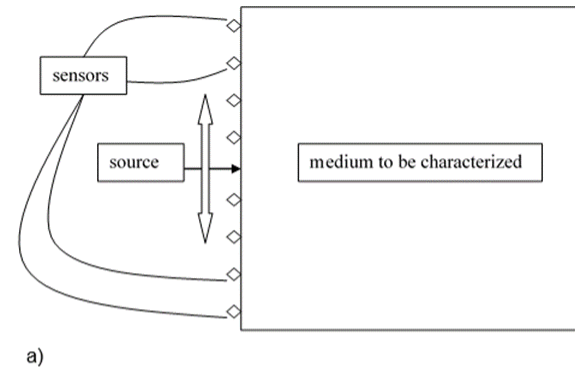


Implementation of Down-Hole Geophysical Testing for Rock Sockets

Dennis R. Hiltunen
University of Florida

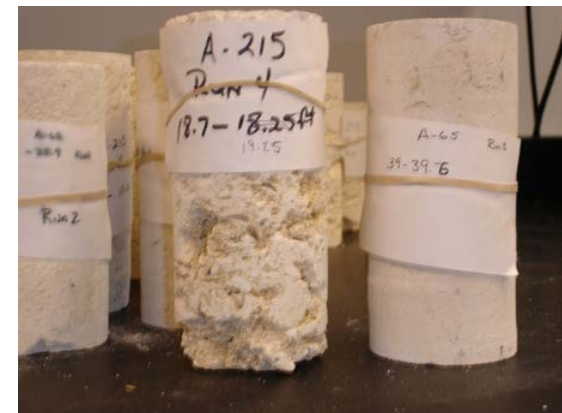
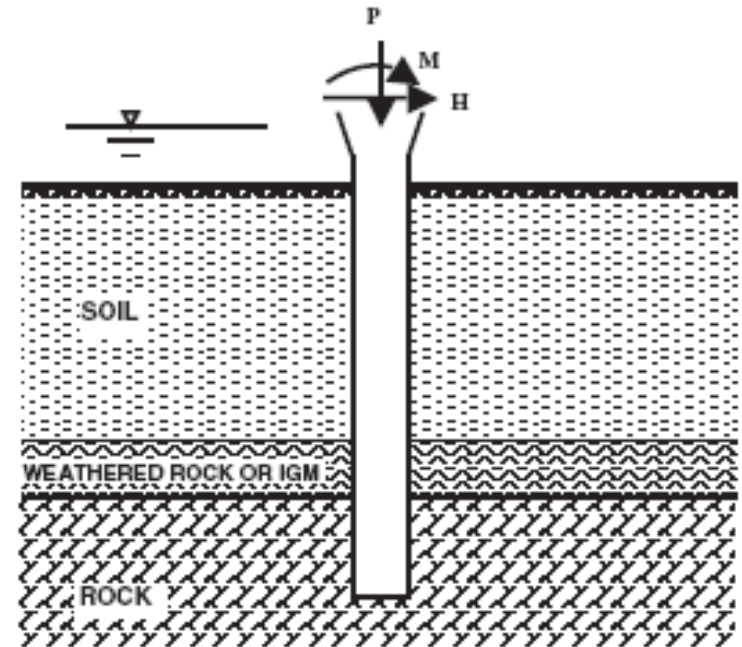
FDOT GRIP

August 21, 2015



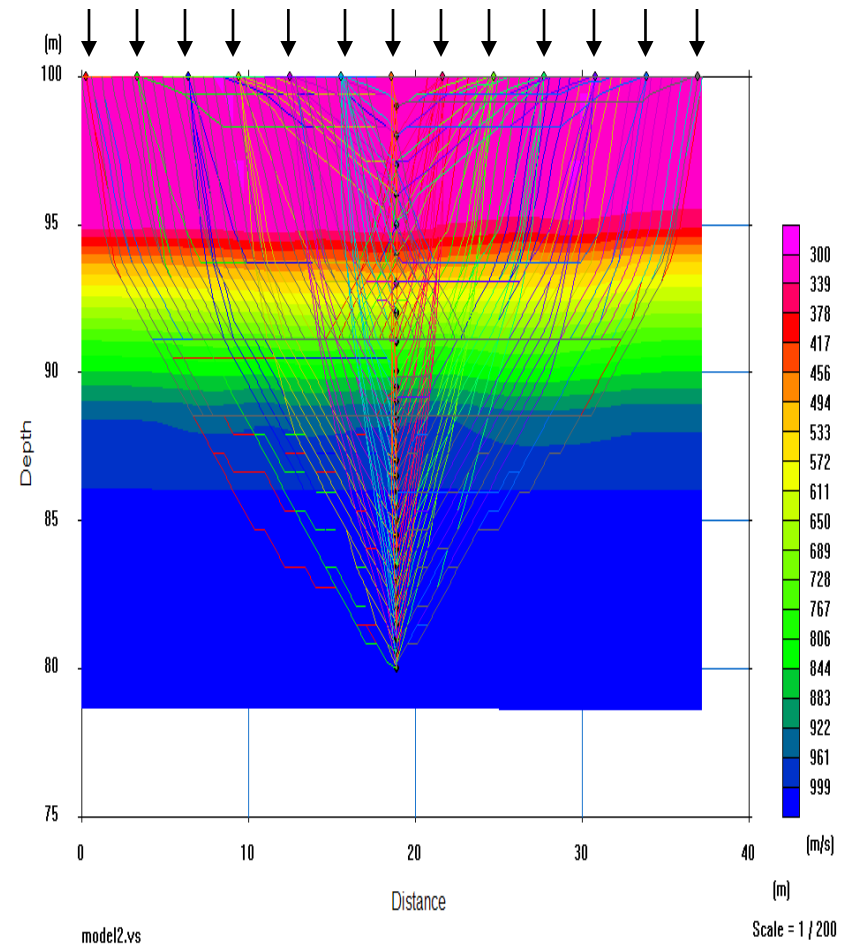
Geophysical Characterization of Rock Sockets

- Rock highly variable: extend characterization to ~5 ft laterally from borehole
- Develop geophysical technique to supplement boring cores and lab results
- Utilize only the one standard borehole
- Integrate with current boring, sampling, and testing tools



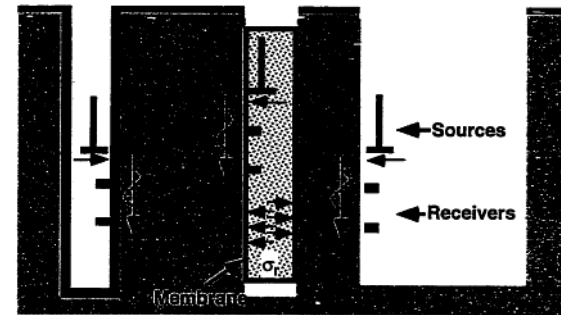
Surface/Borehole Travel Times

- Coupling of downhole and surface tomography
- Utilizes surface sources and surface and borehole receivers
- 2-D and 3-D variation
- Does not require long surface array
- Potentially good resolution at depth
- Can resolve low-velocity anomalies

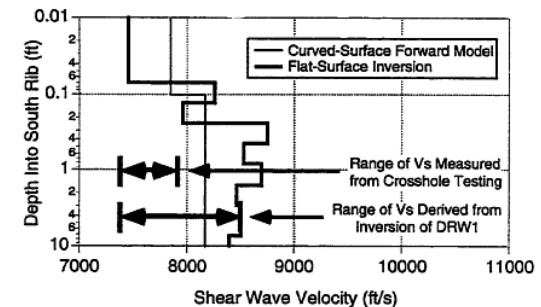
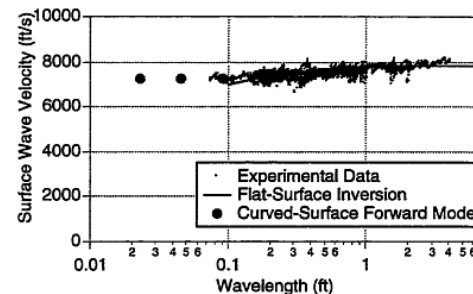
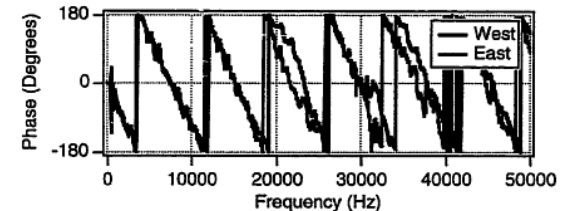


Kalinski (1998)

- University of Texas (Stokoe)
- SASW along axis of borehole (1-D)
- Concrete, rock, and soil
- Geometry-induced dispersion

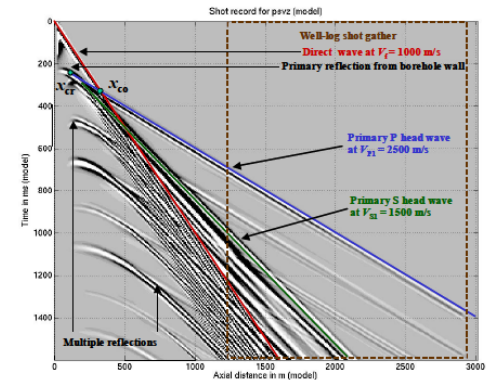
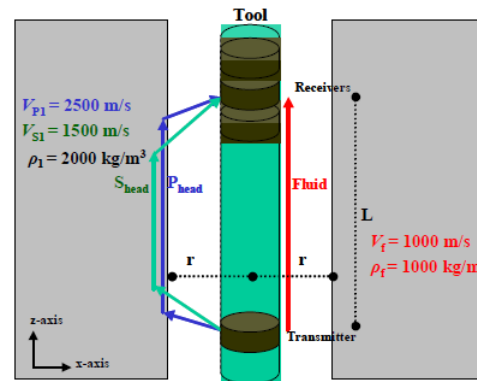
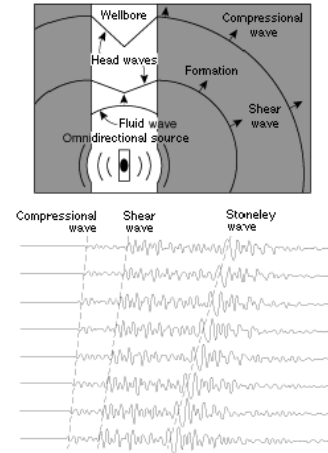
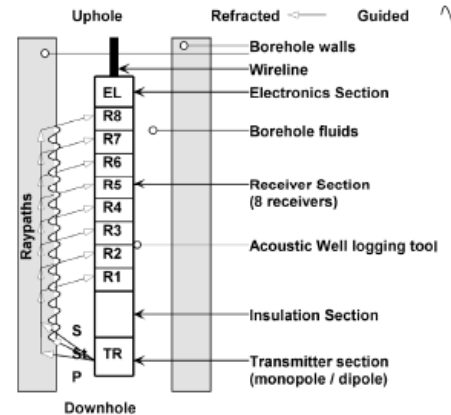


a) Cased Borehole b) Uncased Borehole (pressurized) c) Uncased Borehole (unpressurized)



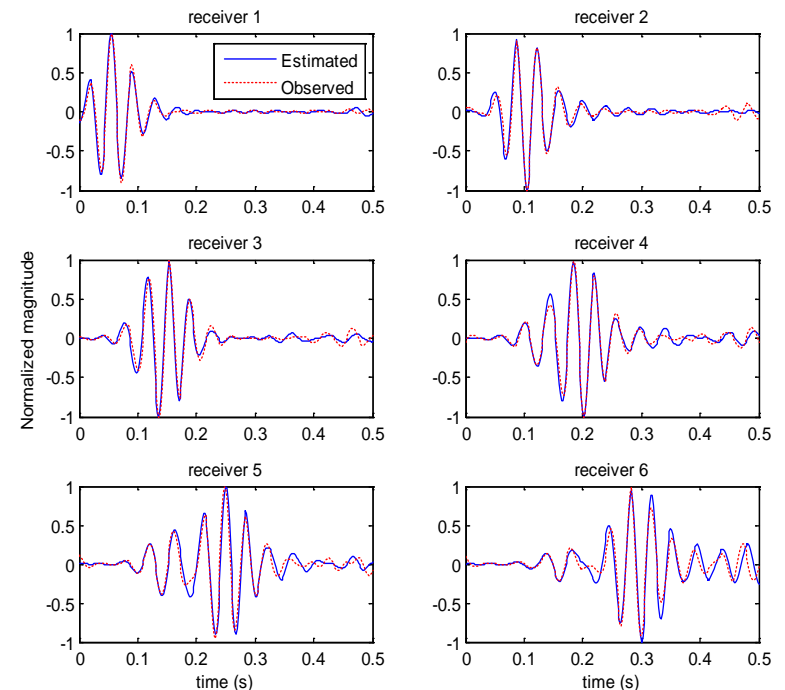
Chabot (2003)

- University of Calgary
- Sonic logging tool in fluid-filled borehole
- Seismic reflection-style processing
- Full waveform analysis of body waves (P and S)
- No surface waves

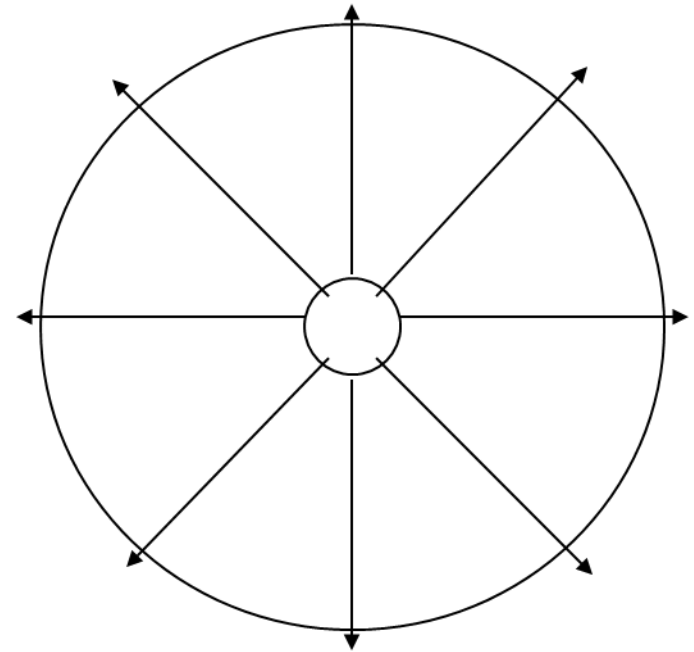
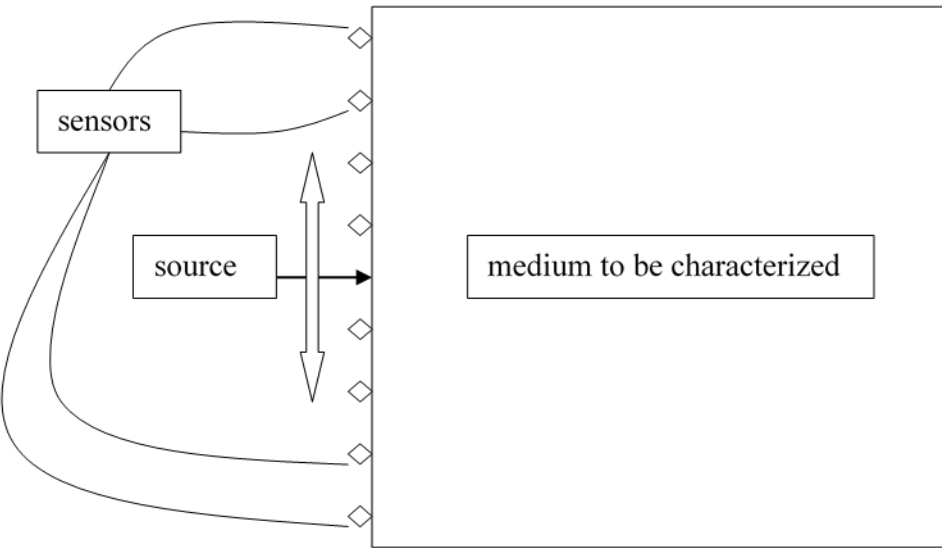


Full Waveform Inversion (FWI)

- Invert for model parameters by matching full waveforms
- Complex profiles create difficulties for traditional techniques (e.g, G, T, O’N, L)
- Studies have demonstrated improved resolution with FWI
- Advancements in wave propagation modeling, inversion algorithms, and computing have made this possible
- Have demonstrated for some challenging synthetic and field data sets



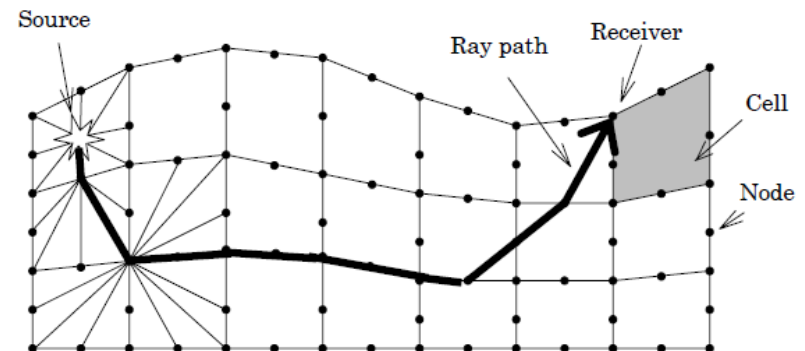
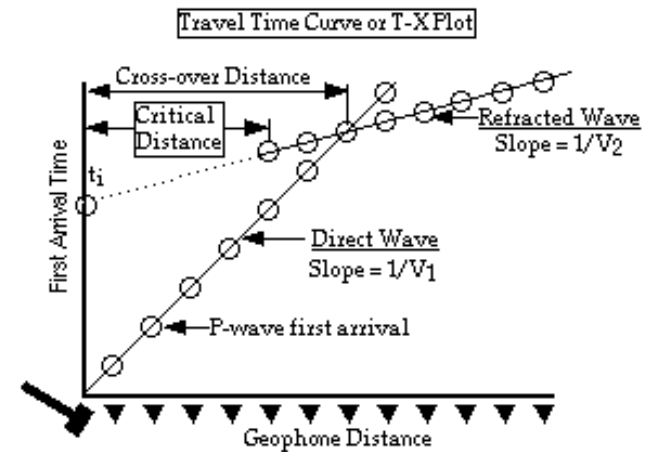
Borehole Tool Schematic



A joining of borehole instrumentation with full waveform inversion

Geophysics: Components

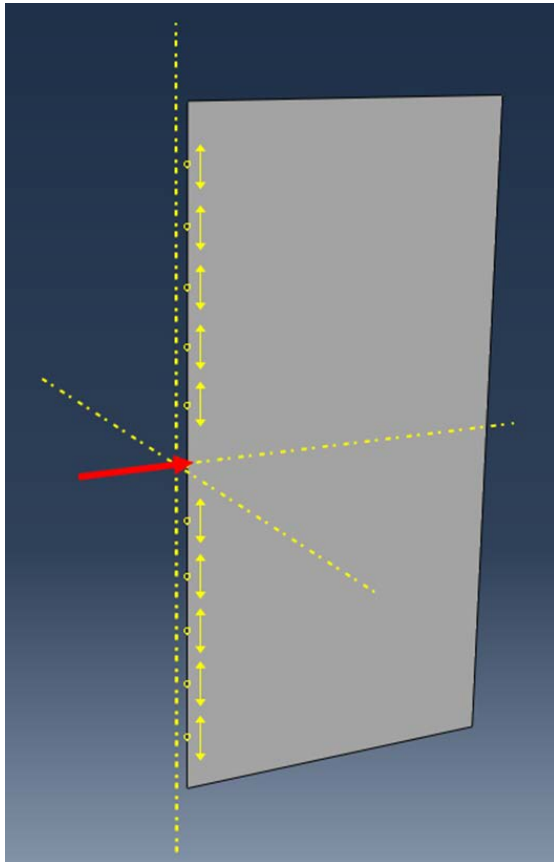
- **Measured data:**
Boundary response to source energy
- **Forward model:**
simulation of the experiment
- **Inversion algorithm:**
back calculate the model parameters of interest



Inversion Schematic

ABAQUS 2.5D FEM

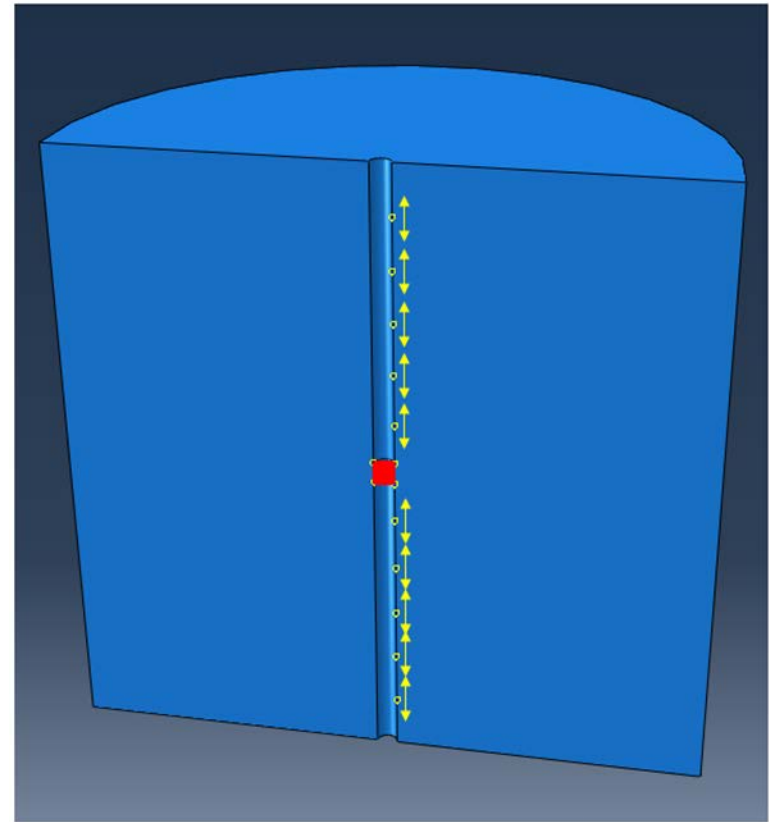
Regularized Gauss-Newton Method



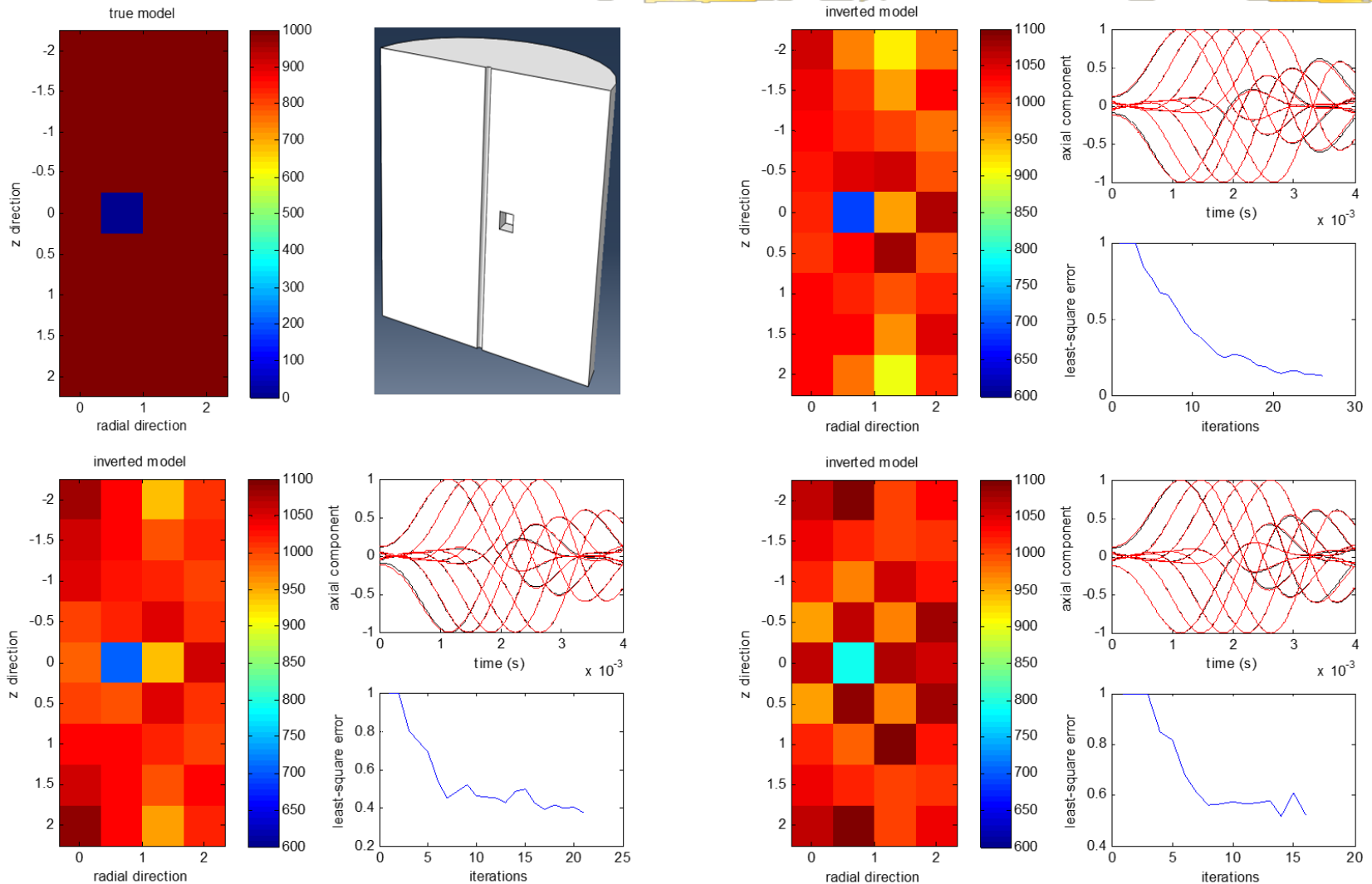
- Residual wave field: $\Delta \mathbf{d} = \mathbf{F}(\mathbf{m}) - \mathbf{d}$
- Least-squares error: $E(\mathbf{m}) = \frac{1}{2} \Delta \mathbf{d}' \Delta \mathbf{d}$
- Model updating: $\mathbf{m}^{n+1} = \mathbf{m}^n - \alpha^n [\mathbf{J}' \mathbf{J} + \lambda_1 \mathbf{P}' \mathbf{P} + \lambda_2 \mathbf{I}' \mathbf{I}]^{-1} \mathbf{J}' \Delta \mathbf{d},$
- Gradient matrix \mathbf{J} : $\mathbf{J} = \frac{\partial \mathbf{F}(\mathbf{m})}{\partial m_p}$
- Step length: $\alpha^n \cong \frac{[\mathbf{J}' \mathbf{g}^n]' [\mathbf{F}(\mathbf{m}^n) - \mathbf{d}]}{[\mathbf{J}' \mathbf{g}^n]' [\mathbf{J}' \mathbf{g}^n]},$
 $\mathbf{g}^n = [\mathbf{J}' \mathbf{J} + \lambda_1 \mathbf{P}' \mathbf{P} + \lambda_2 \mathbf{I}' \mathbf{I}]^{-1} \mathbf{J}' [\mathbf{F}(\mathbf{m}^n) - \mathbf{d}].$

Synthetic Models: Isolated Anomaly

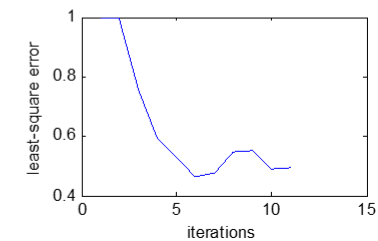
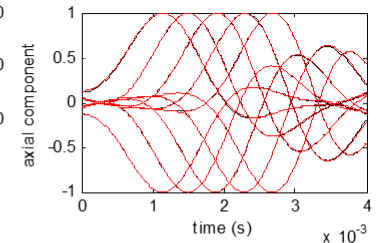
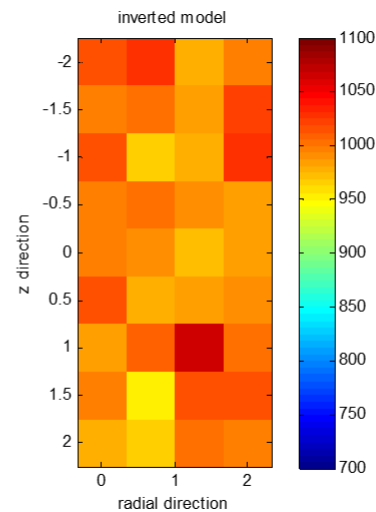
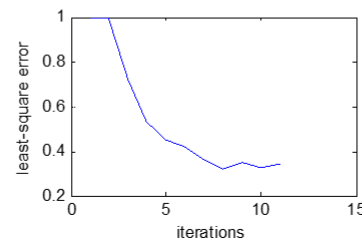
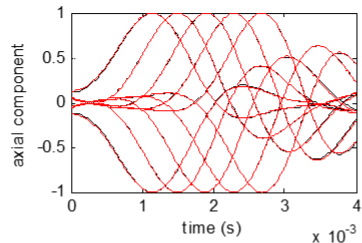
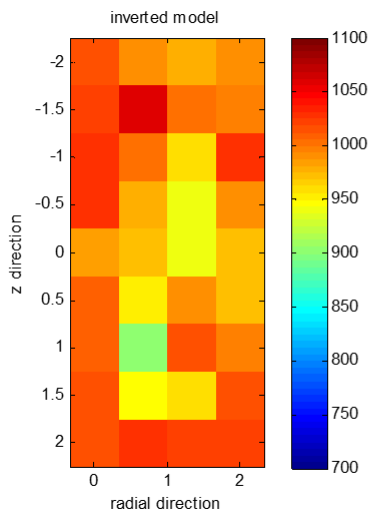
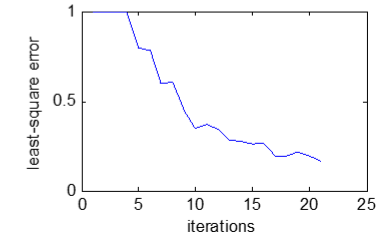
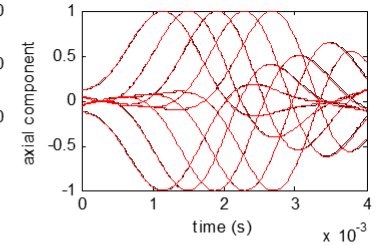
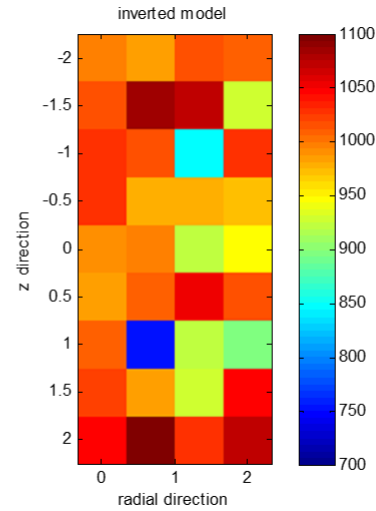
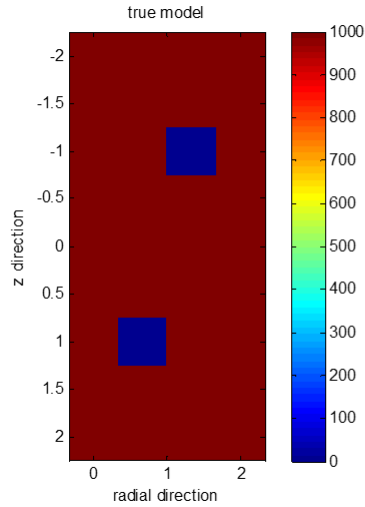
- **Goal**: use 2.5D approximation to find indications of isolated anomalies in 3D space
- **Data**: generated from 3D model with isolated cavities
- **Forward model**: 2.5D axisymmetric borehole
- **Inversion**: regularized GN method coupled with multi-scale strategies



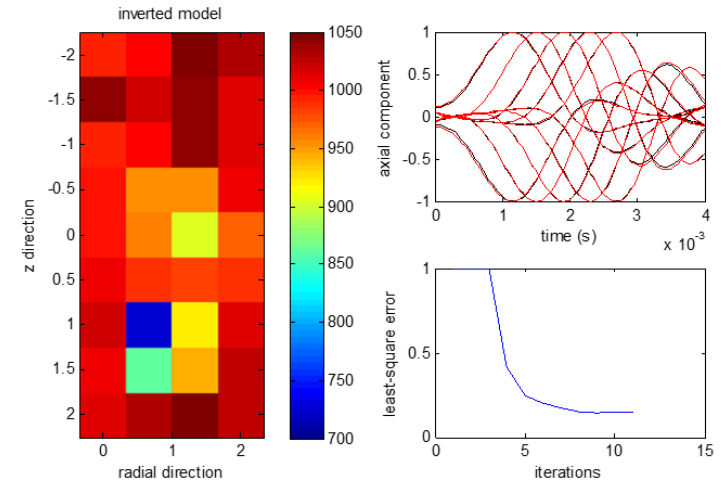
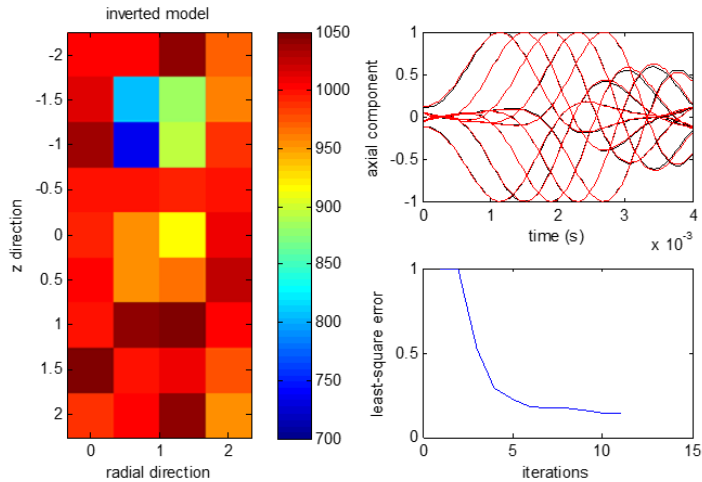
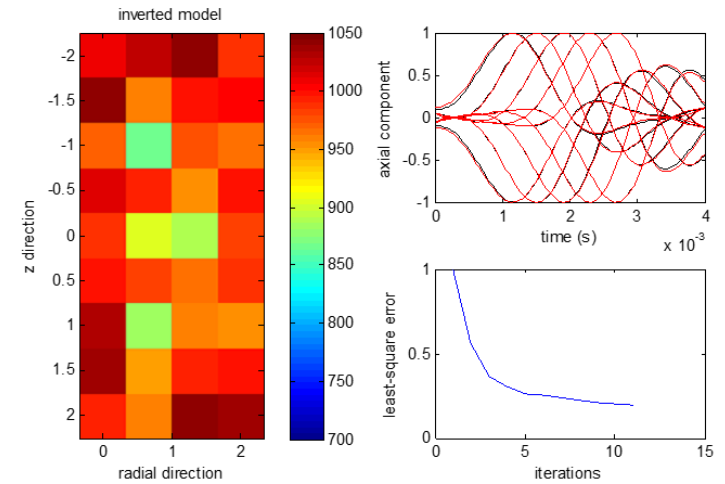
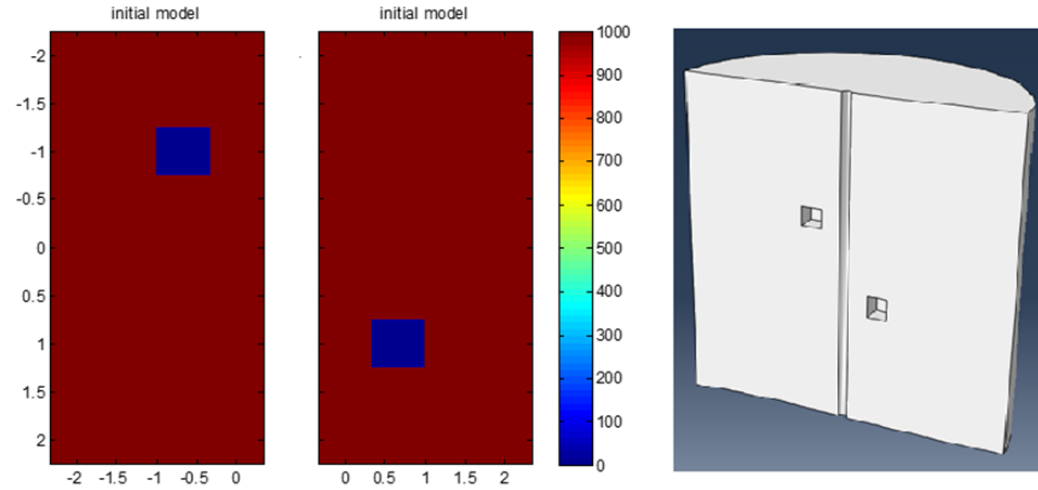
Isolated Anomaly in Homogeneous



Two Anomalies Same Side



Two Anomalies Opposite Sides



Contributions



- **Forward model**: The research investigated the problem of wave propagation inside a cylindrical borehole, and formulated an efficient forward model that is capable of generating synthetic seismograms.
- **Inversion**: The research established a robust nonlinear optimization technique, coupling ABAQUS with MATLAB, for solving general seismic inverse problems.
- **Borehole imaging**: The research made a first attempt to characterize spatial variations of rock sockets by imaging the S-wave velocity profiles using only one borehole.

Future



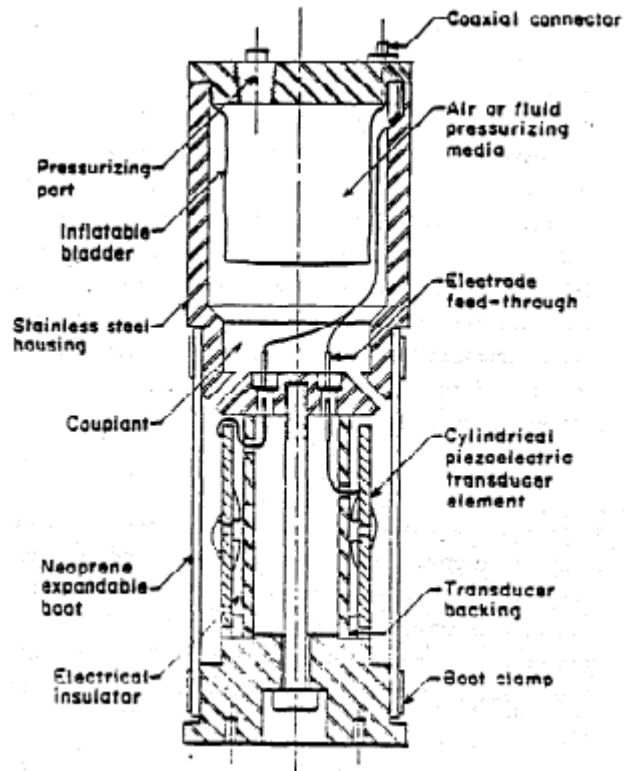
- **An inhole characterization tool that is able to generate and collect full waveforms inside a borehole needs to be developed.**
- **The proposed imaging technique must be validated via small-scale physical modeling followed by field applications.**
- **The inversion scheme needs further development. For example, inversion of multiple shot gathers in parallel and multi-variable inversion (V_p and V_s).**
- **3D full waveform inversion within a borehole.**

Workplan

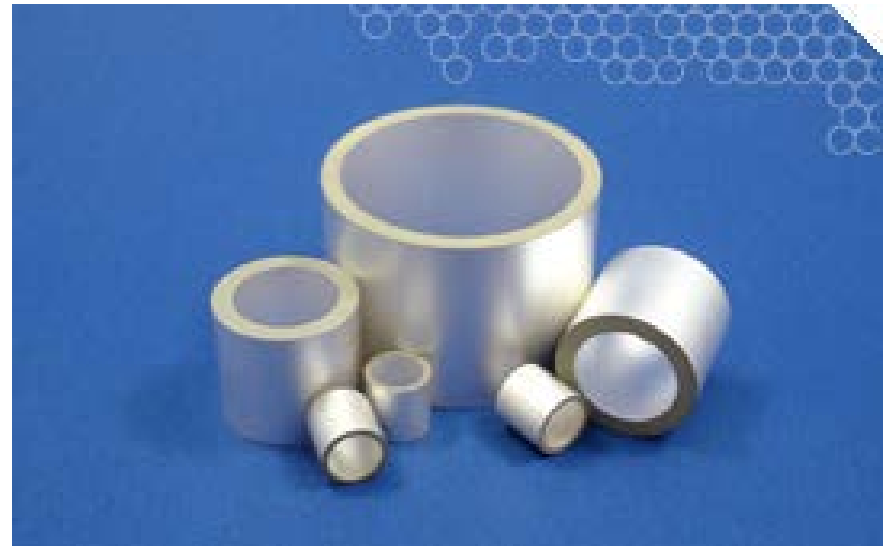


- **Task 1: Borehole Instrument**
 - Source for generating seismic (mechanical) waves
 - Receiver array for capturing the wavefield
- **Task 2: Inversion Software**
 - ABAQUS forward model
 - Stand-alone forward model for borehole geometry
 - Artificial neural network (ANN) trained by ABAQUS
- **Task 3: Validation Experiments**
 - Large laboratory block of synthetic limerock
 - Newberry and Kanapaha test sites
- **Task 4: Report**

Piezoelectric Borehole Source



Thill (1978)

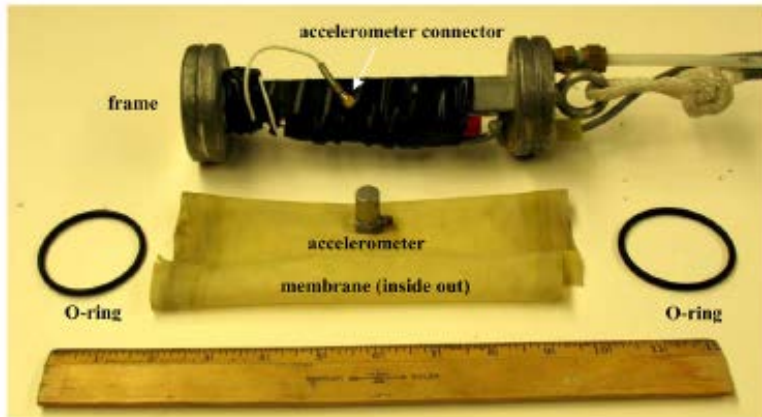


Piezoelectric Tube

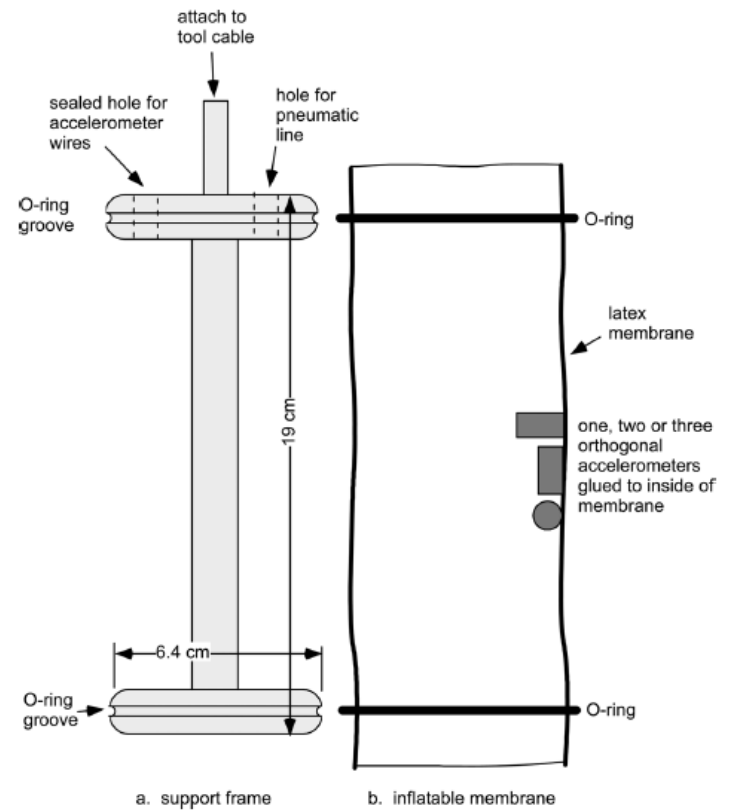
Kalinski Borehole Receiver



a. assembled view



b. exploded view

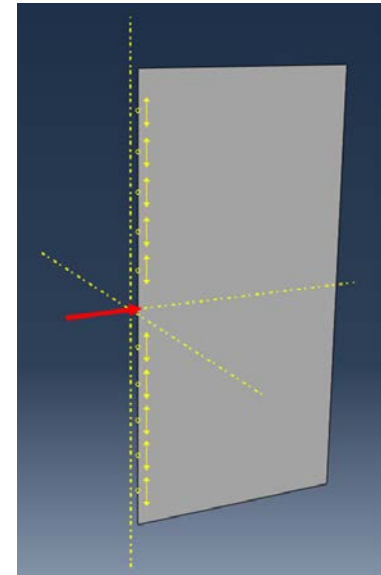


a. support frame

b. inflatable membrane

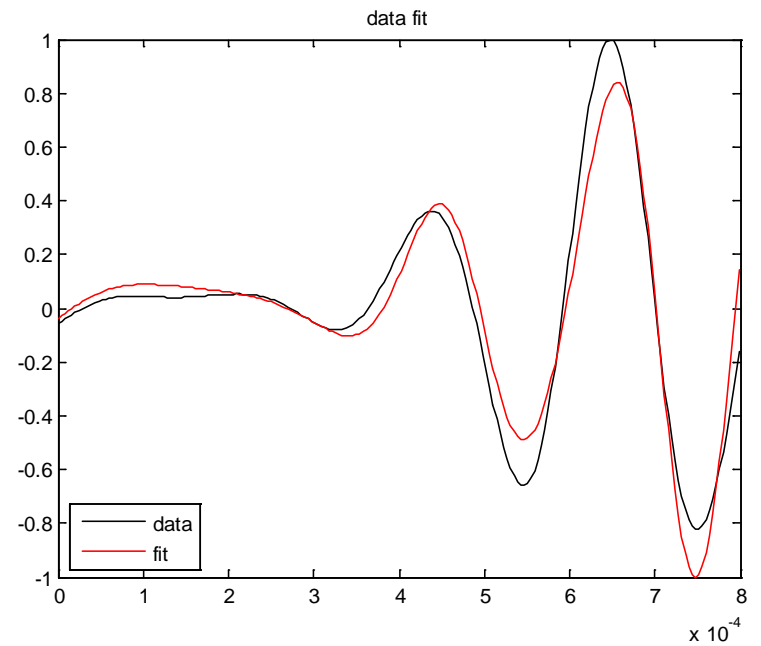
Inversion Software

- **ABAQUS 2.5D FEM and regularized Gauss-Newton method**
- **Improved FEM mesh and streamlined inversion code**
- **Open-source FEM: building model in OpenSEES**
- **Will also investigate borehole FD and ANN trained via FEM**



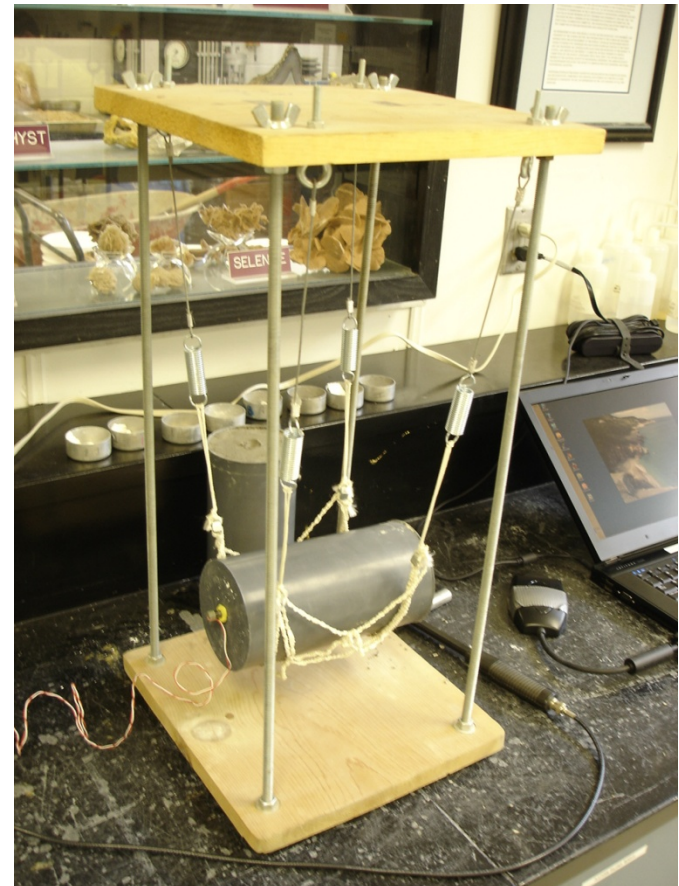
- Residual wave field: $\Delta \mathbf{d} = \mathbf{F}(\mathbf{m}) - \mathbf{d}$
- Least-squares error: $E(\mathbf{m}) = \frac{1}{2} \Delta \mathbf{d}^T \Delta \mathbf{d}$
- Model updating: $\mathbf{m}^{n+1} = \mathbf{m}^n - \alpha^n [\mathbf{J}^T \mathbf{J} + \lambda_1 \mathbf{P}^T \mathbf{P} + \lambda_2 \mathbf{I}]^{-1} \mathbf{J}^T \Delta \mathbf{d}$,
- Gradient matrix \mathbf{J} : $\mathbf{J} = \frac{\partial \mathbf{F}(\mathbf{m})}{\partial \mathbf{m}_p}$
- Step length: $\alpha^n \cong \frac{[\mathbf{J}^T \mathbf{g}^n]^T [\mathbf{F}(\mathbf{m}^n) - \mathbf{d}]}{[\mathbf{J}^T \mathbf{g}^n]^T [\mathbf{J}^T \mathbf{g}^n]}$,
 $\mathbf{g}^n = [\mathbf{J}^T \mathbf{J} + \lambda_1 \mathbf{P}^T \mathbf{P} + \lambda_2 \mathbf{I}]^{-1} \mathbf{J}^T [\mathbf{F}(\mathbf{m}^n) - \mathbf{d}]$.

Synthetic Limerock Specimen



Synthetic Limerock Specimen

- Preliminary results
- $V_s = 935$ m/s from FWI
- Free-free resonant column tests
 - $V_p = 1500$ m/s
 - Poisson's ratio = 0.2
 - Thus $V_s = 890$ m/s

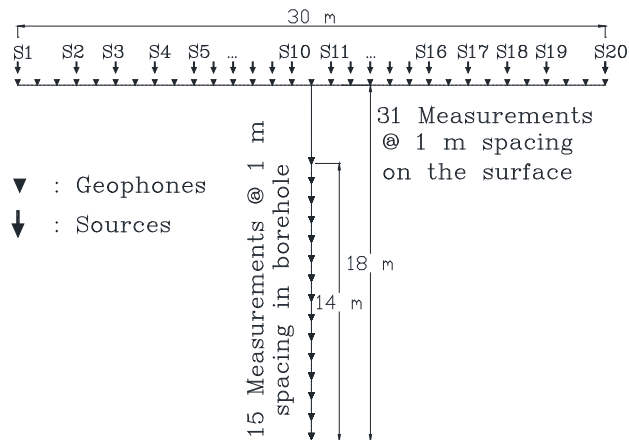
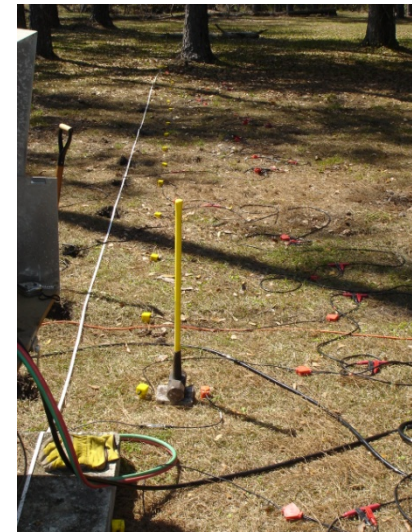


Thank You!

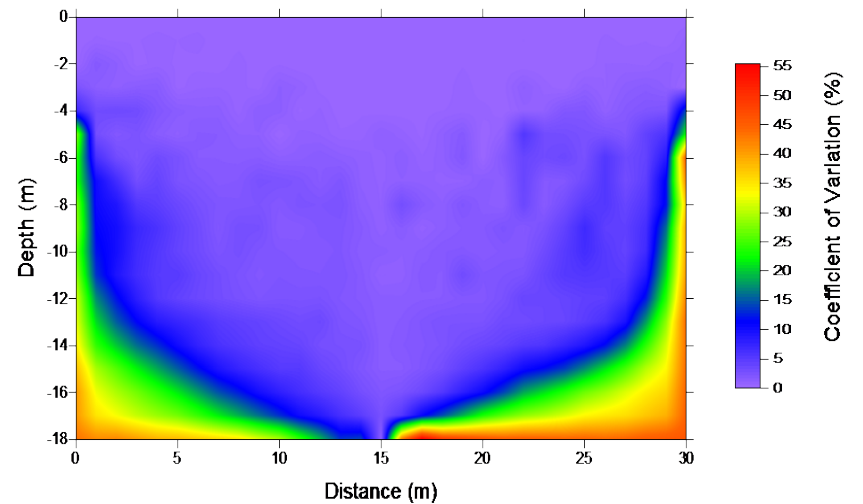
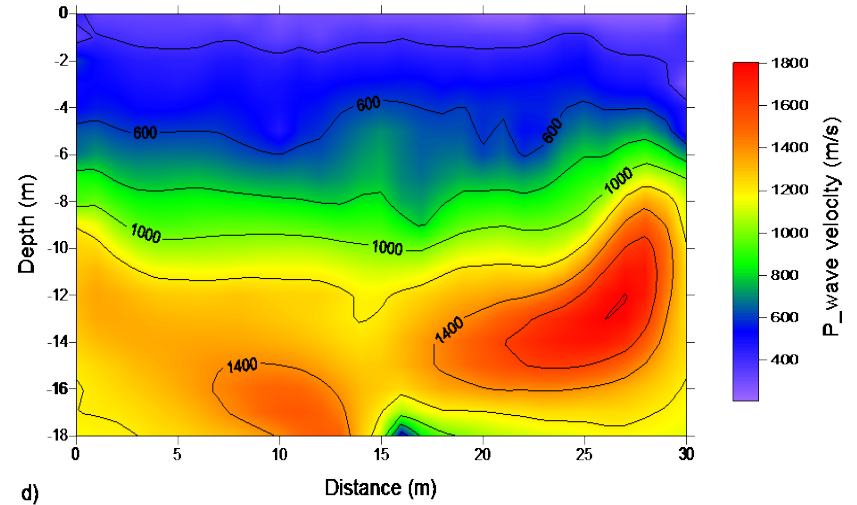
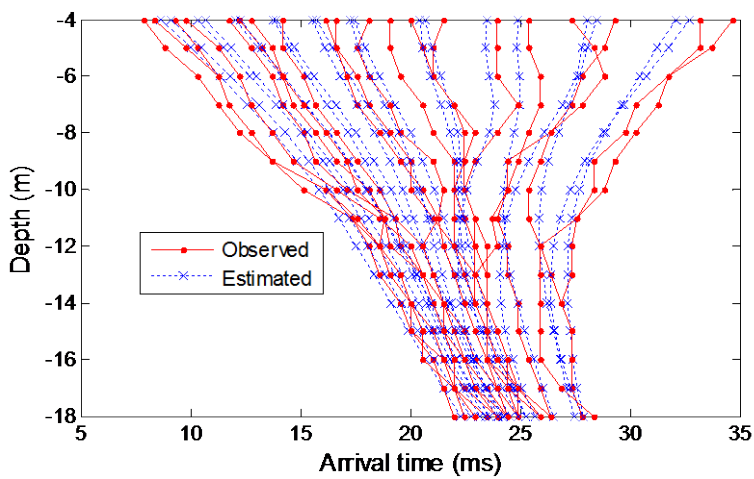
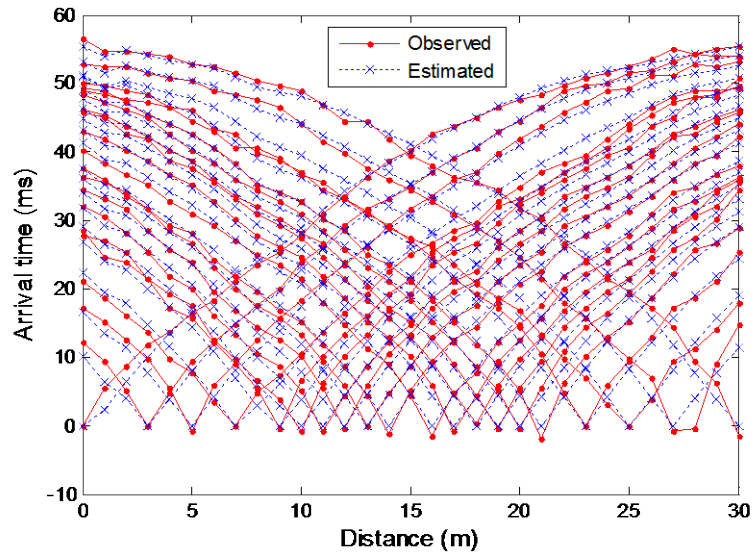


Ft. McCoy

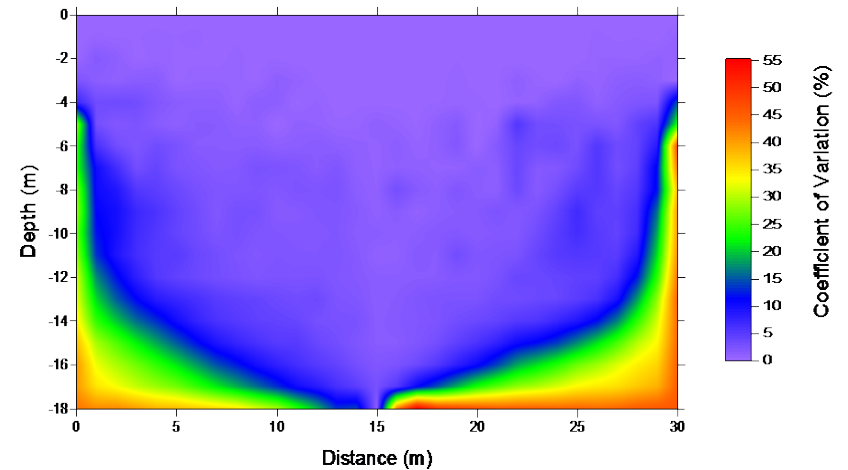
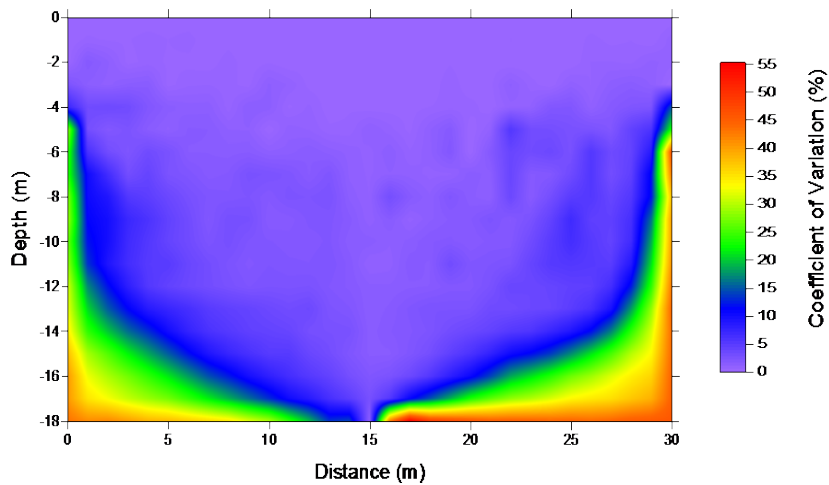
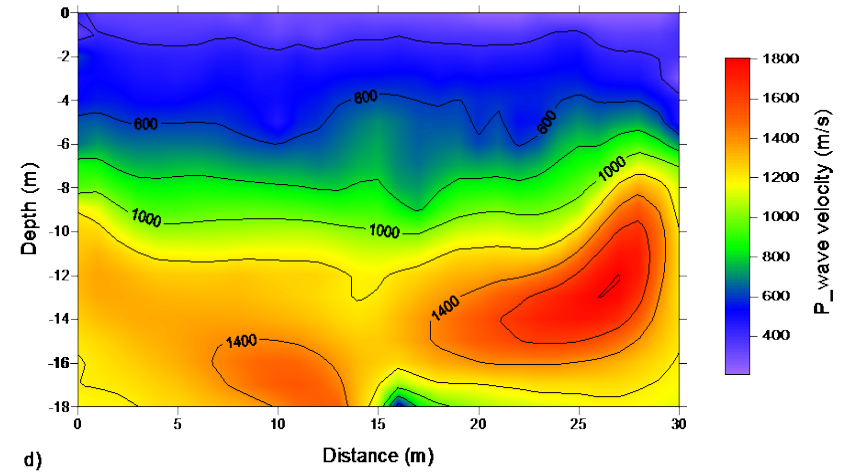
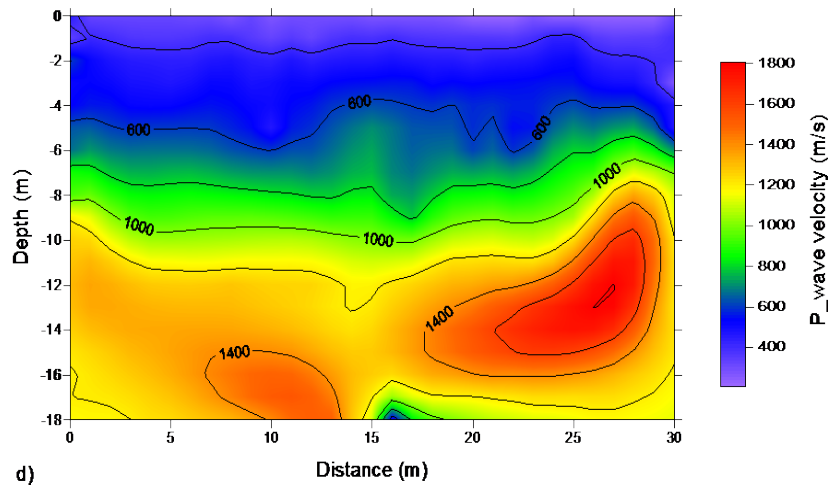
- **Surface array: 31 geophones at 1-m spacing**
- **Borehole array: geophones 4-18 m at 1-m spacing**
- **Sledgehammer source: 1,2,3,4,5,6,8,10,12,15 m each side of well**



Ft. McCoy

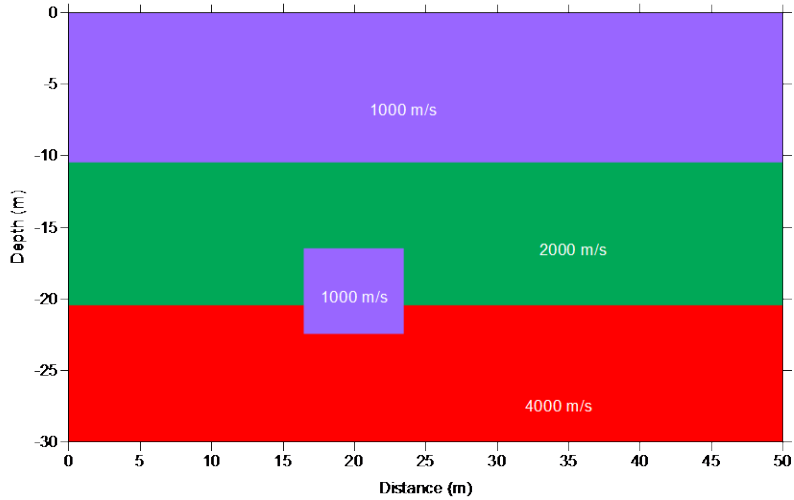


Surface Only vs. Add Borehole

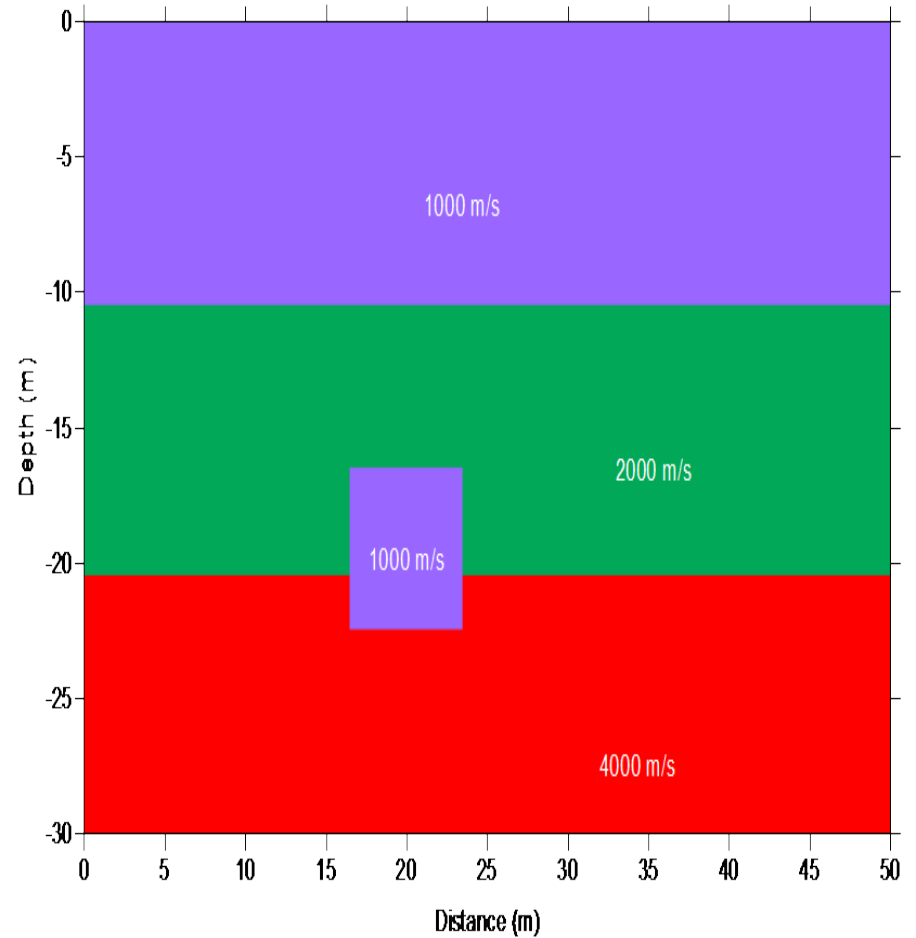


Synthetic Model with Anomaly

a)



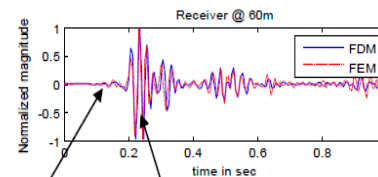
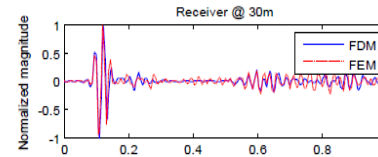
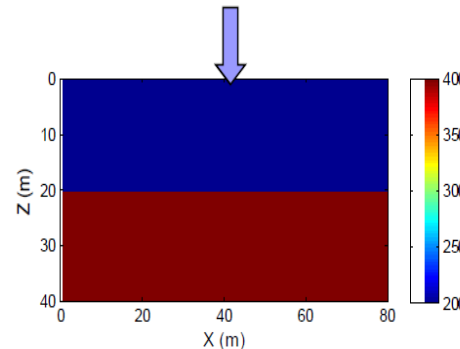
a)



FWI Modeling

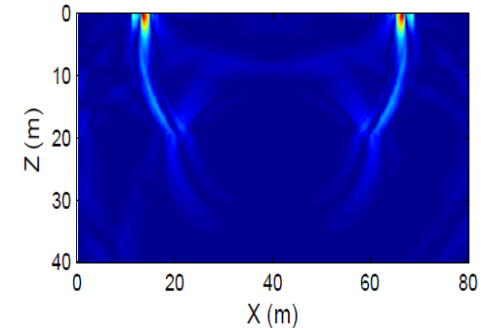
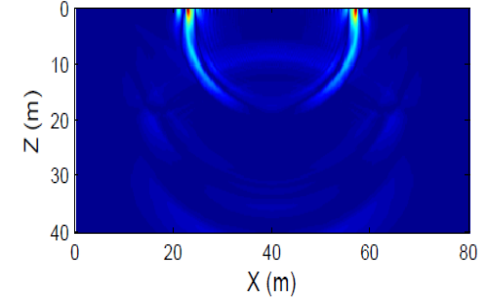
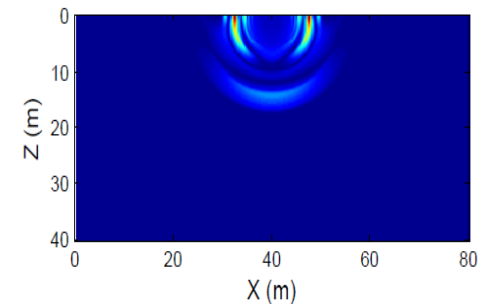
- Full waveforms via 2D, plane-strain finite difference (FD) solution:
- Or via commercial FEM solutions
- Absorbing boundaries
- Have used GA, SA, and Gauss-Newton inversion algorithms
- Solutions are feasible for MATLAB implementations on standard laptops

➤ Forward modeling

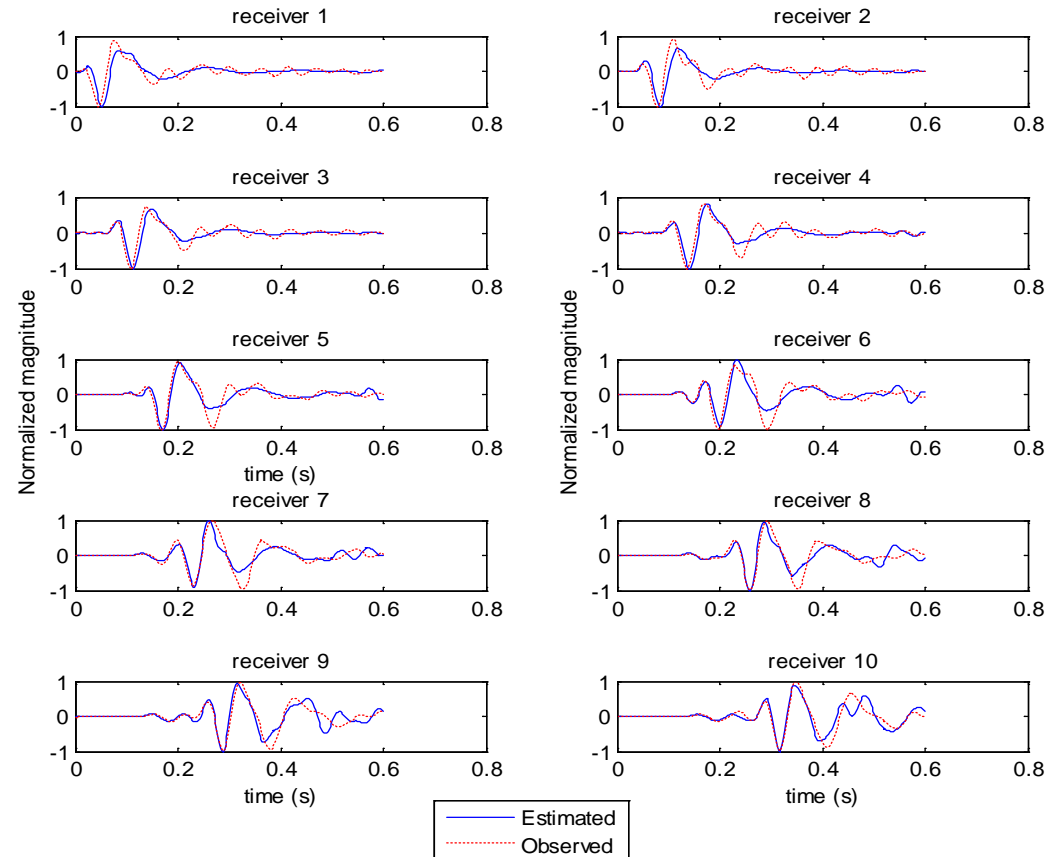
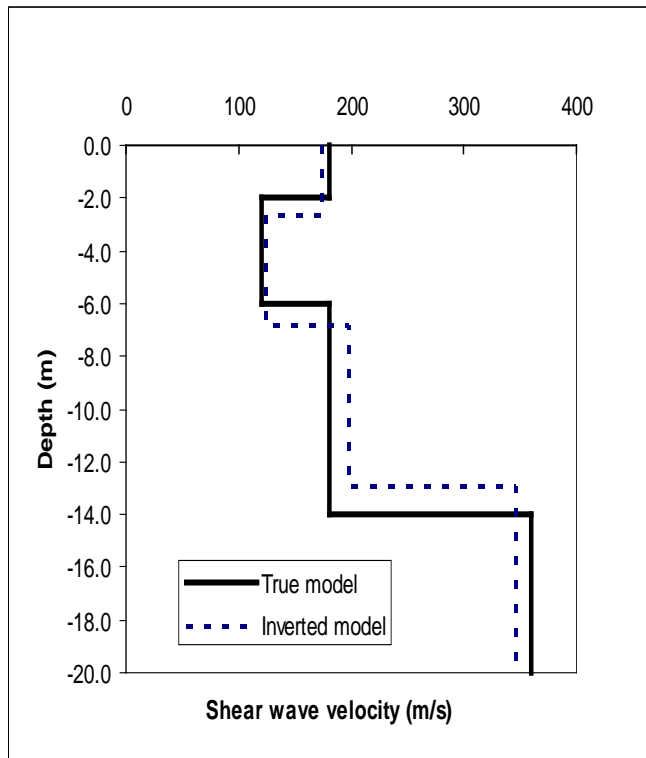


P-wave

Shear-wave and Rayleigh wave

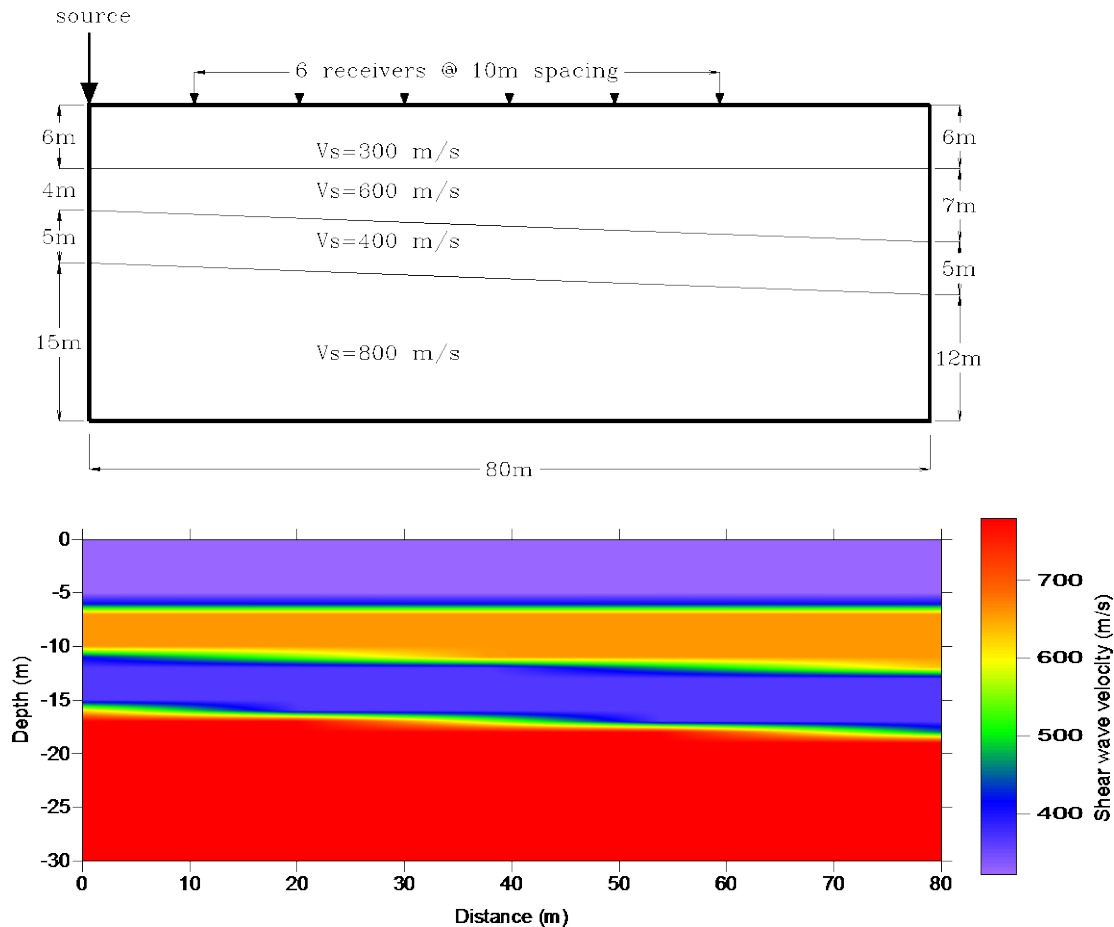


Tokimatsu 1D LVL: GA and SA



Algorithm parameters, parameter ranges, # of layers, uniform velocity

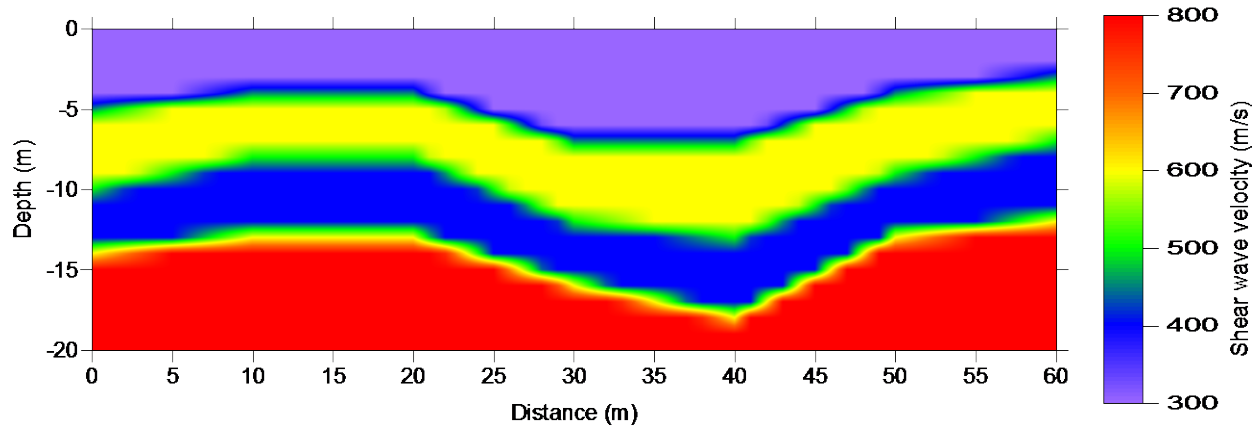
Tran Linear 2D HVL: Plaxis and SA



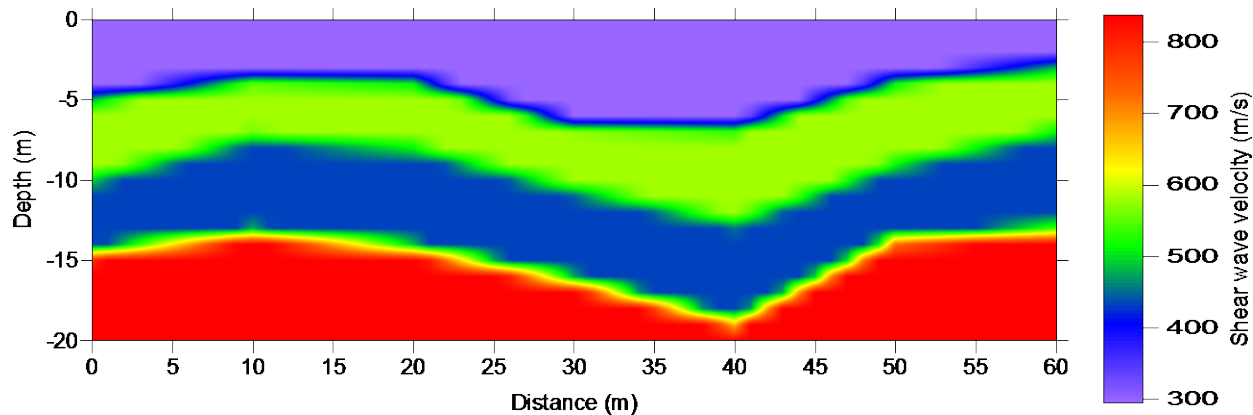
Algorithm parameters, parameter ranges, # of layers, uniform velocity

Tran Multi-Linear 2D LVL: FD & SA

a)

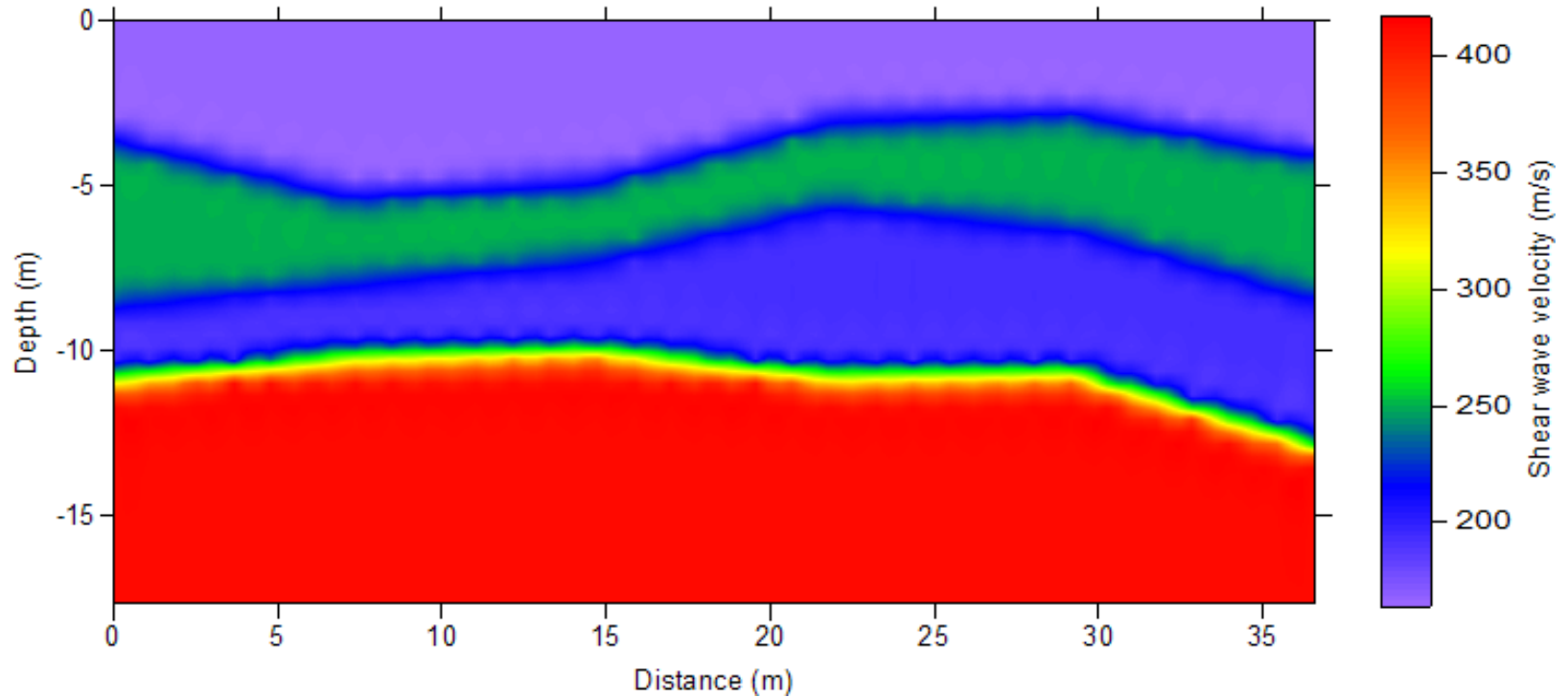


b)

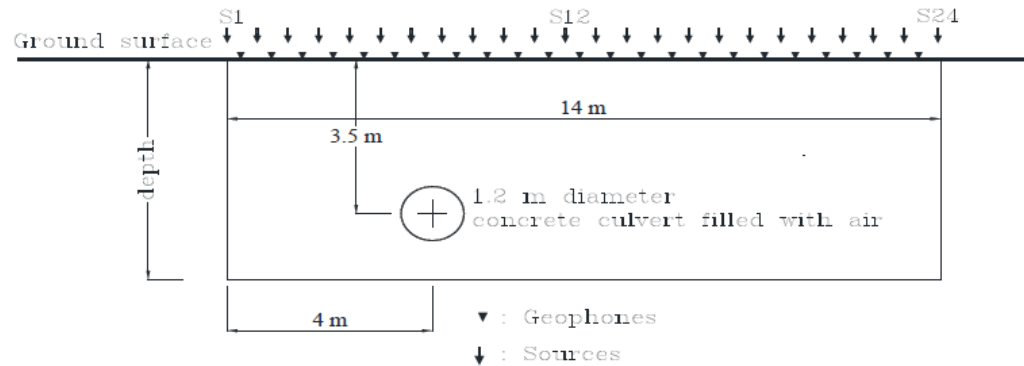


Algorithm parameters, parameter ranges, # of layers, uniform velocity

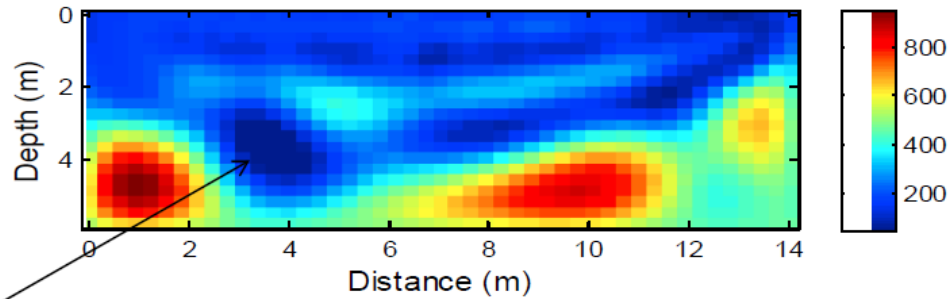
Full Waveform: Seg 2D at TAMU



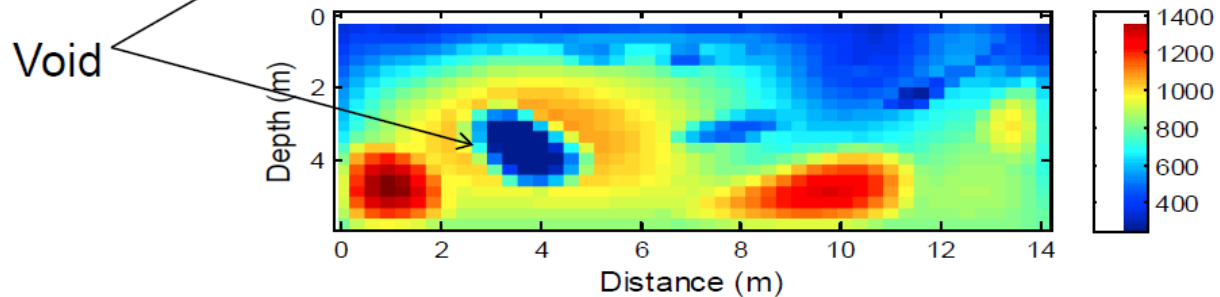
Tran Cell-By-Cell



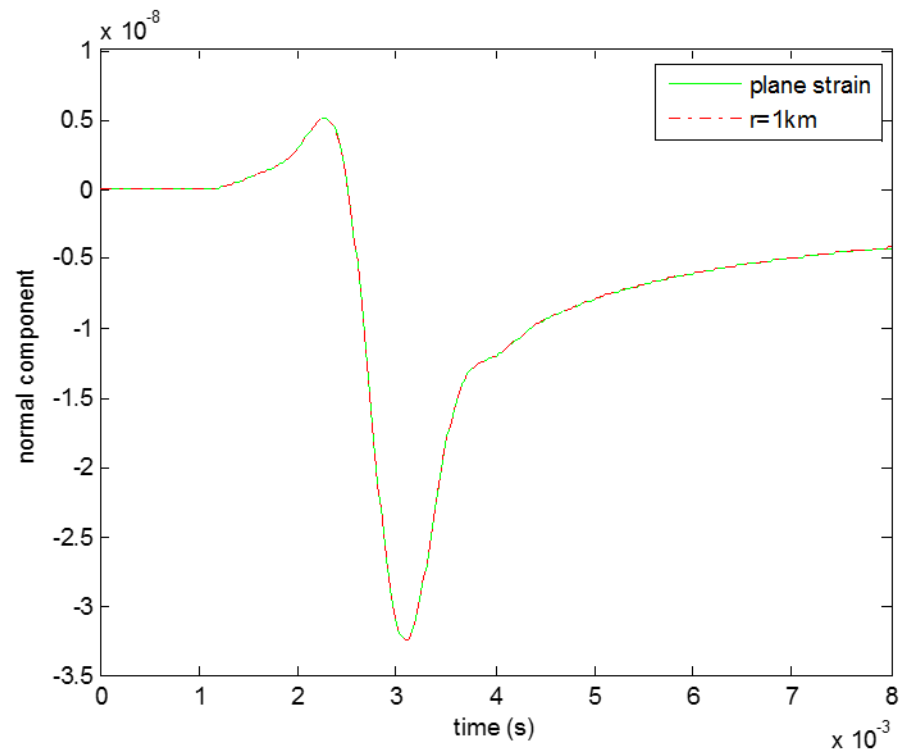
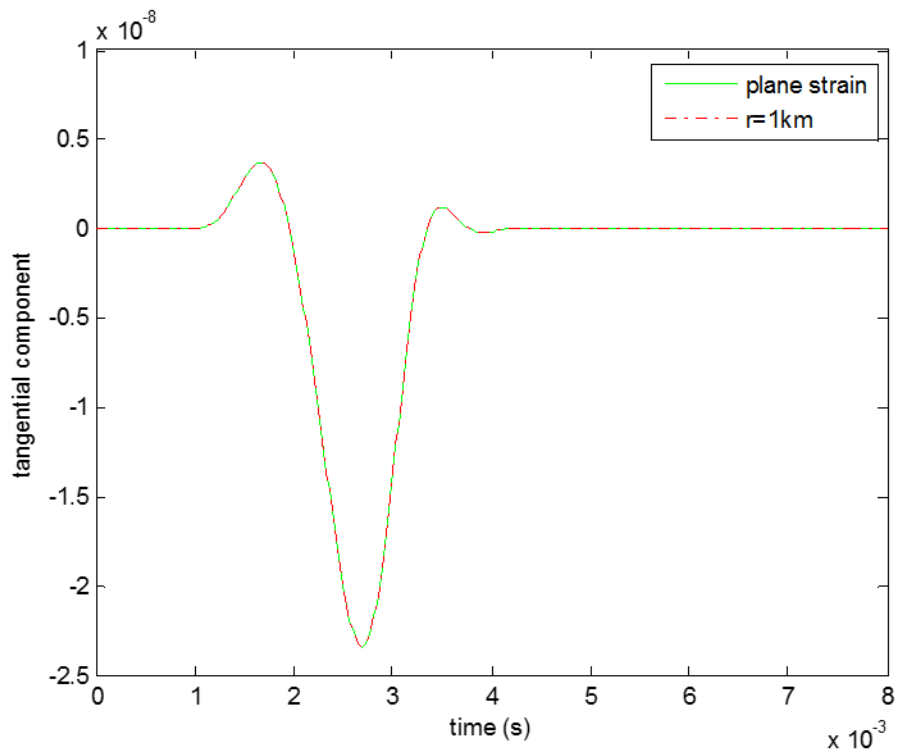
S-Wave



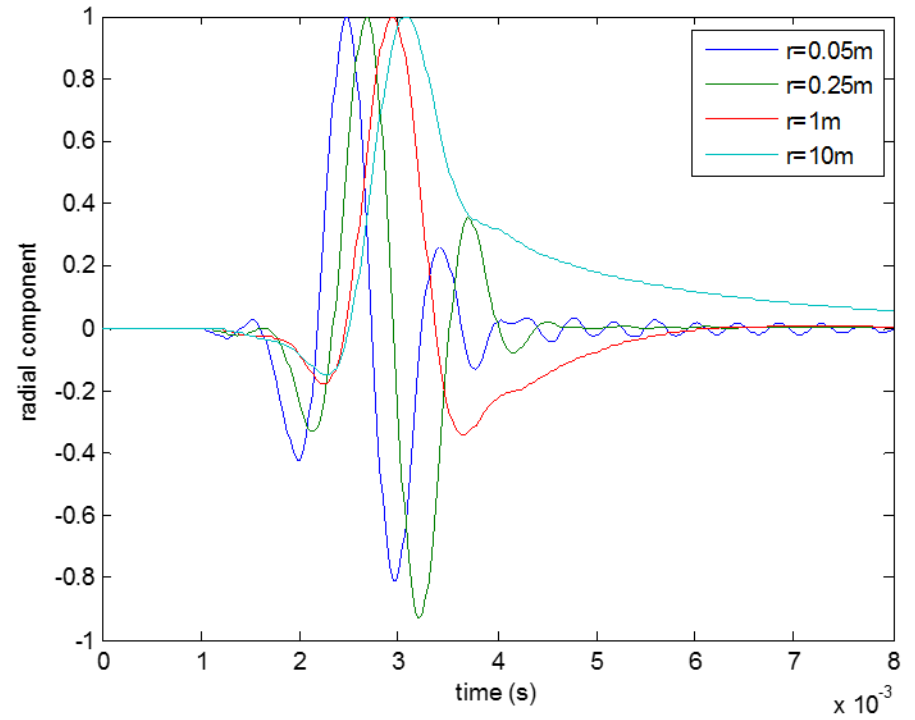
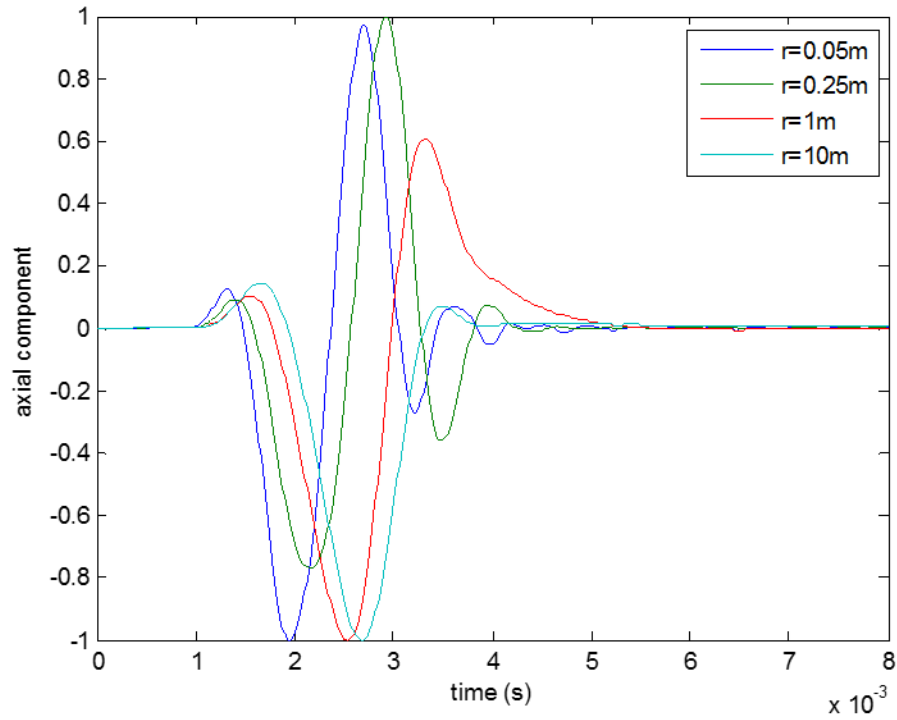
P-Wave



Forward Model Validation

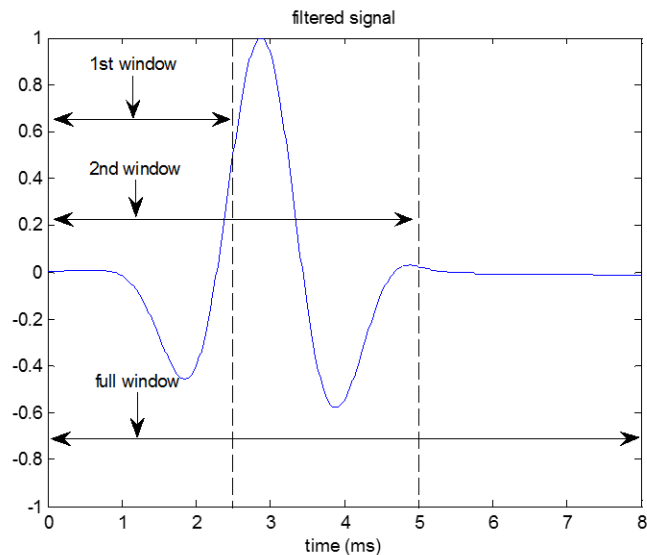


Forward Model Validation

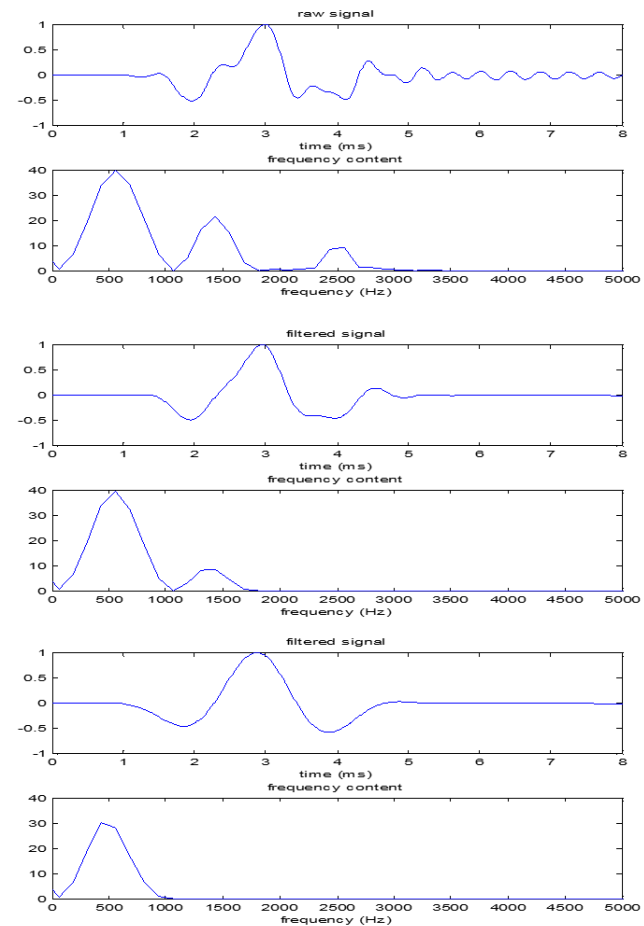


Multi-Scale Strategies

- Utilized to reduce nonlinearity of the inverse problem
- Time windowing

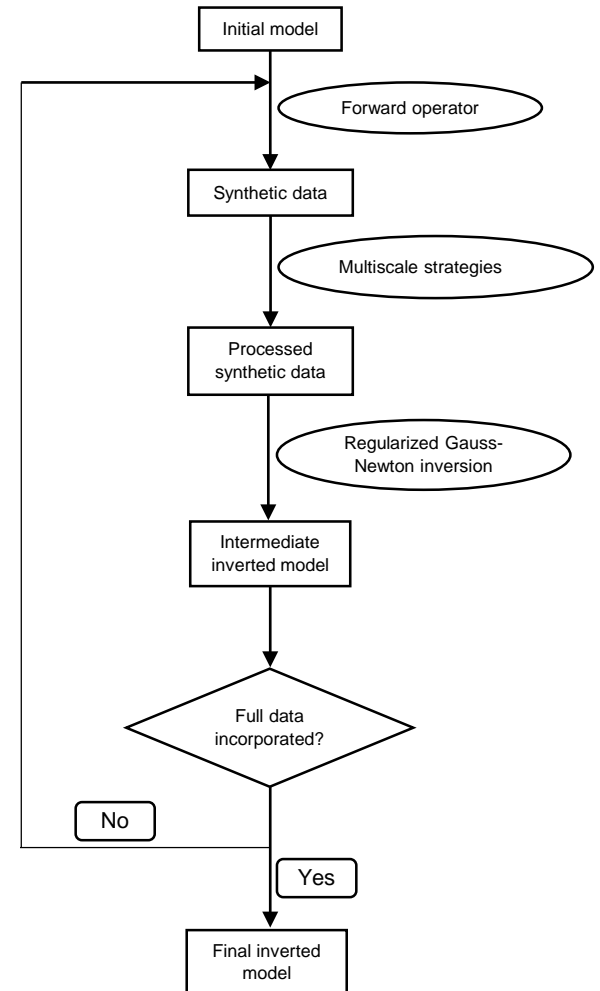


- Frequency filtering



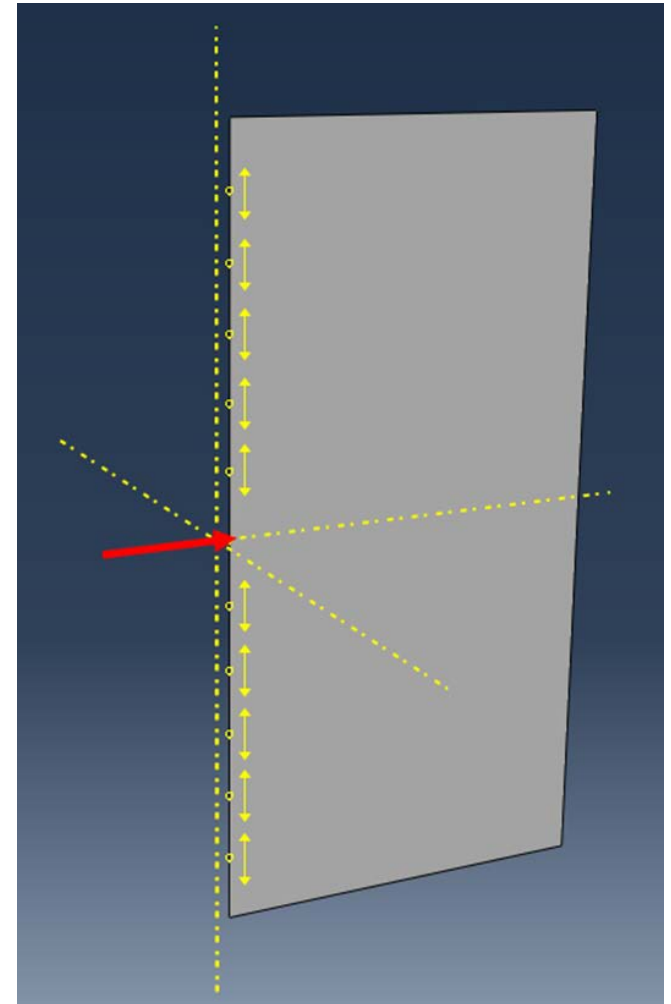
Inversion Flowchart

- **Select an initial model and generate synthetic waveforms**
- **Process data and synthetics with multi-scale approach**
- **Invert data via regularized Gauss-Newton method**
- **Use the last updated model as initial model for the next update**
- **Repeat 2-4 until full data set is incorporated in the inversion**
- **Take the last updated model as an estimation for ground truth**

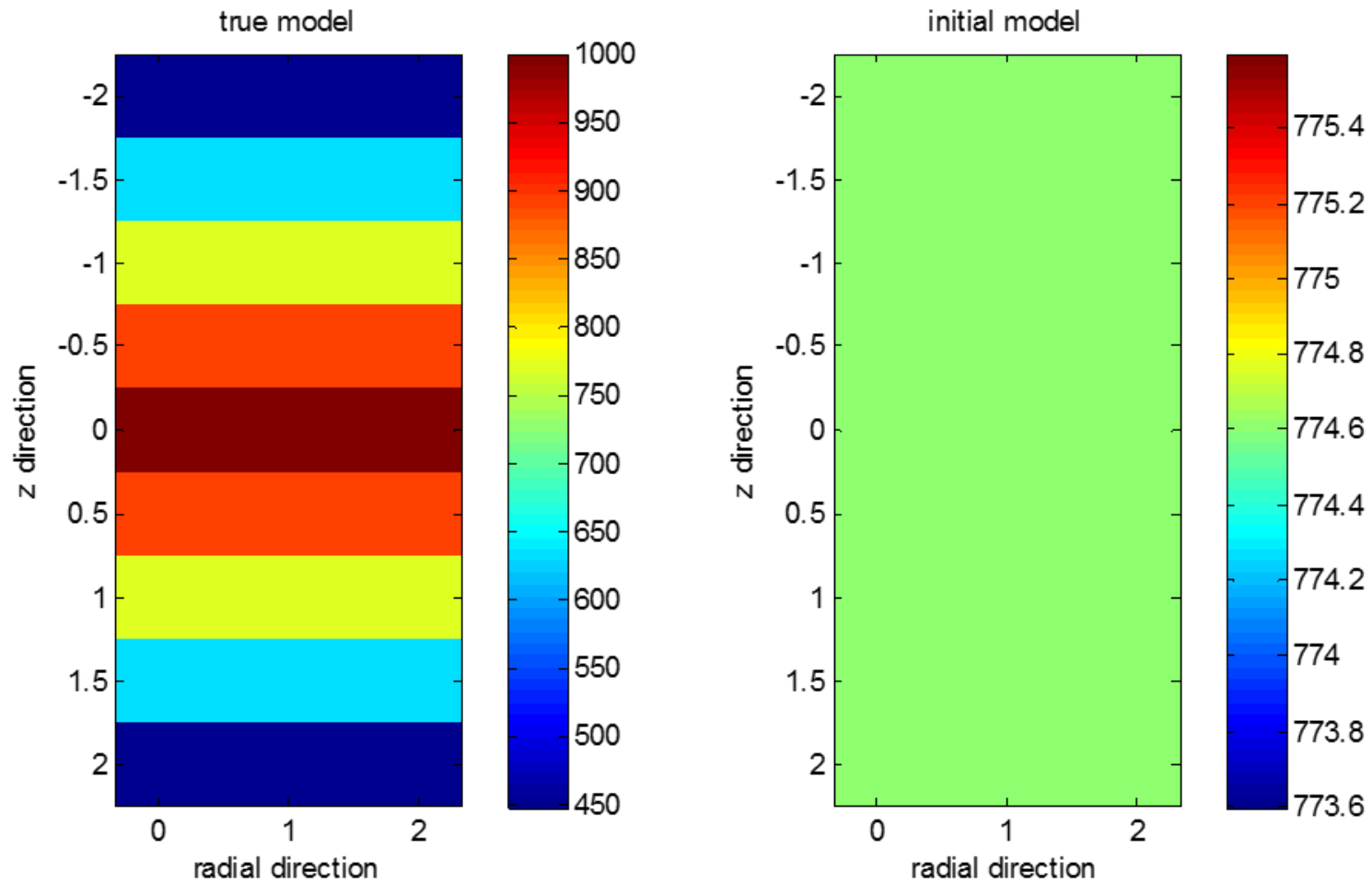


Synthetic Models: Inversion Strategy

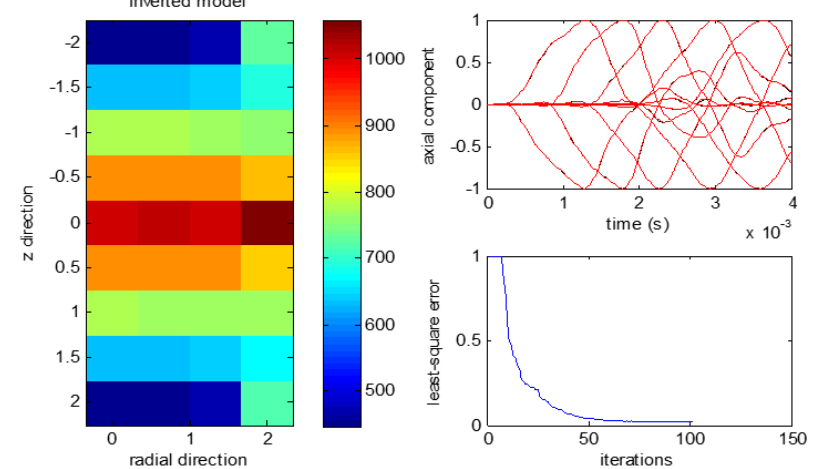
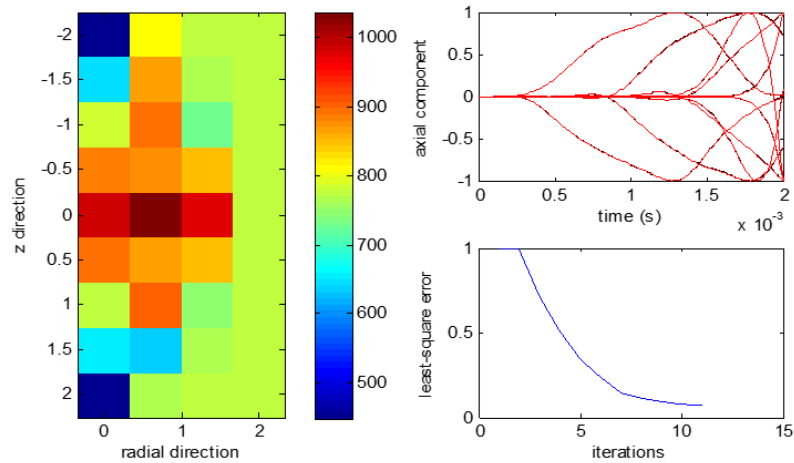
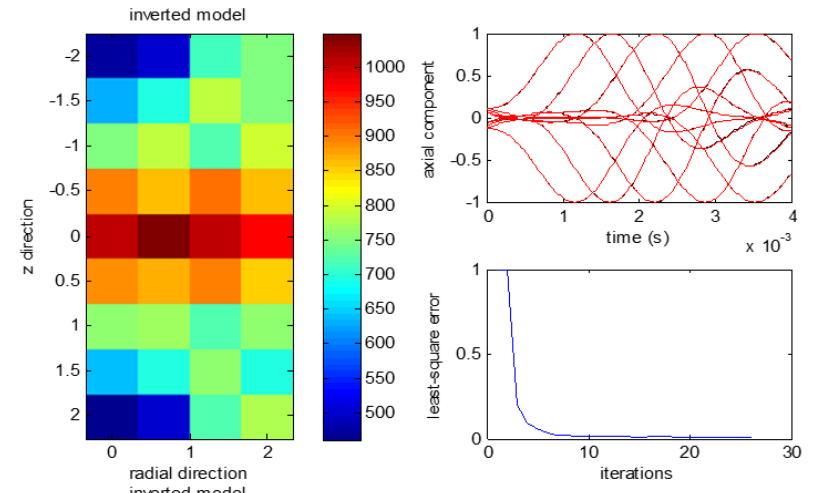
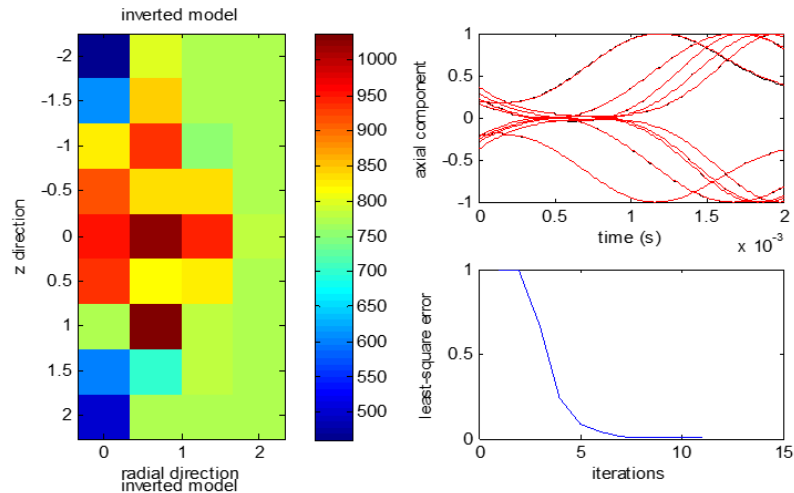
- **Goal**: test effectiveness of inversion strategy
- **Data**: generated from 2.5D model (perfect data)
- **Forward model**: 2.5D axisymmetric borehole
- **Inversion**: regularized GN method coupled with multi-scale strategies



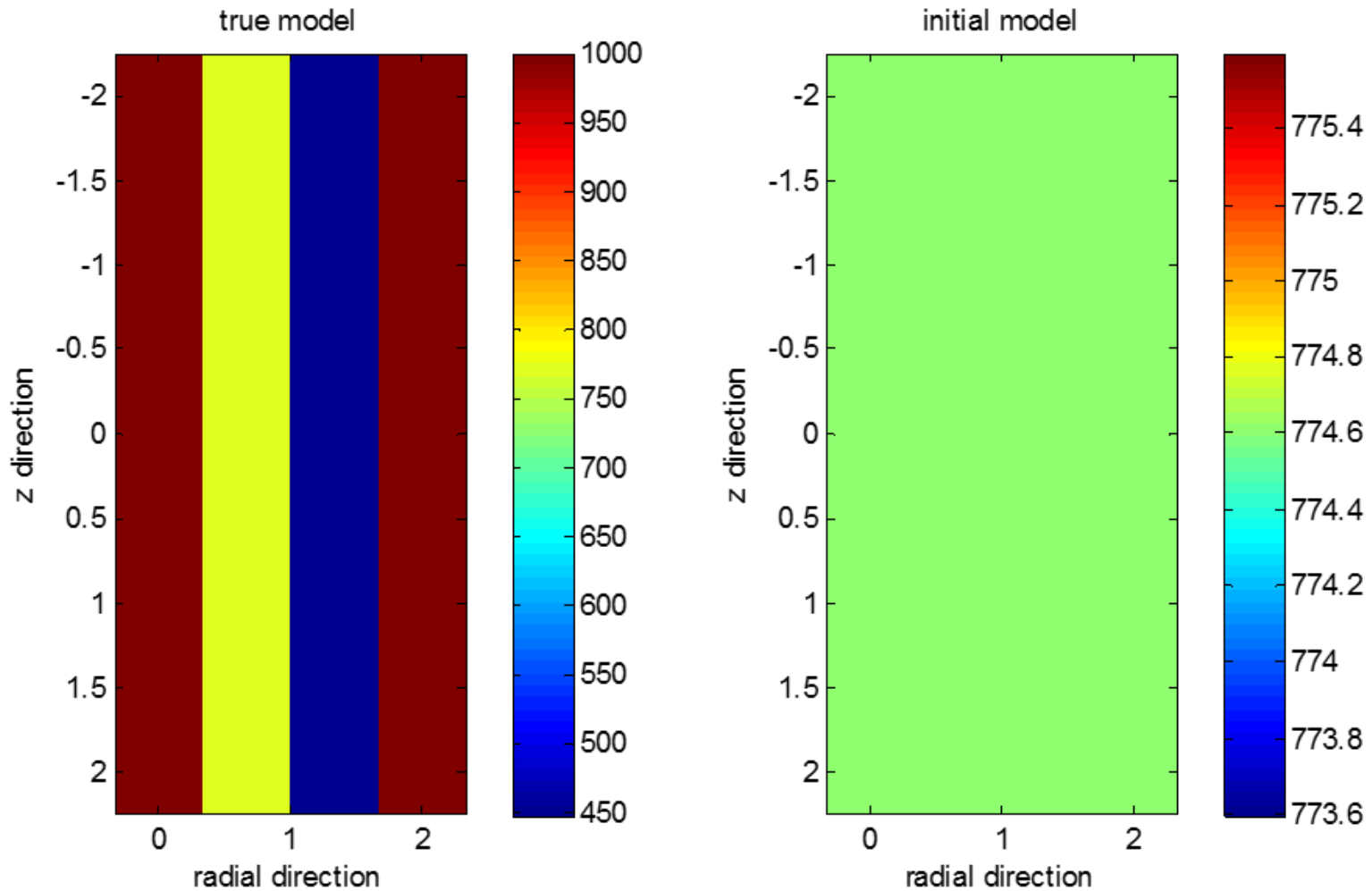
Horizontal Layers



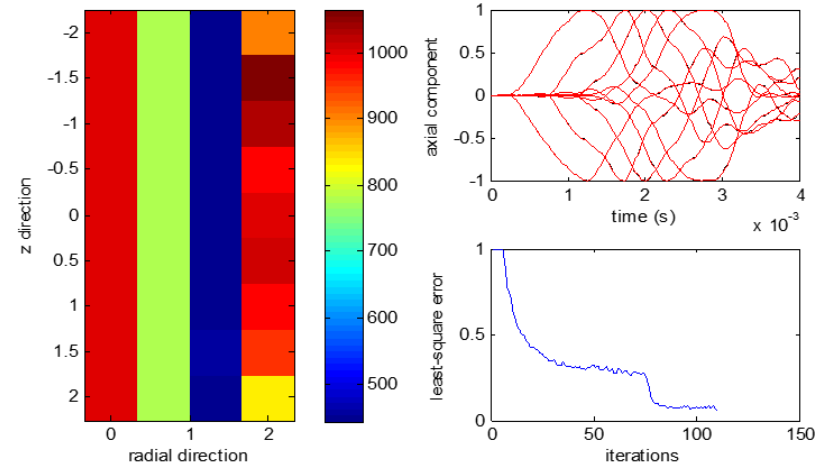
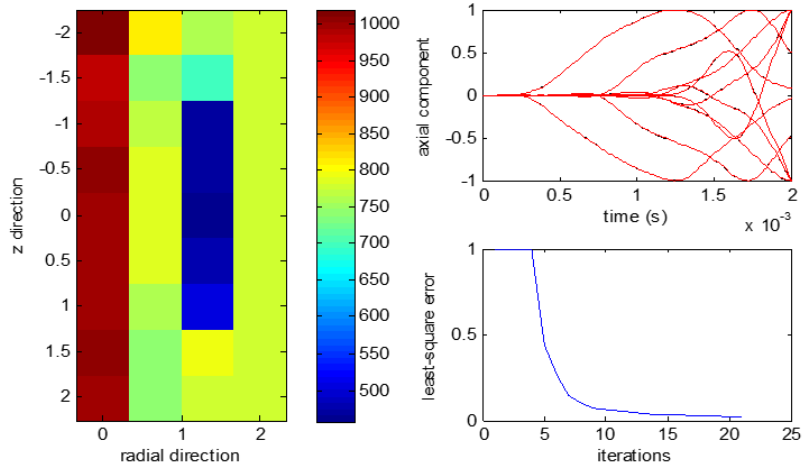
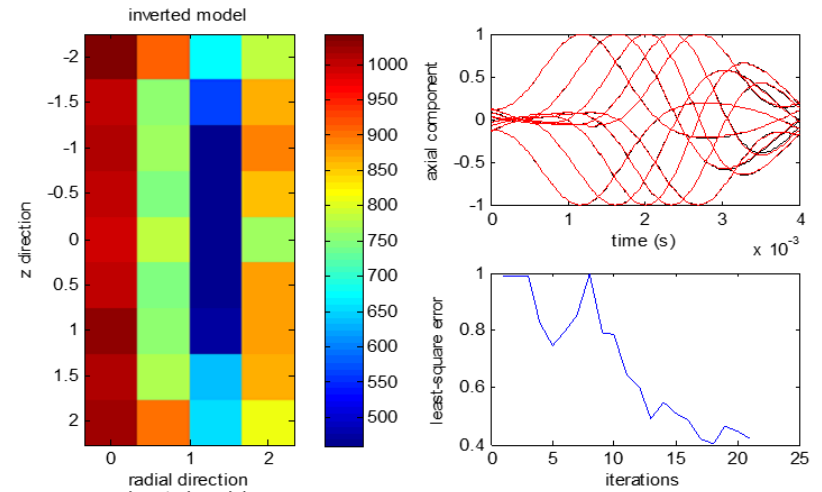
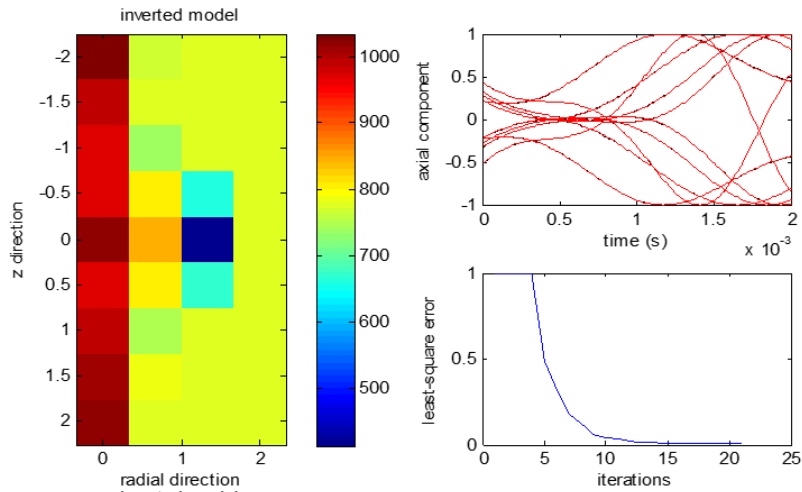
Horizontal Layers



Cylindrical Layers

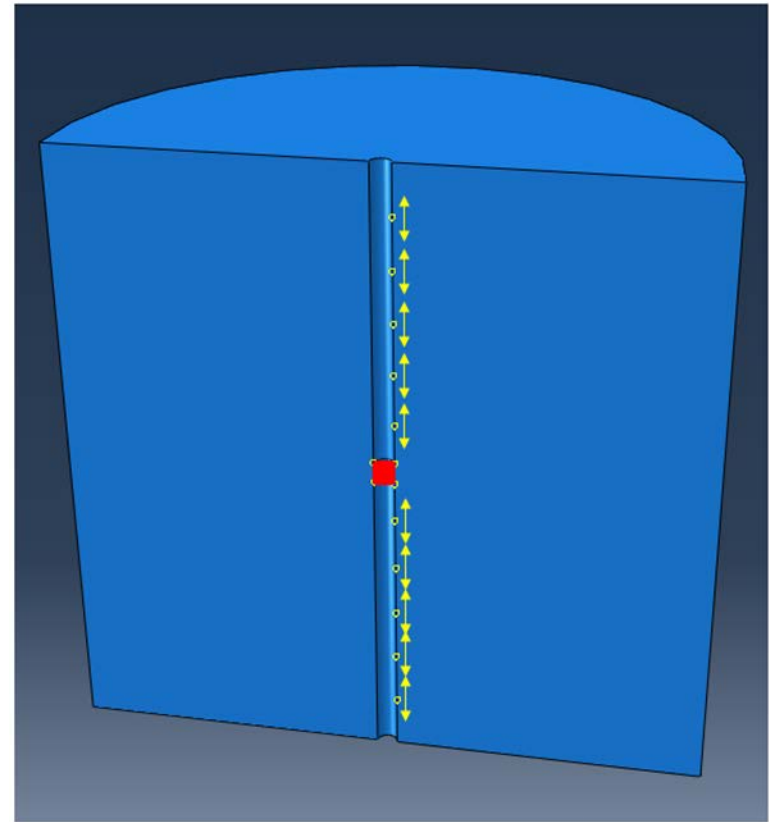


Cylindrical Layers

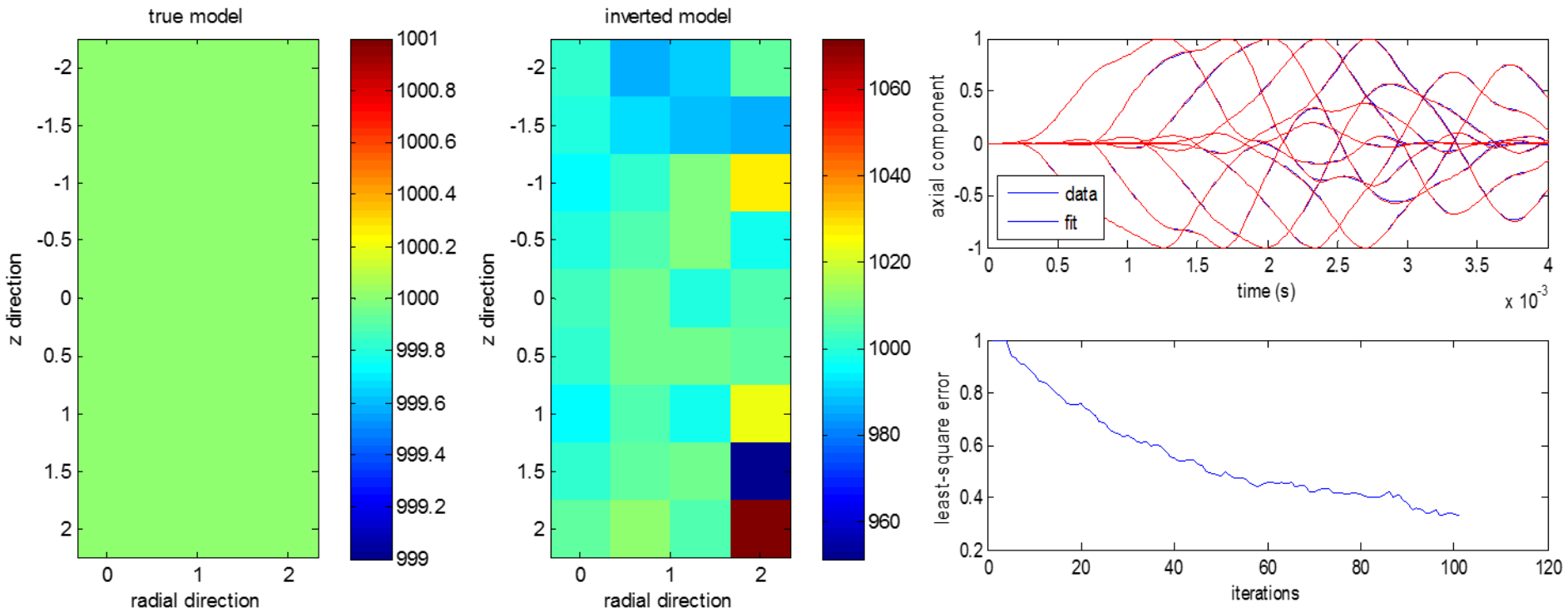


Synthetic Models: Compatibility

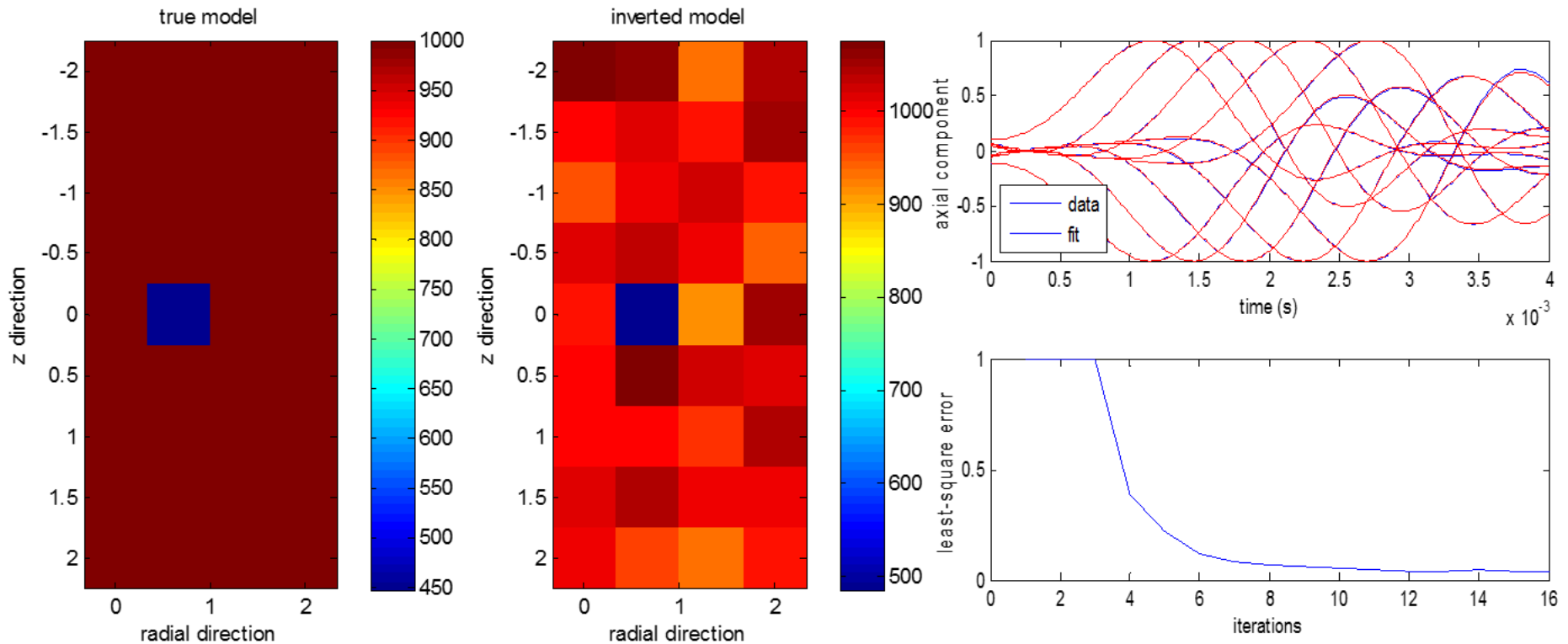
- **Goal**: use 2.5D approximation to image data from 3D space
- **Data**: generated from 3D ABAQUS model
- **Forward model**: 2.5D axisymmetric borehole
- **Inversion**: regularized GN method coupled with multi-scale strategies



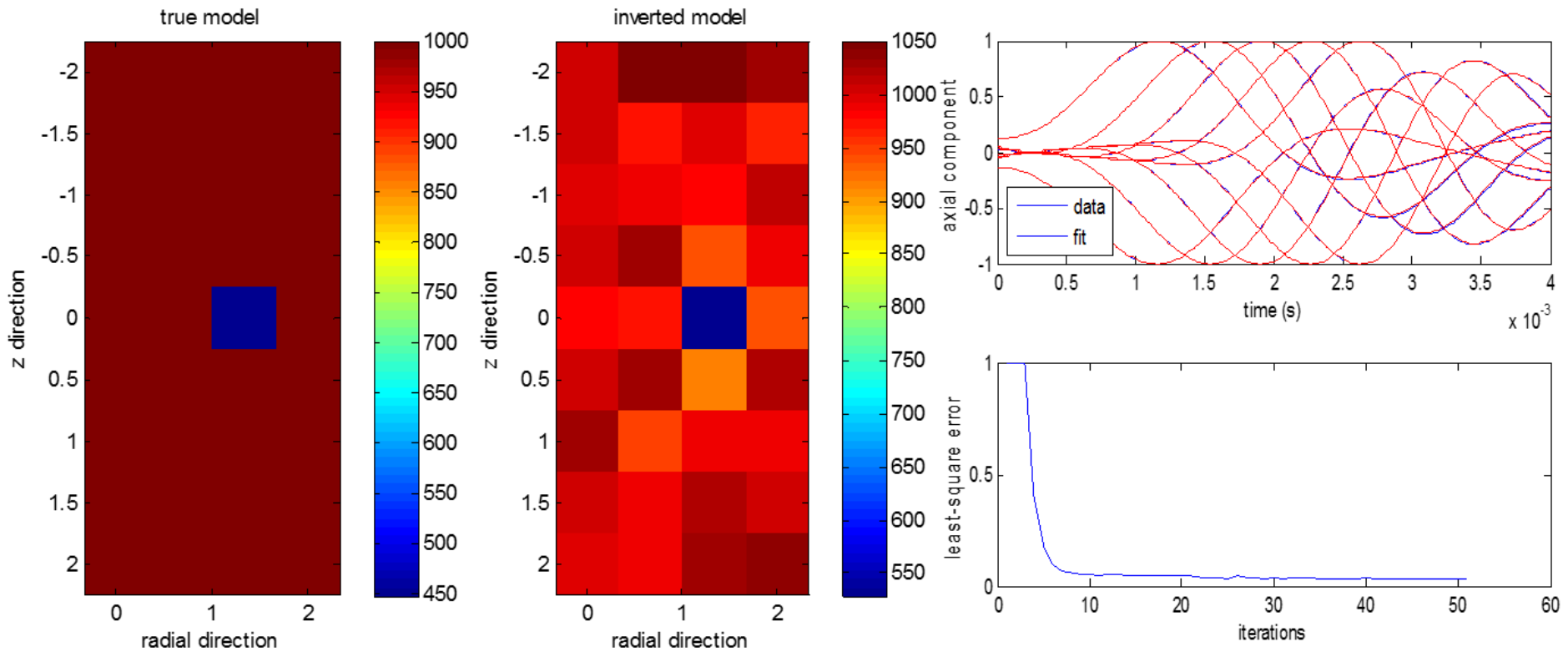
Homogeneous



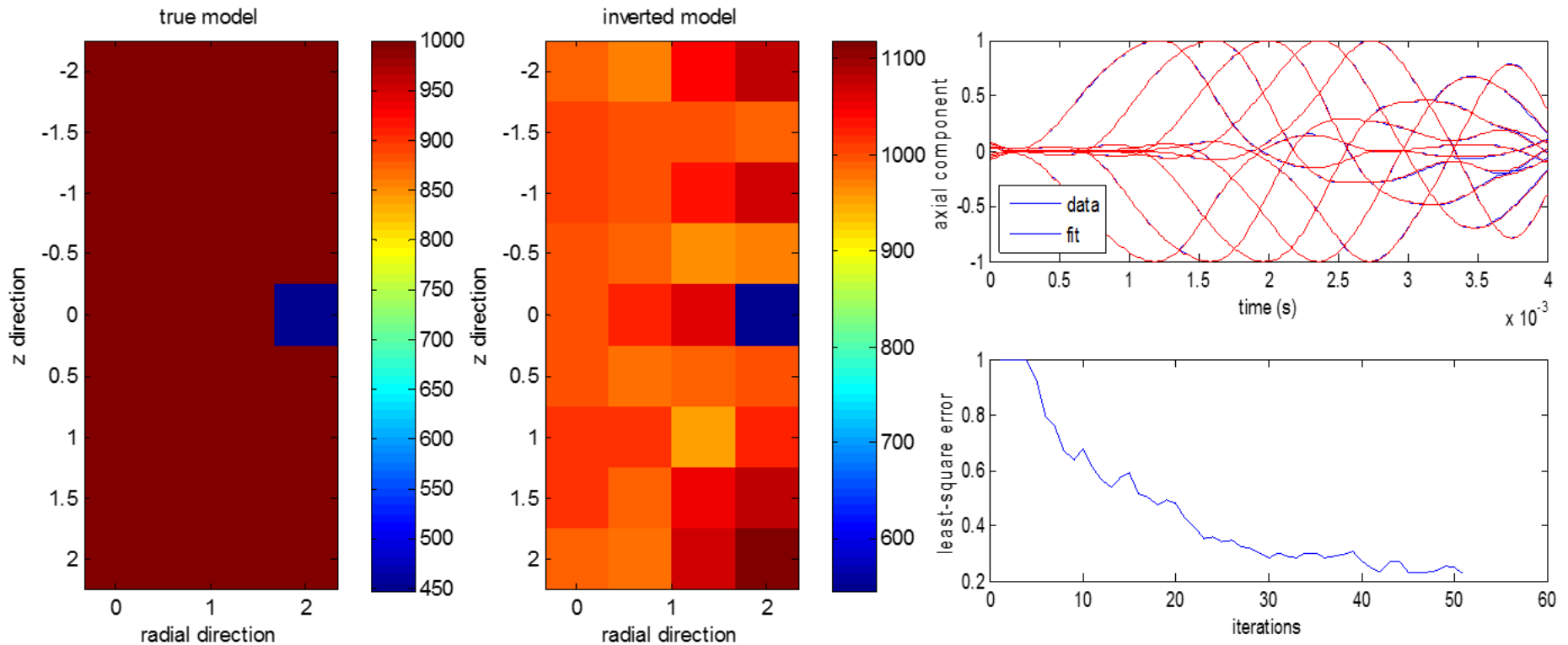
Ring Anomaly in Homogeneous



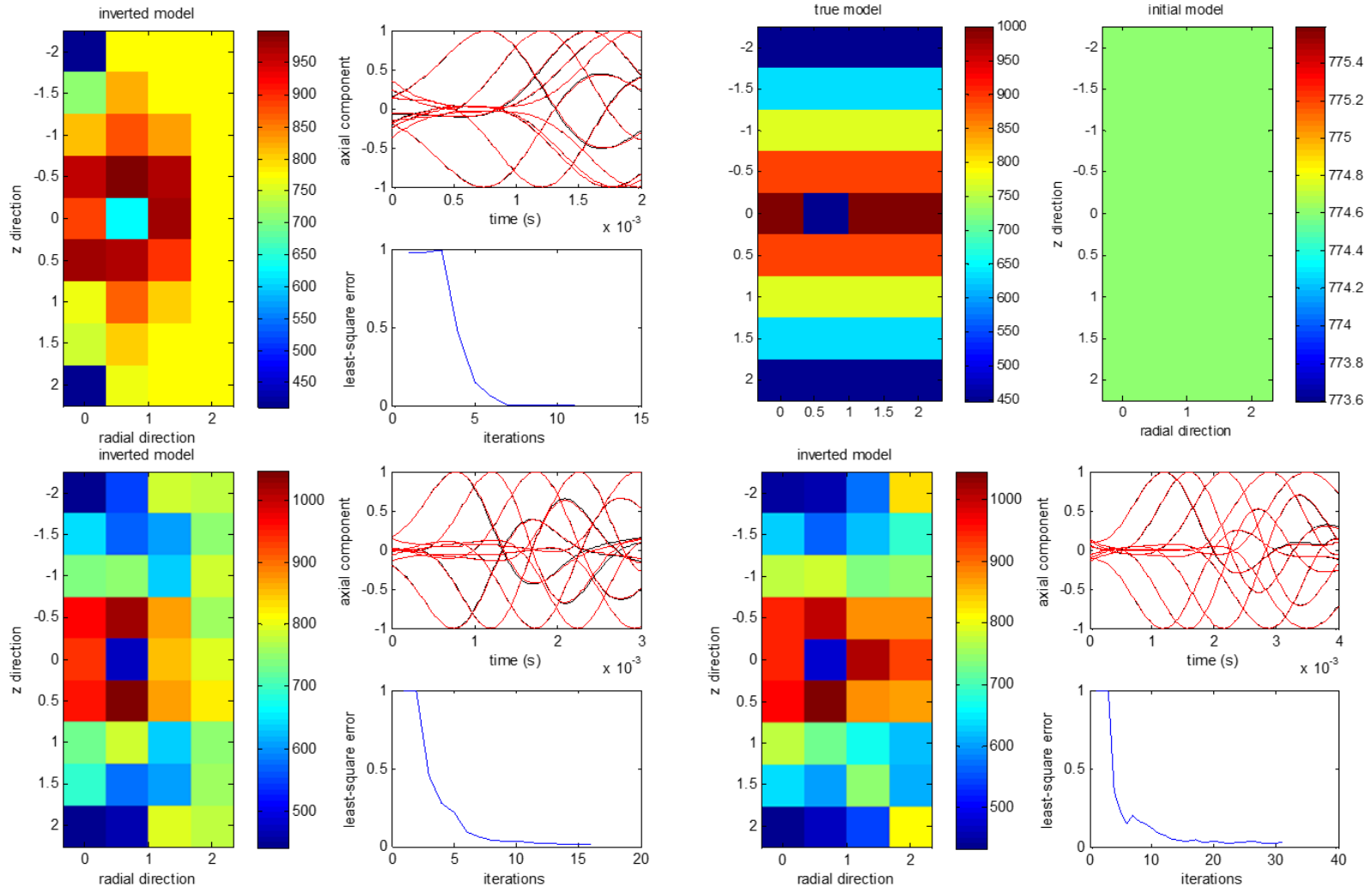
Ring Anomaly in Homogeneous



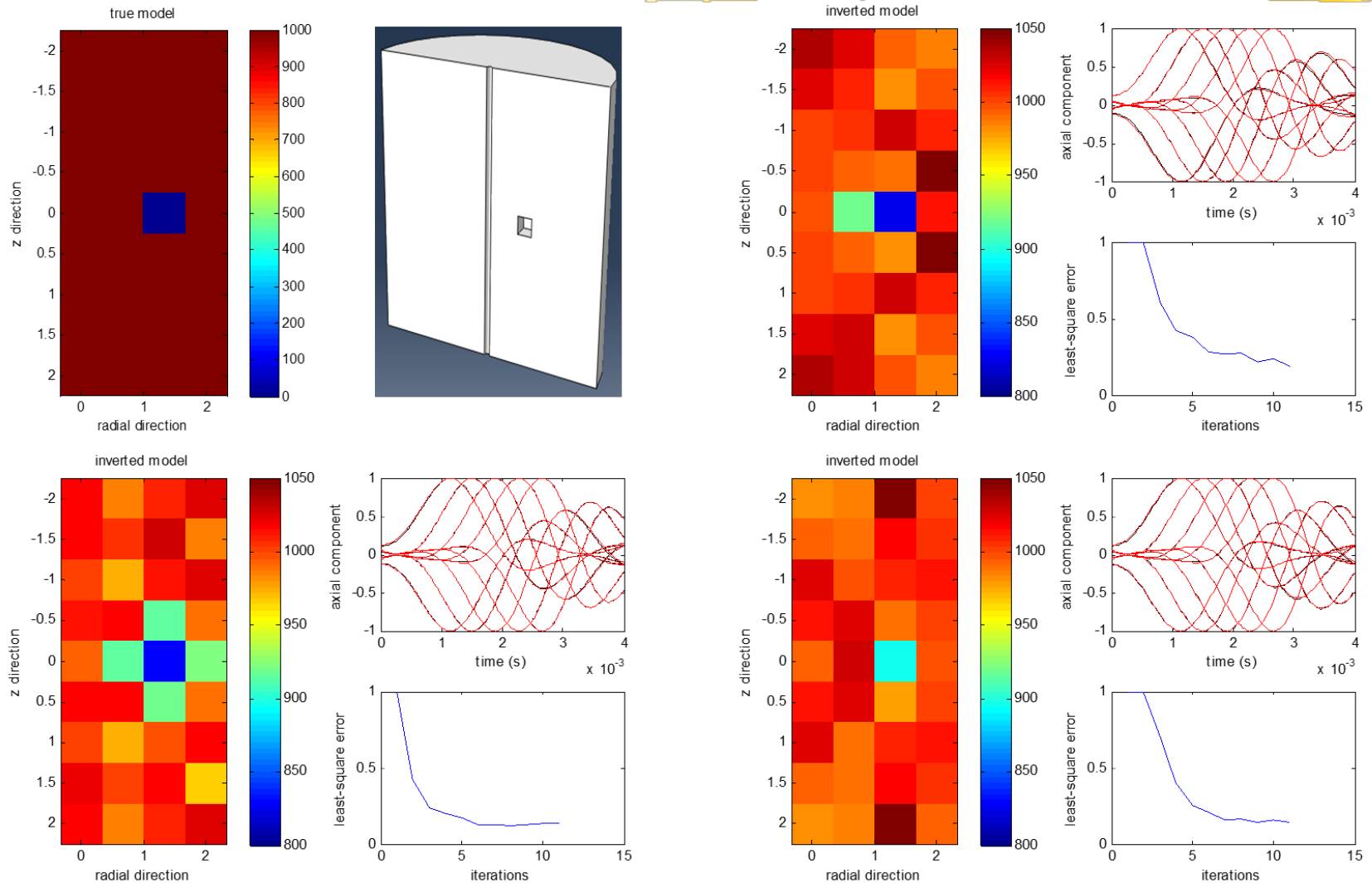
Ring Anomaly in Homogeneous



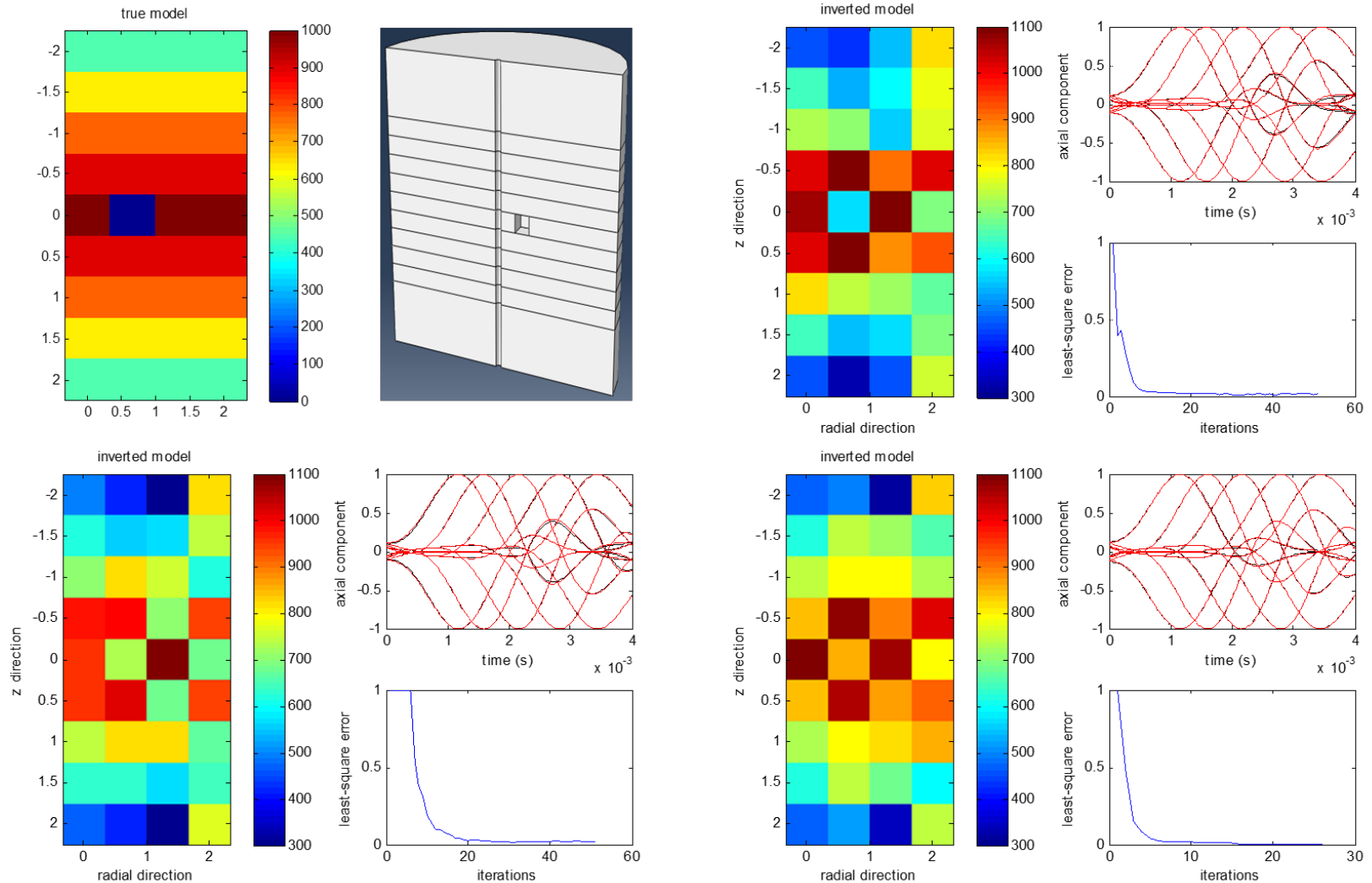
Ring Anomaly in Layered



Isolated Anomaly in Homogeneous



Isolated Anomaly in Layered



Conclusions



- **Forward model**: Cylindrical geometry must be considered when modeling wave propagation inside a borehole
- **Inversion**: The regularized Gauss-Newton method must be coupled with multi-scale strategies
- **Compatibility**: The inversion scheme is stable with respect to input data (axisymmetric forward model vs. 3D data)
- **Anomalies**: The proposed imaging technique appears capable of finding indications of isolated anomalies in the vicinity of a borehole